U.S. Consumer Product Safety Commission Staff Presentation to ISPA ShopTalk February 22, 2007 Chicago, Illinois

Presented by

Mary Toro

Associate Director

Office of Compliance and Field Operations

Views expressed in this presentation are those of the staff and do not necessarily represent the views of the Commission

The Commission

- Independent Federal Regulatory Agency Responsible for the safety of consumer products used in and around the home, recreation and otherwise
- Current Budget of around \$63 million
- Headquarters Staff of Compliance Officers, Attorneys, Engineers, Epidemiologists, Scientists, Physiologists, Psychologists



The Commission

- Field Investigators in over 48 locations around the U.S.
- Responsible for investigating product incidents
- Inspections of manufacturers, importers, distributors and retailers
- Sample collections
- Work with U.S. Customs and Border Protection to identify incoming products



The Office of Compliance & Field Operations

- Enforce CPSC mandatory rules and regulations
- Inspect the industries that we regulate
- Seek recalls for defective or violative products
- Investigates product related incidents
- Coordinate enforcement efforts with Customs and Border Protection
- Develop guidance and advice on the regulations



Reporting Obligations

- Section 15 of the Consumer Product Safety Act (CPSA)
 - Manufacturers (Including Component Manufacturers)
 - Importers
 - Distributors
 - Retailers
- Section 37 of the CPSA
 - Manufacturers 3 lawsuits in specified two year periods (1/1/07 12/31/08)



Lawsuit Reporting Requirement

- Section 37 of the Consumer Product Safety Act imposes a separate reporting requirement relating to lawsuits
- Manufacturers must report if they settle or lose three cases involving death or grievous injury caused by a particular model of a consumer product within any two-year period beginning on January 1 of odd-numbered years



Penalties

■ Any person who *knowingly* commits a violation is subject to a civil penalty of \$8,000 for each violation

- The maximum civil penalty for a related series of violations is capped at \$1,825,000
- Criminal penalties (including imprisonment) are also possible for willful violations



Corrective Actions/Recalls

- The Commission may order the manufacturer, distributor or retailer to notify the public of the problem and/or to take corrective action
- The manufacturer (importer), distributor or retailer may choose whether to offer a free repair, to replace the product at no charge or to refund the purchase price (less an allowance for use)



What exactly is a recall?

Recall is a generic term, and can involve:

- Repair product
- Replace product
- Refund purchase price of product



Implementing a Product Safety Recall

Stop Production

Redesign/Repair/Disposal

Stop Distribution

Replace/Repair/Disposal

Stop Retail Sales

Replace/Repair/Disposal

Consumer Notification

- Replace/Repair/Refund
- Incentives/Disposal



Recall Notification

GOAL: To reach as many of the owners of the recalled products as possible and provide incentive for them to stop using defective products



Recall Notification for Customers

Joint press release

Clear/concise/informative

Video news release

- Broadcast version of written release
- Over 50% of population receive news through broadcast media



Recall and Press Release Search



U.S. Consumer Product Safety Commission











► Consumer Safety ► About CPSC

► Library - FOIA

▶ Business

Recalls and Product Safety News

The U.S. Consumer Product Safety Commission is charged with protecting the public from unreasonable risks of serious injury or death from more than 15,000 types of consumer products. You can find information on over 4,000 product recalls using the various searches on this page.

Recent Recalls:

February 2004

→ January 2004

Find Recalls by:

Month & Year

January February March 2004 1 2003 2

Go!

→ <u>Report an Unsafe</u>

- → Report an Onsais <u>Product</u>
- → Products not under our jurisdiction
- → Email for further assistance: info@cpsc.gov

lain aur Dasal

→ Product Type

→ Company

■ Product Description

Product Category:

- Child products (not including toys)
- Toys
 - <u>υγs</u>

- Outdoor products
- Sports & Recreation products

Avoiding Problems with CPSC

 Comply with Mandatory and Voluntary Standards

Conduct Appropriate Tests

Report if you learn about a safety problem



When does it all begin?

Enforcement begins July 1, 2007

- Domestic manufacturers
- Importers
- Retailers
- Renovators



Inspectional Authority

- Section 5 (c) and (d) of the Flammable Fabrics
 Act and Sections 6 and 9 of the FTC Act.
- Reasonableness



Inspections

- Are they announced?
- How does one begin?
- How long does an inspection last?



How long will it take?





Inspections

- What is the follow-up to the inspection?
- What happens to my records?
- What about confidential information?
- Can another company get access to my records?
- What about samples? Who pays?



Collection of Information

Investigators obtain information regarding:

- production
- inventory
- testing
- distribution, sale
- transportation, importation, or receipt of any product fabric or related material
- The organization, business, conduct, practices, and management of any person, sole proprietorship, partnership or corporation being inspected and its relation to any person, sole proprietorship, partnership or corporation



What is involved?

Have access to and copy: all records, reports, books, documents, papers or labeling required by the Commission to be established, made or maintained; all documents showing or relating to the production inventory, testing, distribution, sale, transportation, importation or receipt of any product, fabric or related material.



Refusal

- Denying access to inspection is a violation of the law.
- An inspection warrant may be obtained if we are refused access or if the firm refuses to provide information which we are authorized by statute to obtain.



After the Inspection

- If a sample has been collected, it will be tested and the results will be conveyed to the firm via letter from the Office of Compliance.
- If the mattress set meets the requirements of the standard, the letter will state this. If not, the violation will be addressed and remedial action will be requested.



Additional Information

- Web page
- Update to the Q&A
- Seminar information in the FR and on the website



www.cpsc.gov/businfo/mattress.html



Mattress Flammability Information

Last updated February 15, 2007

ne links contained on this page are to be used as a tool to help easily access an overview of mattress flammability information. Much more information and many additional links are available on the CPSC site and an be located by conducting a search.

Understanding 16 CFR 1633: Standard for the Flammability (Open Flame) of Mattress Sets

On July 1, 2007, the new mattress standard will be in effect.

Are you ready?

Click here!!

tandard for the Flammability of Mattresses and Mattress Pads - 16 CFR 1632

- Regulation 1632
- Test Manual
- Regulatory Summary for Mattresses
- D Interim Enforcement Policy
- Regulation 1633

ew Standard: Standard for the Flammability (Open Flame) of Mattress Sets - 16 CFR 1633

- New! CPSC Staff Mattress Seminars
- Interim Enforcement Policy
- Presentation given to International Sleep Products Association on Mattress Set Flammability
- Test and Recordkeeping Forms
- O New! Questions and Answers: Standard for the Flammability (Open Flame) of Mattress Sets



Industry Seminar

CPSC Staff Mattress Seminars

Understanding 16 CFR 1633: Standard for the Flammability (Open Flame) of Mattress Sets

On July 1, 2007, the new mattress standard will be in effect.

Are you ready?

The U.S. Consumer Product Safety Commission (CPSC) staff is hosting two one-day seminars to help manufacturers, importers and retailers prepare for the Open Flame Mattress Standard. The seminar consists primarily of three panel presentations, followed by some time for smaller breakout sessions. CPSC staff will be available at the seminar location on the following day to answer questions specific to your product area. If you would like to schedule a meeting, send an email to mattressseminar@cpsc.gov with the subject title "Meeting Appointment". Please see below for more additional information.

Call for Presenters:

CPSC staff seeks individuals with subject matter expertise to serve as presenters on the panels listed below. Each panel presentation will have three presenters, each of whom will make a 15 minute presentation.

- Panel 1: Prototyping Building a Compliant Mattress
 How to develop a plan, component selection, and production
- Panel 2: Quality Control
 Ensuring compliance through production: electronic records and record keeping
- Panel 3: Testing
 Working with a lab; how to locate a lab; what to ask the lab

There will be two seminars; one in Betheeda, MD and the other in San Diego, CA. Presenting organizations must be willing to defer the presentation at both seminars. To apply to be a presenter, please submit your information using our <u>online presentation form AND e-mail a copy of your presentation slides or an outline of your presentation to <u>mathessemman@cops.gov</u> on or before February 28, 2007. Please use the subject line "Presenter" and include which panel you are requesting to serve on. Selected presenters will be notified on or before March 7.</u>

Call for Table-Top Displays:

Display hosts must be willing to display at both seminars. Persons/organizations who would like to request to set up a table-top display with information that could assist manufacturers in complying with the Open Flame Mattress Standard may apply for space using our gaining table-top display registration form and by sending a description of your display to mattressemment(person gov. Please use the subject line "Displays". Selected display presenters will be notified on or before March 7.

Dates, Locations, and Registration:



Industry Guidance

www.cpsc.gov

Business Section

Monitor CPSC Activities through:

- Recall Announcement Subscriptions
- Public Calendar Subscriptions
- CPSC Electronic Reading Room Inquiries
- Seminar Attendance



Labels for Domestic Mattress with Foundation

Stitch Line

Manufactured by: Company Name Street City, State ZIP

Date of Manufacture: MM/YYYY

Model: <Model ID>

Prototype ID: <Prototype ID>

This mattress meets the requirements of 16 CFR §1633 (federal flammability (open flame) standard for mattress sets) when used with foundation <ID>.

THIS MATTRESS IS INTENDED TO BE USED WITH FOUNDATION(S):

<FOUNDATION ID>

Stitch Line

Manufactured by: Company Name Street City, State ZIP

Date of Manufacture: MM/YYYY

Model: <Model ID>

Prototype ID: <Prototype ID>

Foundation ID: <ID>

Foundation Label

Mattress Label



Labels for Imported Mattress with Foundation

Stitch Line

Manufactured by: Foreign Company Name Street City, State Country

Date of Manufacture: MM/YYYY

Importer/U.S. Records Location: Importer Name Street City, State ZIP

Model: <Model ID>

Prototype ID: <Prototype ID>

This mattress meets the requirements of 16 CFR §1633 (federal flammability (open flame) standard for mattress sets) when used with foundation <ID>.

THIS MATTRESS IS INTENDED TO BE USED WITH FOUNDATION(S):

< FOUNDATION ID>

Stitch Line

Manufactured by: Foreign Company Name Street City, State Country

Date of Manufacture: MM/YYYY

Importer/U.S. Records Location: Importer Name Street City, State ZIP Model: <Model ID>

Prototype ID: <Prototype ID>

Foundation ID: <<u>ID</u>>

Foundation Label



Labels for Domestic and Imported Mattresses Alone

Stitch Line

Manufactured by: Company Name Street City, State

Date of Manufacture: MM/YYYY

Model: <Model ID>

Prototype ID: <Prototype ID>

This mattress meets the requirements of 16 CFR §1633 (federal flammability (open flame) standard for mattress sets) when used without a foundation

THIS MATTRESS
IS INTENDED TO BE USED
WITHOUT A FOUNDATION

Domestic Mattress

Stitch Line

Manufactured by: Foreign Company Name Street City, State Country

Date of Manufacture: MM/YYYY

Importer/U.S. Records Location: Importer Name Street City, State ZIP

Model: <Model ID>

Prototype ID: <Prototype ID>

This mattress meets the requirements of 16 CFR §1633 (federal flammability (open flame) standard for mattress sets) when used without a foundation.

THIS MATTRESS
IS INTENDED TO BE USED
WITHOUT A FOUNDATION



Imported Mattress

Labels for Domestic and Imported Mattresses Alone and with Foundation

Stitch Line

Manufactured by: Company Name Street City, State

Date of Manufacture: MM/YYYY

Model: <Model ID>

Prototype ID: <Prototype ID> <Prototype ID>

This mattress meets the requirements of 16 CFR §1633 (federal flammability (open flame) standard for mattress sets) when used without a foundation or with foundations <ID>

THIS MATTRESS
IS INTENDED TO BE USED

WITHOUT A FOUNDATION
OR
WITH FOUNDATION(S):

<FOUNDATION ID>

Stitch Line

Manufactured by: Foreign Company Name Street City, State Country

Date of Manufacture: MM/YYYY

Importer/U.S. Records Location: Importer Name Street City, State ZIP

Model: <Model ID>

Prototype ID: <Prototype ID> <Prototype ID>

This mattress meets the requirements of 16 CFR §1633 (federal flammability (open flame) standard for mattress sets) when used without a foundation or with foundations <ID>

THIS MATTRESS
IS INTENDED TO BE USED

WITHOUT A FOUNDATION OR

WITH FOUNDATION(S):

< FOUNDATION ID>



Domestic Mattress

Imported Mattress

Contact Information:

(301) 504-7615 – Heather Sonabend hsonabend@cpsc.gov

(301) 504-7586 – Mary Toro - mtoro@cpsc.gov



