

CPSC-I-14-0016

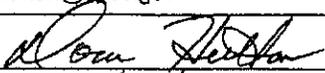
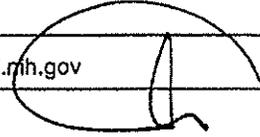
United States Government
Interagency Agreement (IAA) – Agreement Between Federal Agencies
General Terms and Conditions (GT&C) Section

IAA Number XHD14002 - 0000 - 00000
GT&C # Order # Amendment/Mod #

DEPARTMENT AND/OR AGENCY			
1.	Requesting Agency of Products/Services	Servicing Agency Providing Products/Services	
	Name	Consumer Product Safety Commission	NICHD, NIH
	Address	4330 East West Highway Bethesda, MD 20814	9000 Rockville Pike, 31A/2A47 Bethesda, MD 20892
2. Servicing Agency Agreement Tracking Number (Optional) <u>XHD14002-0000-00000/CPSC-I-14-0016</u>			
3. Assisted Acquisition Agreement Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			
4. GT&C Action (Check action being taken) <input checked="" type="checkbox"/> New <input type="checkbox"/> Amendment – Complete only the GT&C blocks being changed and explain the changes being made. <input type="checkbox"/> Cancellation – Provide a brief explanation for the IAA cancellation and complete the effective End Date.			
5. Agreement Period Start Date <u>09-01-2014</u> End Date <u>09-30-2016</u> of IAA or effective cancellation date MM-DD-YYYY MM-DD-YYYY			
6. Recurring Agreement (Check One) A Recurring Agreement will continue, unless a notice to discontinue is received. Yes <input type="checkbox"/> If Yes, is this an: Annual Renewal <input checked="" type="checkbox"/> Other Renewal <input type="checkbox"/> State the other renewal period: _____ No <input checked="" type="checkbox"/>			
7. Agreement Type (Check One) <input checked="" type="checkbox"/> Single Order IAA <input type="checkbox"/> Multiple Order IAA			
8. Are Advance Payments Allowed for this IAA (Check One) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If Yes is checked, enter Requesting Agency's Statutory Authority Title and Citation			
Note: Specific advance amounts will be captured on each related Order.			

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<p>20. Servicing Agency Clause(s) (Optional) (State and/or attach any additional Servicing Agency clauses.)</p>		
<p>21. Additional Requesting Agency and/or Servicing Agency Attachments (Optional) (State and/or attach any additional Requesting Agency and/or Servicing Agency attachments.)</p>		
<p>22. Annual Review of IAA</p> <p>By signing this agreement, the parties agree to annually review the IAA if the agreement period exceeds one year. Appropriate changes will be made by amendment to the GT&C and/or modification to any affected Order(s).</p>		
<p align="center">AGENCY OFFICIAL</p> <p>The Agency Official is the highest level accepting authority or official as designated by the Requesting Agency and Servicing Agency to sign this agreement. Each Agency Official must ensure that the general terms and conditions are properly defined, including the stated statutory authorities, and, that the scope of work can be fulfilled per the agreement.</p> <p>The Agreement Period Start Date (Block 5) must be the same as or later than the signature dates.</p> <p>Actual work for this IAA may NOT begin until an Order has been signed by the appropriate individuals, as stated in the Instructions for Blocks 37 and 38.</p>		
23.	Requesting Agency	Servicing Agency
Name	Donna Hutton	Constantine A. Stratakis, M.D.
Title	Contracting Officer	D(Med)Sci, Scientific Director, NICHD
Telephone Number(s)	(301) 504-7009	(301) 594-5984
Fax Number	(978) 244-8640	(301) 402-0574
Email Address	dhutton@cpsc.gov	NICHSD@mail.nih.gov
SIGNATURE		
Approval Date	8/26/2014	8/26/2014

**United States Government
Interagency Agreement (IAA) – Agreement Between Federal Agencies
Order Requirements and Funding Information (Order) Section**

IAA Number XHD14002 - 001 - 00000 Servicing Agency's Agreement
 GT&C # Order # Amendment/Mod # Tracking Number (Optional) _____

PRIMARY ORGANIZATION/OFFICE INFORMATION					
24.	Requesting Agency			Servicing Agency	
Primary Organization/Office Name	Consumer Product Safety Comm.			NICHD, NIH	
Responsible Organization/Office Address	4330 East West Highway Bethesda, MD 20184			9000 Rockville Pike, 31A/2A47 Bethesda, MD 20892	
ORDER/REQUIREMENTS INFORMATION					
<p>25. Order Action (Check One)</p> <p><input checked="" type="checkbox"/> New</p> <p><input type="checkbox"/> Modification (Mod) – List affected Order blocks being changed and explains the changes being made. For Example: for a performance period mod, state new performance period for this Order in Block 27. Fill out the Funding Modification Summary by Line (Block 26) if the mod involves adding, deleting or changing Funding for an Order Line.</p> <p><input type="checkbox"/> Cancellation – Provide a brief explanation for Order cancellation and fill in the Performance Period End Date for the effective cancellation date.</p>					
26. Funding Modification Summary by Line	Line # _____	Line # _____	Line # _____	Total of All Other Lines (attach funding details)	Total
Original Line Funding	\$207,600.00	\$	\$	\$	\$207,600.00
Cumulative Funding Changes From Prior Mods [addition (+) or reduction (-)]	\$	\$	\$	\$	\$ 0.00
Funding Change for This Mod	\$	\$	\$	\$	\$ 0.00
TOTAL Modified Obligation	\$207,600.00	\$0.00	\$0.00	\$0.00	\$207,600.00
Total Advance Amount (-)	\$	\$	\$	\$	\$ 0.00
Net Modified Amount Due	\$207,600.00	\$0.00	\$0.00	\$0.00	\$207,600.00
<p>27. Performance Period Start Date <u>09-01-2014</u> End Date <u>09-30-2016</u></p> <p>For a performance period mod, insert the start and end dates that reflect the new performance period. MM-DD-YYYY MM-DD-YYYY</p>					

IAA Order

IAA Number XHD14002 - 001 - 00000 Servicing Agency's Agreement
 GT&C # Order # Amendment/Mod # Tracking Number (Optional) _____

28. Order Line/Funding Information										Line Number _____								
					Requesting Agency Funding Information					Servicing Agency Funding Information								
ALC		61-00-0001					75-08-0031											
Component TAS Required by 10/1/2014	SP	ATA	AID	BPOA	EPOA	A	MAIN	SUB	SP	ATA	AID	BPOA	E POA	A	MAIN	SUB		
OR Current TAS format	61-0100					075-14-0844												
BETC	DISB					COLL												
Object Class Code (Optional)	2538 TIN: 520978750					6162												
BPN	DUNS: 069287522					927645168												
BPN + 4 (Optional)	US TREAS CODE: 61140100																	
Additional Accounting Classification/Information (Optional)	0100A14DSE 2014 2256000000 EXHR004400 253C0					CAN: 8018215 Project: 137970												
Requesting Agency Funding Expiration Date 09-30-2014 MM-DD-YYYY									Requesting Agency Funding Cancellation Date 09-30-2019 MM-DD-YYYY									
XHD14002001 BORNSTEIN FELLOW SUPPORT																		
Project Number & Title																		
Description of Products and/or Services, including the Bona Fide Need for this Order (State or attach a description of products/services, including the bona fide need for this Order.) The Consumer Product Safety Commission has agreed to provide \$207,600 to NICHD (Dr. Bornstein) to perform studies and analyses necessary to update 'The Age Determination Guidelines: Relating Children's Ages to Toy Characteristics'. See attached documentation.																		
North American Industry Classification System (NAICS) Number (Optional) _____																		
Breakdown of Reimbursable Line Costs									OR Breakdown of Assisted Acquisition Line Cost:									
Unit of Measure							Contract Cost		\$									
Quantity	Unit Price	Total					Servicing Fees		\$									
1	\$207,600.00	\$ 207,600.00					Total Obligated Cost		\$ 0.00									
Overhead Fees & Charges		\$					Advance for Line (-)		\$									
Total Line Amount Obligated		\$ 207,600.00					Net Total Cost		\$ 0.00									
Advance Line Amount (-)		\$					Assisted Acquisition Servicing Fees Explanation											
Net Line Amount Due		\$ 207,600.00																
Type of Service Requirements																		
<input type="checkbox"/> Severable Service <input checked="" type="checkbox"/> Non-severable Service <input type="checkbox"/> Not Applicable																		

IAA Order

IAA Number XHD14002 - 001 - 00000 Servicing Agency's Agreement
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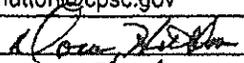
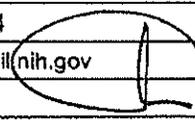
35. Funding Clauses/Instructions (Optional) (State and/or list funding clauses/instructions.)

36. Delivery/Shipping Information for Products (Optional)

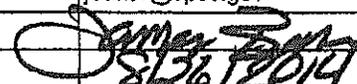
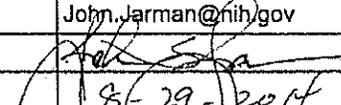
Agency Name	
Point of Contact (POC) Name & Title	
POC Email Address	
Delivery Address /Room Number	
POC Telephone Number	
Special Shipping Information	

APPROVALS AND CONTACT INFORMATION

37. PROGRAM OFFICIALS
 The Program Officials, as identified by the Requesting Agency and Servicing Agency, must ensure that the scope of work is properly defined and can be fulfilled for this Order. The Program Official may or may not be the Contracting Officer depending on each agency's IAA business process.

	Requesting Agency	Servicing Agency
Name	Donna Hutton	Constantine A. Stratakis, M.D.
Title	Contracting Officer	D(Med)Sci, Scientific Director, NICHD
Telephone Number	(301) 504-7009	(301) 594-5984
Fax Number	(987) 224-8640	(301) 402-0574
Email Address	dhutton@cpssc.gov	NICHDsd@mail.nih.gov
SIGNATURE		
Date Signed	8/26/2014	8/26/2014

38. FUNDING OFFICIALS - The Funds Approving Officials, as identified by the Requesting Agency and Servicing Agency, certify that the funds are accurately cited and can be properly accounted for per the purposes set forth in the Order. The Requesting Agency Funding Official signs to obligate funds. The Servicing Agency Funding Official signs to start the work, and to bill, collect, and properly account for funds from the Requesting Agency, in accordance with the agreement.

	Requesting Agency	Servicing Agency
Name	James Baker	John Jarman
Title	Budget Officer	Executive Officer, NICHD
Telephone Number	(301) 504-7575	(301) 496-0648
Fax Number		
Email Address	jbaker@cpssc.gov	John.Jarman@nih.gov
SIGNATURE		
Date Signed	8/26/2014	8-29-2014

IAA Order

IAA Number XHD14002 - 001 - 00000 Servicing Agency's Agreement
 GT&C# Order# Amendment/Mod # Tracking Number (Optional) _____

CONTACT INFORMATION		
39. FINANCE OFFICE Points of Contact (POCs) The finance office points of contact must ensure that the payment (Requesting Agency), billing (Servicing Agency), and advance/accounting information are accurate and timely for this Order.		
	Requesting Agency (Payment Office)	Servicing Agency (Billing Office)
Name	Debbie Young	Alexis Clark
Title	Payment Officer	Budget Officer, NICHD
Office Address	CPSC Accounts, Payable Br, AM-160 PO Box 25710, Oklahoma City, OK 73125	9000 Rockville Pike, 31/2A47 Bethesda, MD 20892
Telephone Number	(405) 954-7467	(301) 435-3410
Fax Number		(301) 480-2336
Email Address		Alexis.Clark@nih.gov
Signature & Date (Optional)		<i>Alexis Clark</i> 8/27/14
40. ADDITIONAL Points of Contacts (POCs) (as determined by each Agency) This may include CONTRACTING Office Points of Contact (POCs).		
	Requesting Agency	Servicing Agency
Name	Khalisa Phillips	Gina Elmore
Title	Psychologist	Budget AO, NICHD
Office Address	5 Research Place Rockville, MD 20878	6705 Rockledge Drive, Suite 8000 Bethesda, MD 20892
Telephone Number	(301) 987-2592	(301) 496-6192
Fax Number	(301) 869-0294	(301) 435-9370
Email Address	kphillips@cpsc.gov	elmoreg@mail.nih.gov
Signature & Date (Optional)	<i>Khalisa Phillips</i> 8/27/14	<i>Gina Elmore</i> 8/27/14
Name		
Title		
Office Address		
Telephone Number		
Fax Number		
Email Address		
Signature & Date (Optional)		
Name		
Title		
Office Address		
Telephone Number		
Fax Number		
Email Address		
Signature & Date (Optional)		

CPSC-I-14-0016

INTERAGENCY AGREEMENT

BETWEEN THE

U.S. CONSUMER PRODUCT SAFETY COMMISSION

AND THE

NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

NATIONAL INSTITUTES OF HEALTH

Description of Services

1. Introduction

The U.S. Consumer Product Safety Commission, hereinafter referred to as CPSC, and the National Institute of Child Health and Human Development, National Institutes of Health, hereinafter referred to as NICHD, hereby agree that NICHD, subject to the terms and conditions herein, shall perform the necessary research for contributing to the CPSC update of the *Age Determinations Guidelines* document.

2. Background

Congress has charged the CPSC and manufacturers, and thereby their third-party testing laboratory partners, with ensuring that all toys and children's products are constructed to withstand the reasonably foreseeable uses and abuses of children to whom a product will appeal and for whom it will be purchased. CPSC investigators collect several thousand toys and children's products each year from ports, warehouses, and retail stores that are suspected of violating the

Small Parts Regulation required by the Federal Hazardous Substances Act (FHSA, PL 86-613, 1960), the Standard Consumer Safety Specification for Toy Safety (ASTM F963-11), or the lead and phthalates limits set by the Consumer Product Safety Improvement Act (CPSIA, PL 110-314, 2008).

Before mechanical or chemical testing can be performed, the Division of Human Factors (ESHF) must first determine the appropriate age of potential users. ESHF staff consults the relevant regulations as well as child development research to match the product's characteristics to a particular age user based on children's developmental abilities and interests. The primary research document that ESHF staff use to perform these determinations, *The Age Determination Guidelines: Relating Children's Ages to Toy Characteristics and Play Behavior*, herein referred to as the *Guidelines*, was written in 2002, and therefore does not include toy categories that have arisen since that time. Additionally, over the last 12 years, many toys that are addressed in the *Guidelines* have evolved and children's exposure to various toys may have changed. The *Guidelines* are also used by third-party testing laboratories to perform age determinations and mechanical and chemical testing on toys and children's products before they enter the U.S. marketplace.

3. Objective

The objective of the proposed Interagency Agreement is to update the current *Age Determination Guidelines: Relating Children's Ages to Toy Characteristics and Play Behavior* (2002) used by CPSC, industry, and third-party testing laboratories to conduct age determinations.

4. Overview of Interagency Agreement

This Interagency Agreement with NICHD is to perform studies and analyses necessary to update the *Guidelines* from the 2002 version. This two-year effort is described in detail below. The work described in this IAG will be executed by a post-doctoral fellow and supported by one full-time research assistant who will be conducting the research with additional input and assistance from other NICHD staff.

5. Performance Work Statement

The NICHD will furnish all necessary personnel, services, and facilities to perform the work set forth below; except as provided in the section entitled, "CPSC Furnished Equipment/Supplies."

Upon execution of this agreement, NICHD will begin recruiting or will assign a post-doctoral fellow and one full-time research assistant to conduct the research described in the IAG with additional input and assistance from other NICHD staff.

It is anticipated that recruitment or assignment of required staff will take no longer than 90 days. The appointed NICHD post-doctoral fellow with support from other NICHD staff shall perform the tasks described in this Interagency Agreement. Specifically, NICHD staff will perform the following tasks:

- a. Within one (1) week of the Interagency Agreement being signed, or no later than September 15, 2014, NICHD will hold a kickoff meeting via telephone with the CPSC Contracting Officer's Representative to discuss the Agreement and ensure that NICHD has all of the specified CPSC-furnished documents for executing the Agreement.
- b. Within four (4) weeks after the NICHD postdoctoral fellow starts work, he/she shall meet with the CPSC Contracting Officer's Representative to discuss the requirements of the Agreement. This meeting will be held at CPSC's National Product Testing and Evaluation Center in Rockville, Maryland. At this meeting, the NICHD's work plan, which includes the research design for the toy observation study (see Section 5f), will be reviewed and discussed to assure the objectives of the agreement will be met within the time constraints specified. The work plan must demonstrate an understanding of the objectives of the agreement, how they are to be met, and the schedule of work to be completed.
- c. Within six (6) weeks after the NICHD postdoctoral fellow starts work, the NICHD shall submit a revised work plan and study design, if necessary, which incorporates and addresses all changes agreed upon at the initial meeting and during follow-up discussions between the NICHD and the CPSC Contracting Officer's Representative.
- d. Within eight (8) weeks after the NICHD postdoctoral fellow starts work, the NICHD shall commence verbal telephone updates to the Contracting Officer's Representative, which shall occur as requested by either party in accordance with the Reporting Requirements.

- e. The NICHD shall examine the following CPSC-furnished documents (see section entitled "CPSC Furnished Equipment/Supplies"):
 - 1) A list of all toys for the toy observation study
 - 2) *The Age Determination Guidelines: Relating Children's Ages to Toy Characteristics and Play Behavior* (2002)
 - 3) CPSC Toy Guidelines Research Document (2001)
 - 4) *Guidelines for Relating Children's Ages to Toy Characteristics* (1985)
 - 5) A critique of the current *Guidelines* to be prepared by CPSC staff.
 - 6) All updates to the *Guidelines* and other relevant information as specified by the CPSC Contracting Officer's Representative

- f. The NICHD shall perform an expanded literature search, and shall abstract the findings in the form of an annotated bibliography. This literature search shall include, but not be limited to, locating and examining:
 - 1) Current toy catalogs (web-based and otherwise) from various toy manufacturers, with particular emphasis on the largest toy manufacturers.
 - 2) Existing research on the development of children's play activities and interests, including but not limited to typical toy selections.
 - 3) Existing research on the development of children's capabilities in the physical/motor, cognitive, and social domains at each of the relevant developmental stages (infant, toddler, and preschooler).
 - 4) Other relevant toy, child development, educational, marketing, advertising, and related literature, research, and information from 2002 through present. This information should include, but not be limited to, the appeal and appropriateness of toys and various toy characteristics/features to children ranging in age from birth to 12 years.

- g. The NICHD shall conduct a research study on the age appropriateness of toys. This study shall include, but not be limited to:
 - 1) Evaluating each of the approximately one to two dozen toys on the list supplied by CPSC, taking into account the features of each toy and

children's capabilities that are needed to effectively play with the toy, as well as statements and images on the package. This information will then be used to hypothesize the most appropriate age range for each toy. Supplemental toys may also be added in accordance with current literature and toy catalogs.

- 2) Developing a study plan and data collection sheet for collecting observational data for each of the toys on the list supplied by the CPSC with children birth through 12 years, taking into account the strengths and weaknesses of prior studies conducted to inform the development of the 2002 *Age Determination Guidelines*.
 - 3) Before the study is carried out, the NICHD must receive approval from the NIH Institutional Review Board (IRB) as well as from CPSC; once the NICHD has received approval from the NIH IRB, the NICHD shall submit the entire NIH IRB application and approval form to the CPSC Contracting Officer's Representative who will submit the form to the CPSC IRB committee and communicate the committee's decision to NICHD.
 - 4) Collecting observational data on children birth through 12 years playing with the toys from the list supplied by CPSC.
 - 5) Conducting an (optional) Focus Group with parents of children birth through 12 years. Before NICHD conducts any Focus Group research, the CPSC Contracting Officer's Representative must first obtain clearance for a Generic Focus Group.
- h. No later than twelve (12) months after NICHD and CPSC IRB approval, the NICHD shall prepare and submit an email copy of the first-draft written report summarizing the research study, and provide age classification recommendations for all toys in the study. When reporting the results of the study, NICHD shall not collapse findings across more than one of the mechanical use and abuse testing age ranges: 18 Months of Age or Less, Over 18 but not Less than 36 Months of Age, Over 36 but Not Over 96 Months of Age.
 - i. No later than one month after receipt of CPSC feedback about the first-draft report, , the NICHD shall prepare and submit an email copy of the second-draft written report of the research study.
 - j. No later than one month after the receipt of CPSC second-draft feedback, the NICHD shall prepare and submit an email copy of the final-draft written report of the research study; the NICHD shall send the manuscript through

NIH clearance process; subsequently the CPSC Contracting Officer's Representative will send the manuscript approved by NIH through Section 6(b) clearance.

- k. Upon receipt of the CPSC-cleared report containing the research study, the NICHD shall submit the cleared written report of the research study for publication in a peer-reviewed journal. Authorship and acknowledgements will be determined by the contributions of the involved parties. All publications shall reference the source of funding as described in Section 12(b). During the preparation of this manuscript, the NICHD shall work closely with the CPSC Contracting Officer's Representative to ensure that additional Section 6(b) clearance is not required, under Section 12(a) of this Interagency Agreement, before sending in the finalized manuscript for publication.
- l. Once the research study has been accepted for publication, the NICHD shall email the CPSC Contracting Officer's Representative with a file containing a final editable version of the published study.
- m. The NICHD shall update the existing *Guidelines* (2002) by incorporating relevant information from the literature review and the research study. The updates shall include, but not be limited to:
 - 1) A brief summary of the NICHD study and the methodologies used, as well as how they build off of previous studies carried out for earlier updates to the *Guidelines*.
 - 2) Make any necessary changes or additions to the Children's Basic Abilities and Preferences section.
 - 3) Use the results of the literature review and the research study to add or change references for specific toys throughout the *Guidelines*; describe the appeal and appropriateness of toys and toy characteristics/features in terms of the age of a child.
 - 4) Update the Table of Contents, list of References, and Toy Index as necessary.
 - 5) Incorporate relevant feedback from a critique of the current *Guidelines* to be prepared by CPSC staff.
 - 6) Updates written in accordance with the Reporting Requirements (Section 6).

- n. No later than four (4) months after receipt of the CPSC-cleared report containing the research study, the NICHD shall submit one (1) original and three (3) copies of a written status and recommendations report entitled "CPSC Toy Guidelines: Research Document" to the CPSC Contracting Officer's Representative, in accordance with the Reporting Requirements. The report shall include:
- 1) A description of the work performed by the NICHD for the duration of the agreement.
 - 2) An annotated bibliography that describes all literature researched and reviewed to meet the objectives of this agreement.
 - 3) All raw data in tabulated form including methodology, qualitative and quantitative results, and all other detailed findings that address the issues and objectives set forth in the Performance Work Statement.
 - 4) Descriptions of unresolved problems encountered by the NICHD, and recommendations for future research and/or special projects that may resolve these problems.
 - 5) Recommendations for future work, research, or projects to further update the *Guidelines*.
 - 6) A spreadsheet containing all proposed content changes for the updated *Guidelines*, justification for each proposed change, and a reference to where each addition or revision is contained in the *Guidelines*.
- o. No later than four (4) months after receipt of the CPSC-cleared research study, the NICHD shall email the CPSC Contracting Officer's Representative with a file containing an editable electronic version of the written status and recommendations report compatible with Microsoft Word 2010 or higher.
- p. No later than two months after delivery of the "CPSC Toy Guidelines: Research Document", the NICHD shall prepare and submit an email copy of the first-draft version of the updated *Guidelines* to the CPSC Contracting Officer's Representative, in accordance with the Reporting Requirements.
- q. No later than one month after receipt of first-draft CPSC feedback, the NICHD shall prepare and submit an email copy of the second-draft version of the updated *Guidelines* to the CPSC Contracting Officer's Representative, in accordance with the Reporting Requirements. These versions shall incorporate any additional work performed by the NICHD since submission

of the first-draft versions, and shall be revised based on first-draft comments from the CPSC Contracting Officer's Representative.

- r. No later than one month after receipt of CPSC second-draft feedback, the NICHD shall prepare and submit one (1) original and three (3) bound hard copies of final versions of the updated *Guidelines* to the CPSC Contracting Officer's Representative, in accordance with the Reporting Requirements. These versions shall be revised based on second-draft comments from the CPSC Contracting Officer's Representative.
- s. No later than one month after receipt of CPSC second-draft feedback, the NICHD shall email the CPSC Contracting Officer's Representative with a file containing a final, editable electronic version of the updated *Guidelines*. The electronic document shall be created in Microsoft Word 2010 or later.
- t. No later than 20-months after NCHID and CPSC IRB approval, the NICHD shall email the CPSC Contracting Officer's Representative a keyword-searchable electronic version of the updated *Guidelines*. This electronic version of the *Guidelines* shall have the following characteristics:
 - 1) It shall be a single Adobe Acrobat PDF file; one that is readable by Adobe Acrobat Reader X Pro for Microsoft Windows® 7.
 - 2) All references to page numbers shall include hyperlinks that allow the user to jump to the page number referenced.

6. Resources

a. NICHD Resources

NICHD is responsible for the personnel, laboratory support, observational study subjects necessary for the completion of all tasks described under this agreement.

b. CPSC Resources

CPSC will provide funding to NICHD in the amount of \$207,600 in FY2014 for supplies, equipment, and personnel support needed to fulfill the requirements of this agreement. The full \$207,600 is allocated for the duration of the project.

7. Reporting Requirements

The NICHHD shall submit the following reports to the CPSC Contracting Officer's Representative:

a. Oral Reports

Within eight (8) weeks after the postdoctoral fellow starts work, the NICHHD shall provide verbal telephone updates to the CPSC Contracting Officer's Representative as requested by either party. The contents of these reports shall include:

- 1) Current status and progress of the work,
- 2) Unresolved problems encountered or anticipated by the NICHHD, and proposed resolutions to these problems, and
- 3) Work expected to be conducted and/or completed during the following four (4) weeks.

b. Final Reports, including all drafts

- 1) The report shall be printed on paper, and in the following format:

Standard 8 ½ inches x 11 inches paper size

- a) One-inch margins
- b) Word processed
- c) Reproducible

- 2) The report shall contain the following:

- a) Cover in heavier stock paper than inner pages (only necessary for original copy of final report)
- b) Title page, which includes:
 - (1) Title of the report

- (2) Interagency Agreement number CPSC-I-14-0016
- (3) The following statement: "U.S. CONSUMER PRODUCT SAFETY COMMISSION (CPSC) STAFF DOCUMENT."
- (4) Name of the Performer
- (5) Names of all personnel who have worked or are working on the project
- (6) Version of the report (i.e., First Draft, Second Draft, or Final)

- c. The NICHD shall submit the deliverables to the CPSC Contracting Officer's Representative, at:

Khalisa Phillips, Ph.D.

Division of Human Factors

Directorate of Engineering Sciences

U.S. Consumer Product Safety Commission

5 Research Place

Rockville, MD 20850

KPhillips@cpsc.gov

- d. Email a copy of the cover letter transmitting the above to the CPSC Contracting Officer.
- e. All materials to be delivered shall be packaged in accordance with the NICHD's best commercial practice to guarantee undamaged delivery to final destination.

8. Key Deliverables

- a. The following items shall be delivered or performed in accordance with the following schedule:

<i>Item</i>	<i>Quantity</i>	<i>Deliverable Dates</i>
1. Kick-off meeting with CPSC and NICHD (See Section 5(a))	N/A	September 15, 2014
2. First-draft of research study (See Section 5(h))	Email copy	NLT twelve (12) months after NICHD and CPSC IRB approval
3. Final-draft of research study (See Section 5(j))	Email copy	NLT one (1) month after receipt of CPSC 2 nd draft feedback
4. Publication of research study (See Section 5(l))	One (1) set of originals and three (3) sets of copies	Timing dependent upon journal
5. Written status and recommendations report (See Section 5(n)(1-6))	One (1) set of originals and three (3) sets of copies	NLT four (4) months after receipt of the CPSC-cleared research study
6. First-draft of updated <i>Guidelines</i> (See Section 5(p))	Email copy	NLT two (2) months after delivery of "CPSC Toy Guidelines: Research Document"
7. Final version of updated <i>Guidelines</i> (See Section 5(r))	One (1) set of originals and three (3) sets of copies	NLT one (1) month after CPSC 2 nd draft feedback

9. Review and Acceptance of Deliverables

- a. All materials submitted for approval will be reviewed by the CPSC staff within 21 working days after date of receipt.

- b. Acceptance/rejection will be transmitted to the NICHD by the CPSC Contracting Officer's Representative, in writing via email, within five (5) working days after review of deliverables.
- c. Acceptance/rejection will be based on conformance with the Performance Work Statement and with professional standards.
- d. Upon submission of any deliverable, including reports specified in Reporting Requirements, the NICHD shall submit to the Contracting Officer a copy of the dated cover letter transmitting such deliverable.

10. Period of Performance

The period of performance of work shall begin on the effective date of the Agreement and can be extended at "no-cost" for an additional two years beyond NICHD and CPSC IRB approval. This agreement may be modified or cancelled by mutual consent of CPSC and NICHD.

11. CPSC Furnished Materials/Equipment/Support

- a. All materials provided hereunder are for exclusive use in performance of this Agreement. Any such material not expended in performance of this Agreement shall be returned to CPSC upon completion of the Agreement.
- b. All other materials and equipment required in the performance of this Agreement shall be furnished by the NICHD, unless agreed upon otherwise by CPSC.

12. Disclosure of Information

- a. The NICHD shall submit to the Commission all reports, manuscripts, or other documents containing the results of work performed under this Agreement, before such documents are published or otherwise disclosed to the public, to assure compliance with Section 6(b), of the Consumer Product Safety Act (15 U.S.C. 2055(b)), Commission regulations (16 C.F.R. Part 1101), and a Commission directive (Order 1450.2). These provisions restrict disclosure by Commission Grantees of information that (1) permits the public to identify particular consumer products or (2) reflects on the safety of a class of consumer products. Prior submission allows the Commission staff to review the information and comply with applicable restrictions. CPSC should be

advised of the NICHD's desire to submit or publish and abstract or report as soon as possible.

- b. Any publication of or publicity pertaining to, the released documents shall include the following:

"This project (study) (report) has been funded with federal funds from the United States Consumer Product Safety Commission under agreement number CPSC-I-14-0016. The content of this publication does not necessarily reflect the views of the Commission, nor does mention of trade names, commercial products, or organizations imply endorsement by the Commission."

13. Disagreements

In the event that the CPSC and the NIH have a disagreement arising under this IAG, the parties shall cooperatively seek to resolve the disagreement by themselves. If the disagreement cannot be resolved, then the parties agree to seek the assistance of a third party to resolve the disagreement.

14. Funding and Accounting Data

The transfer of funds shall be from CPSC to NICHD through the On-Line Payment Collection (OPAC) system using the following accounting data:

Transfer From: CPSC BETC: DISB
Taxpayer ID Number (TIN): 520978750
Agency Location Code (ALC): 61-00-0001
DUNS: 069287522
US Treasury Code: 61140100
AMOUNT: \$207,600.00
ACCOUNTING DATA: 0100A14DSE 2014 2256000000 EXHR004400 253C0

Transfer To: NICHD BETC: COLL
Taxpayer ID Number (TIN): 52-0858115
Agency Location Code (ALC): 75-08-0031
DUNS: 927645168
US Treasury Code: 075
AMOUNT: \$207,600.00
ACCOUNTING DATA: CAN: 8018215 / Project: 137970

15. Liaison Officers

FOR NIH:

Gina Elmore
National Institutes of Health
Eunice Kennedy Shriver National Institute of
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6705 Rockledge Drive, Suite 8030
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(301) 496-6192
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FOR CPSC:

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U.S. Consumer Product Safety Commission
National Product Testing and Evaluation Center
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(301) 987-2592
kphillips@cpsc.gov

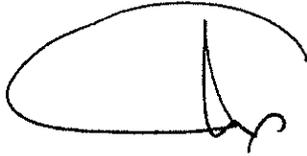
16. Authority

FOR CPSC: Section 27(g) of the Consumer Product Safety Act, (15 U.S.C. 2076(g))

FOR NIH: Section 300(b) of the Public Health Service Act, 42 USC 241 (b)

Approved and Accepted for

National Institutes of Health



BY: Constantine A. Stratakis, M.D., D(Med)Sci.

Approved and Accepted for

Consumer Product Safety
Commission



BY: Donna Hutton

TITLE: Scientific Director, NICHD

TITLE: Contracting Officer

DATE: 8/26/14

DATE: 8/26/2014