



**United States
CONSUMER PRODUCT SAFETY COMMISSION
4330 East West Highway
Bethesda, MD 20814**

This document has been electronically
approved and signed.

BALLOT VOTE SHEET

DATE: January 23, 2013

TO: The Commission
Todd A. Stevenson, Secretary

THROUGH: Stephanie Tsacoumis, General Counsel
Kenneth R. Hinson, Executive Director

FROM: Patricia M. Pollitzer, Assistant General Counsel
Hyun S. Kim, Attorney

SUBJECT: Agency Information Collection Activities – Registration Card
Effectiveness Survey

BALLOT VOTE DUE: January 29, 2013

Attached for Commission consideration is a draft *Federal Register* notice on a proposed collection of information for a report to Congress regarding the effectiveness of product registration cards in facilitating product recalls under section 104(d)(4) of the Consumer Product Safety Improvement Act. Collections of information are subject to approval by the Office of Management and Budget (OMB) under the Paperwork Reduction Act (PRA) of 1995. Under the PRA, the first step in obtaining OMB approval is publication of a *Federal Register* notice providing the opportunity for public comment on the proposed collection of information.¹

¹ This collection of information does not fall within the scope of the authority delegated to the Office of the General Counsel under Order No. 0315.8, which is limited solely to those *Federal Register* notices required by the PRA, where such notices involve the obtaining of information of facts or opinions by imposing reporting or recordkeeping requirements on 10 or more persons, other than employees of the United States; or collections of information associated with procurement of goods or services; or collections of information undertaken as "Follow-up activities for product-related injuries."

Please indicate your vote on the following options:

- I. Approve publication of the draft notice in the *Federal Register*.

(Signature)

(Date)

- II. Approve publication of the draft notice in the *Federal Register*, with changes.
(Please specify.)

(Signature)

(Date)

- III. Do not approve publication of the draft notice in the *Federal Register*.

(Signature)

(Date)

- IV. Take other action. (Please specify.)

(Signature)

(Date)

Attachment: Draft *Federal Register* Notice; Agency Information Collection Activities; Proposed Collection; Comment Request; Registration Card Effectiveness Survey

CONSUMER PRODUCT SAFETY COMMISSION

[Docket No. CPSC-2013-_____]

**Agency Information Collection Activities; Proposed Collection; Comment Request;
Registration Card Effectiveness Survey**

AGENCY: Consumer Product Safety Commission.

ACTION: Notice.

SUMMARY: The Consumer Product Safety Commission (CPSC or Commission) is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act of 1995 (PRA), federal agencies are required to publish a notice in the **FEDERAL REGISTER** concerning each proposed collection of information and to allow 60 days for public comment in response to the notice. This notice solicits comments on the proposed collection of information for a report on the effectiveness of product registration cards in facilitating product recalls.

DATES: Submit written or electronic comments on the collection of information by **[insert date 60 days after date of publication in the FEDERAL REGISTER]**.

ADDRESSES: You may submit comments, identified by Docket No. CPSC-2013-_____, by any of the following methods:

Electronic Submissions

Submit electronic comments in the following way:

Federal eRulemaking Portal: <http://www.regulations.gov>. Follow the instructions for submitting comments.

To ensure timely processing of comments, the Commission is no longer accepting comments submitted by electronic mail (e-mail), except through www.regulations.gov.

Written Submissions

Submit written submissions in the following way:

Mail/Hand delivery/Courier (for paper, disk, or CD-ROM submissions), preferably in five copies, to: Office of the Secretary, Consumer Product Safety Commission, Room 820, 4330 East West Highway, Bethesda, MD 20814; telephone (301) 504-7923.

Instructions: All submissions received must include the agency name and docket number for this notice. All comments received may be posted without change, including any personal identifiers, contact information, or other personal information provided, to:

<http://www.regulations.gov>. Do not submit confidential business information, trade secret information, or other sensitive or protected information that you do not want to be available to the public. If furnished at all, such information should be submitted in writing to the Office of the Secretary.

Docket: For access to the docket to read background documents or comments received, go to: <http://www.regulations.gov>.

FOR FURTHER INFORMATION CONTACT:

Robert H. Squibb, Consumer Product Safety Commission, 4330 East West Highway, Bethesda, MD 20814; (301) 504-7815, or by e-mail to: rsquibb@cpsc.gov.

SUPPLEMENTARY INFORMATION:

A. Background

Under the PRA (44 U.S.C. 3501–3520), federal agencies must obtain approval from the Office of Management and Budget (OMB) for each collection of information they conduct or

sponsor. “Collection of information” is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3(c), and includes agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA (44 U.S.C. 3506(c)(2)(A)) requires federal agencies to provide a 60-day notice in the **FEDERAL REGISTER** concerning each proposed collection of information before submitting the proposed collection to OMB for approval. To comply with this requirement, the CPSC is publishing notice of the proposed collection of information set forth in this document.

Section 104(d) of Consumer Product Safety Improvement Act of 2008 (CPSIA) requires durable infant or toddler product manufacturers to provide product registration cards with each product sold. The Commission established such requirements for consumer registration of durable infant or toddler products under 16 CFR part 1130. Section 104(d)(4) also requires the Commission to prepare a report of the effectiveness of product registration cards in facilitating product recalls, which is to be presented to the appropriate congressional committees. 15 U.S.C. 2056a(d)(4). In order to prepare the report to Congress, CPSC staff will conduct a survey that will be sent out to infant or toddler product manufacturers who have conducted recalls since June 28, 2010, the date when the final rule concerning product registration cards went into effect. The survey seeks information about the recall, how many consumers registered their products, and how many consumers the firm attempted to contact about the recall. A copy of the draft survey may be viewed on: <http://www.regulations.gov> under Docket No. CPSC-2013-_____, Supporting and Related Material. The report will aggregate the information received from the manufacturers to assess the effectiveness of product registration cards in facilitating product recalls.

The average estimated time required for each manufacturer to complete the survey is 1 hour. The survey will be distributed to a maximum of 50 manufacturers, creating a maximum estimated burden across manufacturers of 50 hours. CPSC staff estimates that the hourly compensation for the time required to complete the survey is \$27.55 (U.S. Bureau of Labor Statistics, “Employer Costs for Employee Compensation,” March 2012, Table 9, total compensation for all sales and office workers in goods-producing private industries: <http://www.bls.gov/ncs/>). Therefore, the estimated total combined annual cost for all surveyed manufacturers associated with the proposed requirements is \$1,377.50 ($\$27.55 \text{ per hour} \times 50 \text{ hours} = \$1,377.50$).

The estimated cost of the information collection to the federal government is approximately \$2,068, which includes 25 CPSC staff hours to examine and evaluate the information. This is based on a GS-14 level salaried employee. The average hourly wage rate for a mid-level salaried GS-14 employee in the Washington, DC metropolitan area (effective as of January 2012) is \$57.33 (GS-14, step 5). Based on wages that represent 69.3 percent of total compensation with an additional 30.7 percent for benefits, the average hourly compensation for a mid-level salaried GS-14 employee would be approximately \$82.72. (U.S. Bureau of Labor Statistics, “Employer Costs for Employee Compensation,” June 2012, Table 1, percentage of wages and salaries for all civilian management, professional, and related employees: <http://www.bls.gov/ncs/>). Assuming that approximately 25 hours will be required, this results in an estimated annual cost of \$2,068 to the federal government.

B. Requests for Comments

The Commission invites comments on the proposed collection of information including:

- whether the collection of information described above is necessary for the proper performance of the Commission’s functions, including whether the information would have practical utility;
- whether the estimated burden of the proposed collection of information is accurate;
- whether the quality, utility, and clarity of the information to be collected could be enhanced; and
- whether the burden imposed by the collection of information could be minimized by use of automated, electronic, or other technological collection techniques, or other forms of information technology.

Dated: _____

Todd A. Stevenson, Secretary
Consumer Product Safety Commission

SURVEY SCRIPT

Information about the recall

1	What is the name of the firm?	
2	What was the recalled product?	
3	What was the date of the recall?	
4	How many units were sold?	
5	How many units were sold with a CPSIA-required product registration card?	

Information about the consumers who registered their products

6	How many consumers registered their products?	
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Among these consumers:

6.1	How many consumers registered by mailing in the CPSIA-required product registration card?	
6.2	How many consumers registered online (using firm's website)?	
6.3	How many consumers registered by sending e-mail?	
6.4	How many consumers used other methods to register, if applicable?	

Information about the firm’s attempt to contact the consumers directly about the recall

7	Overall, how many consumers did the firm attempt to contact directly about the recall?		
		By using the information provided on the product registration cards	By using information gathered with methods other than product registration cards (e.g., customer loyalty cards, customer shipping database)?
7.1	How many consumers did the firm attempt to contact directly about the recall?		
7.2	How many consumers did the firm attempt to contact about the recall via postal mail?		
7.3	How many consumers did the firm attempt to contact about the recall via e-mail?		
7.4	How many consumers did the firm attempt to contact about the recall via phone?		
7.5	How many consumers did the firm attempt to contact about the recall via other methods (please explain)?		
7.6	How many consumers were not reached due to contact information that was no longer valid?		
	1. How many consumers had invalid postal addresses?		
	2. How many consumers had invalid e-mail addresses?		
	3. How many consumers had invalid phone numbers?		
	4. How many consumers had other types of invalid contact information?		

Information about the return/correction rate of the recalled product

8	What is the total number of products returned/corrected?	
8.1	What is the total number of products returned/corrected by consumers who were reached via information provided on the product registration cards?	
8.2	What is the total number of products returned/corrected by consumers who were reached via contact information gathered with methods other than product registration cards?	
8.3	What is the total number of products returned/corrected by consumers who were not reached directly but may have heard about the recall via the firm's website, blogs, TV announcements, word-of-mouth, etc?	

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