

Fiscal Year 2013 Operating Plan

(To implement the FY 2013 Budget Request)

CPSC Stands for Safety



UNITED STATES OF AMERICA
**CONSUMER PRODUCT
SAFETY COMMISSION**

Approved January 18, 2013

U.S. Consumer Product Safety Commission
2013 Operating Plan
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UNITED STATES
CONSUMER PRODUCT SAFETY COMMISSION
4330 EAST WEST HIGHWAY
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DATE: December 5, 2012

TO: The Commission

THROUGH: Todd Stevenson, Secretary
Mary T. Boyle, Acting General Counsel

FROM: Kenneth R. Hinson
Executive Director

SUBJECT: Fiscal Year 2013 Operating Plan

Overview

This memorandum requests Commission approval of the fiscal year 2013 operating plan. The proposed operating plan is aligned with the President's 2013 budget request currently pending before Congress. The 2013 budget request is for \$116,425,000 and 562 full-time equivalent (FTE) employees, an increase of \$1,925,000 above the fiscal year 2012 appropriated level. The 2013 budget request also includes an additional \$6,000,000 available for 2 years to pay for costs related to potential headquarters relocation; these funds are intended for relocation only.

Currently, Congress has enacted a 6-month continuing resolution through March 27, 2013, and OMB has apportioned \$53,026,000 to bridge agency funding while deliberations continue on a final 2013 appropriation. The 2013 CPSC mid-year process, which occurs in the third fiscal quarter, will serve as an addendum to this 2013 operating plan, with staff-recommended adjustments that account for any differences between the budget request and the final available appropriation.

The agency results envisioned in the 2013 operating plan are consistent with the Commission's 2013 Performance Budget Request to Congress. In addition, the operating plan has been reformatted to make information easier to locate and enhanced to include additional content for improved insight into agency operations. The operating plan includes:

- Organization funding and FTE levels and corresponding Strategic Plan alignment
- Voluntary Standards summary
- Mandatory Standards summary
- Complete list of resourced projects by lead organization and accompanying descriptions
- Major Milestones by organization
- Operating performance measures by organization (consistent with annual goals as included in the FY 2013 budget request to Congress) – (Note that nearly all the 180+ annual goals from the 2013 Performance Budget Request have been transitioned to the 2013 Operating Plan and classified as either: (1) annual Milestones, (2) internal Operating Performance Measures, or (3) Budget Key Performance Measures. Further, offices have included some proposed new Milestones and Operating Performance Measures.)

- Budget Key Performance Measures (identical to those measures included in the FY 2014 Budget Request to OMB)

Compliance

Continued rulemaking under the Consumer Product Safety Improvement Act of 2008 (CPSIA) has resulted in the need for an increase in enforcement activities to ensure products in the marketplace are compliant with the CPSC's rules and regulations. Within the agency's compliance function, there is also a heightened effort to educate the regulated community on the best way to design and manufacture compliant products by taking our safety message to the source.

The CPSC pursues a multifaceted approach to ensure that consumer products on the market do not pose an unreasonable risk of death or injury and comply with consumer product safety rules. This approach involves a combination of targeted surveillance of identified and emerging risks and proactive monitoring of the general marketplace to minimize the potential for the purchase of unsafe products.

In addition, compliance efforts support regulatory activities, including data analysis, investigations, and assessments of the levels of compliance with new regulations.

The compliance and enforcement programs for 2013 include:

- Public pool and spa requirements;
- CPSIA-mandated requirements for cribs, toddler beds, play yards, bed rails, strollers, and swings; and
- Federal Hazardous Substances Act regulations for toys, bath seats, rattles, pacifiers, infant pillows, refuse bins, and refrigerators.

Import Surveillance

A dramatic increase in imported consumer products has resulted in an amplified need for enforcement at the ports. The CPSIA directed the CPSC to create an International Trade Data System/Risk Assessment Methodology (ITDS/RAM) to identify products imported into the United States that are most likely to violate consumer product safety statutes and regulations. In response, CPSC has initiated a limited proof-of-concept pilot ITDS/RAM targeting system that uses data collected by U.S. Customs and Border Protection's (CBP) International Trade Data System, and integrates those data with CPSC surveillance systems to analyze incoming import lines. High-risk imports are targeted based on a predetermined rule set and stopped at the port for inspection. If a violation is found, the shipment is denied entry into the United States, eliminating a potential public health and safety concern before the product enters the marketplace. The 2013 operating plan provides contract funding to operate the pilot system at 15 major U.S. ports (out of 327 total ports). The intent of the pilot is to make a modest investment to gather and analyze data to ascertain whether this risk-based approach results in increased effectiveness in our import enforcement efforts. The Commission has previously established the four threshold success criteria, which are:

- Improve import surveillance targeting effectiveness;
- Facilitate legitimate trade;
- Improve working effectiveness with CBP to harness existing federal port resources in the interdiction of noncompliant consumer product imports; and
- Protect U.S. intellectual property, consistent with the CPSC's safety mission.

In addition, the CPSC will continue to collaborate with CBP at the Commercial Targeting and Analysis Center (CTAC) to implement national operations designed to optimize the federal government's response to product risk at importation. The CPSC will also continue to provide support to CBP's Automated Commercial Environment, which is an interface connecting CBP, the trade community, and federal government agencies in order to manage the admissibility of goods entering and exiting the country.

Hazard Identification

The Commission has a significant number of CPSIA-mandated rulemakings yet to be completed. This includes creating mandatory standards for durable nursery products, pursuant to the Danny Keysar Child Product Safety Notification Act, Section 104 of the CPSIA. In 2013, the CPSC will prepare draft final rules for:

- Bassinets,
- Bassinet attachments to play yards,
- Bedside sleepers
- Handheld carriers,
- Soft infant carriers, and
- Strollers.

The CPSC will also continue up-front work related to conducting a durable nursery products exposure survey that will provide baseline data on exposure, use, and characteristics of durable infant and toddler products, and their users.

The CPSC staff also will prepare draft final rules for child-resistant packaging for products containing a specified amount of imidazolines; rare earth magnet sets; and the revision of CPSC regulations related to animal testing. In addition, draft proposed rules will be prepared for recreational off-highway vehicles (ROVs), infant slings, and revisions to the FHSA definition of "strong sensitizer." Technical work will continue on table saws, portable generators, fireworks, and firepots/gel fuels. Three petitions will be evaluated: crib bumpers; architectural glazing, and unblockable drain systems.

Education, Global Outreach, and Small Business Ombudsman

The CPSC conducts educational and outreach activities for domestic and international stakeholders, including foreign governments, academic institutions, manufacturers, small businesses, retailers, and resellers. In 2013, the focus of the CPSC's work will be on continuing to develop relationships with academic institutions and hosting educational roundtables to expand the CPSC's effectiveness and reach. Emphasis will continue to be on initiatives to align product safety requirements at the highest possible levels of safety with counterparts in the Western Hemisphere, the European Union, and China. To reach a broad audience, the agency will continue its outreach efforts via webinars, trade show presentations, and similar events, and develop plain English regulatory summaries and other guidance that are disseminated via: www.CPSC.gov. The agency will maintain a presence in Beijing, China.

Communications

The CPSC is expanding its communication efforts to reach millions of consumers with vital safety information through a highly leveraged public outreach strategy. In 2013, the agency plans to secure a presence on new social media platforms in order to bolster its existing, multifaceted communication strategy. The agency will continue to reach consumers through our OnSafety blog, Twitter handle, YouTube channel, Flickr site, recall widgets, recall phone apps, and traditional media platforms (such as

print, radio, and broadcast interviews; news releases; and videos) to achieve hundreds of millions of impressions of CPSC's safety messages. Communications staff will continue to oversee operation of the Customer Service Hotline—(800) 638-2772—which provides consumers with a touch point to have person-to-person contact with the agency in order to communicate potential product incidents and to request safety-related publications.

The CPSC's 2013 communication programs include:

- Virginia Graeme Baker Pool and Spa Safety Act Information and Media campaign,
- Minority Outreach campaign,
- Safe Sleep campaign, and
- Neighborhood Safety Network program (7,500 members).

Information Technology

The CPSIA directed the CPSC to expedite efforts to upgrade and improve the agency's information technology (IT) systems. In response, the CPSC initiated a multiyear IT modernization effort to streamline collection and integrate once-disparate systems to enable better analysis and communication of the resulting information. In 2013, the agency is scheduled to complete all contract obligations for the original project development scope for the IT modernization effort, transitioning to operations and maintenance in subsequent fiscal years.

Next Steps

The staff will brief the Commission on the attached proposed Operating Plan for fiscal year 2013. Following the Commission vote, the staff will make any adjustments directed by the Commission and post the final plan to the CPSC public website.

Attachments

- Attachment 1: Funding and FTE by Major Organization
- Attachment 2: FY 2013 Plan by Strategic Goal and Program Component
- Attachment 3: Budget Key Performance Measures Summary
- Attachment 4: Voluntary Standards Summary
- Attachment 5: Mandatory Standards Summary
- Attachment 6: Operating Plan Details for Mission Organization
- Attachment 7: Discontinued Annual Goals from 2013 Performance Budget Request

Attachment 1: Funding and FTE by Major Organization

This attachment provides the operating budget levels for each organization at the FY 2013 Request to Congress (column 1) and the corresponding FTE levels (column 2).

(Dollars in Thousands)	2013 Request	
Budget Details	Budget	FTE
Commissioners	\$ 124.0	21.0
Office of Compliance & Field Operations		
<i>Compliance – HQ</i>	\$ 162.3	54.0
<i>Compliance – Field Operations</i>	\$ 1,735.0	111.0
Office of Education, Global Outreach, and Small Business Ombudsman	\$ 788.3	10.0
Office of Import Surveillance	\$ 445.4	33.0
Office of Hazard Identification & Reduction		165.0
<i>Office of Hazard Identification</i>	\$ 2,113.0	
<i>Laboratory Sciences</i>	\$ 1,000.0	
<i>Nano</i>	\$ 2,000.0	
<i>NEISS</i>	\$ 2,100.0	
Office of Communications		10.0
<i>Office of Communications</i>	\$ 1,074.2	
<i>VGB PSSA Information & Education</i>	\$ 1,000.0	
<i>Hotline</i>	\$ 867.8	
Office of Information & Technology Services		41.0
<i>Office of Information Technology</i>	\$ 62.4	
<i>IT Infrastructure</i>	\$ 7,161.8	
<i>Commission's Information Systems</i>	\$ 1,342.6	
<i>CPSRMS</i>	\$ 4,700.0	
<i>International Trade Data System/Risk Assessment Methodology - Import</i>	\$ 1,600.0	
Office of the Executive Director	\$ 50.0	5.0
Office of the General Counsel	\$ 495.4	51.0
Office of Human Resources Management	\$ 410.7	10.0
Office of Financial Management, Planning, & Evaluation	\$ 609.9	28.0
Office of Facilities Services (excludes Rent/Util/Security)	\$ 1,680.0	10.0
Office of Legislative Affairs	\$ 20.1	3.0
Office of EEO & Minority Enterprise	\$ 46.9	2.0
Office of the Inspector General	\$ 125.2	8.0
<u>Centrally Managed Costs:</u>		
Salaries	\$ 75,105.9	
Rent/Util/Security	\$ 9,600.0	
Representation Fund	\$ 4.0	
Total	\$ 116,425.0	562.0
Relocation	\$ 6,000.0	
Total including Relocation	\$ 122,425.0	562.0

Attachment 2: FY 2013 Plan by Strategic Goal and Program Component

(Dollars in Thousands)

Organization	FY2013 Op Plan	FTE	Goal 1	Goal 2	Goal 3	Goal 4	Goal 5
Chairman & Commissioners	\$ 2,934	21	\$ 2,934	\$ -	\$ -	\$ -	\$ -
Hazard Identification and Reduction	\$ 29,257	165	\$ 158	\$ 13,464	\$ 10,852	\$ 4,610	\$ 173
Compliance and Field Operations	\$ 23,940	165	\$ 626	\$ 801	\$ 5,753	\$ 16,663	\$ 97
Import Surveillance	\$ 4,854	33	\$ -	\$ -	\$ 4,854	\$ -	\$ -
Education, Global Outreach, and Small Business	\$ 2,124	10	\$ 2,124	\$ -	\$ -	\$ -	\$ -
General Counsel	\$ 7,309	51	\$ 318	\$ 2,740	\$ 611	\$ 2,076	\$ 1,564
Information Technology	\$ 20,345	41	\$ 1,736	\$ 2,319	\$ 11,660	\$ 3,284	\$ 1,346
Agency Management	\$ 24,467	68	\$ 4,457	\$ 3,560	\$ 5,752	\$ 5,255	\$ 5,443
Inspector General	\$ 1,195	8	\$ 239	\$ 239	\$ 239	\$ 239	\$ 239
Grand Total	\$ 116,425	562	\$ 12,592	\$ 23,123	\$ 39,721	\$ 32,127	\$ 8,862

Note: The Operating Plan funding allocation in this table includes the associated salary, rent, utilities, and other overhead budgeted costs.

Attachment 3: Budget Key Performance Measures Summary

In addition to these budget key performance measures, there are internally reported annual milestones and operating performance measures, which are in Tables 5 and 6 of each office's submission (see Attachment 6).

SG	ID	Office	Performance Measure Statement	FY2013 Target
Strategic Goal 1: Leadership in Safety				
	1.2.1	Global	Number of training or outreach seminars for foreign manufacturers conducted by CPSC staff	1
	1.2.2	Global	Number of staff exchanges with foreign counterparts undertaken as part of the Extended Training Exchange Program	6
	1.2.3	Global	Number of new collaborations undertaken with domestic non-government organizations (NGOs) and universities	2
	1.4.1	Global	Number of products discussed with foreign counterparts	3
	1.6.1	Personnel	Employee retention rate	85%
	1.6.2	Personnel	Average hiring time (recruitment time using OPM's End-to-End hiring process) (days)	80
	1.6.3	Personnel	Training participation rate	80%
Strategic Goal 2: Commitment to Prevention				
			monitored by CPSC staff	
	2.1.2	Hazard	Number of collaborations established or maintained with other organizations to work on nanotechnology research or issues affecting consumer products	8
		Hazard	Number of reports produced on the results of collaboration on nanotechnology issues affecting consumer products	
		Hazard	Number of candidates for rulemaking prepared for Commission consideration	
Strategic Goal 3: Rigorous Hazard Identification				
	3.1.1	Hazard	Percentage of National Electronic Injury Surveillance System (NEISS) member hospitals evaluated at least once a year	98%
	3.1.2	Hazard	Percentage of consumer product-related injury cases correctly captured at NEISS hospitals	90%
	3.2.1	Hazard	Time from incident report received to adjudication of incident report (days)	10
	3.2.2	Hazard	Percentage of priority import samples processed within 30 days	95%
	3.2.3	Hazard	Percentage of priority fireworks samples processed within 60 days	90%
	3.4.1	Import	Number of import examinations	13,000
	3.4.2	Import	Sample yield per 100 import entries	26
	3.4.3	Import	Percentage of import shipments cleared within 1 business day	Baseline*
	3.4.4	Import	Percentage of CPSC import entry hold requests acted on by CBP	Baseline*

SG	ID	Office	Performance Measure Statement	FY2013 Target
	3.4.5	Import	Establish an ITDS/RAM rule set to target intellectual property violations where a health and safety hazard is suspected in consumer product imports	--**
	3.5.1	Compliance	Total number of products screened by CPSC Field staff	Baseline*
	3.5.2	Compliance	Number of consumer products screened by CPSC Field staff through Internet surveillance activities	Baseline*
	3.5.3	Hazard	Number of annual reports completed on consumer product-related fatalities, injuries, and/or losses for specific hazards	11
	3.6.1	Compliance	Number of used/resale consumer products screened by CPSC Field staff	Baseline*
Strategic Goal 4: Decisive Response				
	4.1.1	Compliance	Number of establishment inspections conducted by CPSC Field staff	1,000
	4.1.2	Compliance	Percentage of products screened by CPSC Field staff resulting in violations	Baseline*
	4.1.3	Hazard	Number of items/component parts tested for specific standards and regulations	36,000
	4.3.1	Compliance	Percentage of cases for which the preliminary determination is made within 85 business days of the case opening	70%
	4.3.2	Compliance	Percentage of cases for which the corrective action is accepted within 60 business days of the preliminary determination	80%
	4.3.3	Compliance	Percentage of cases in which the firm is notified of a violation in a timely manner	Baseline*
	4.3.4	Compliance	Percentage of Fast-Track cases with corrective actions initiated within 20 business days	90%
	4.4.1	Communications	Average number of days from first draft of recall press release completed to recall press release issued	Baseline*
	4.5.1	Compliance	The percentage of compliance defect investigation cases referred within 10 business days to OGC for review of firms' timely reporting pursuant to Section 15(b)	Baseline*
Strategic Goal 5: Raising Awareness				
	5.1.1	Communications	Percentage of the population that reports awareness of the CPSC	Baseline*
	5.1.2	Communications	Percentage of consumers who report acting on a CPSC safety message	Baseline*
	5.2.1	Communications	Number of public information campaigns conducted by CPSC on specifically identified consumer product safety hazards	24
	5.2.2	Communications	Number of impressions received by consumers of CPSC safety messages (in millions)	2,635
	5.3.1	Communications	Number of impressions of CPSC safety messages on priority hazards received by consumers in vulnerable communities (in millions)	210

* Baseline: CPSC formulated several new performance measures in FY 2012. In instances where the FY2013 target is listed as "baseline," this indicates that data and results will be compiled in FY2013 to establish an informed target in FY2014.

** There is no target for 2013. Rule set will be established in FY 2014.

Attachment 4: Voluntary Standards Summary

A consumer product voluntary standard is a prescribed set of rules, conditions, or requirements concerning definitions of product-related terms; classification of components; specification of materials, performance, or operations; delineation of procedures; or measurement of quantity and quality in describing products, materials, systems, services, or practices relating to the safety of consumer products used in and around the home, outdoors, and in schools.

The CPSC's statutory authority requires it to rely on voluntary standards rather than promulgate mandatory standards if compliance with a voluntary standard would eliminate or adequately reduce the risk of injury identified and it is likely there will be substantial compliance with the voluntary standard. CPSC staff works with organizations that coordinate the development of voluntary standards.

Each voluntary standards activity is an ongoing process that may involve multiple revisions to a standard within 1 year or over multiple years, and it may continue in subsequent years, depending on the activities of the voluntary standards committees and the priorities of the Commission.

CPSC staff plans to provide support¹ for, or monitor, voluntary standards work for the products below:

#	2013 OP Plan
1	air cleaners (ozone-generating)
2	amusement rides (portable)
3	architectural glazing
4	baby monitors
5	bassinets/cradles
6	bath seats (infant)
7	batteries (<i>e.g.</i> , lithium, electronic devices, toy, button)
8	bed rails
9	beds (bunk)
10	beds (toddler)
11	bedside sleepers
12	bicycles
13	booster seats
14	building materials and furnishings
15	candles
16	chairs (high)
17	chairs (youth)
18	changing tables
19	child-resistant packaging
20	children's metal jewelry
21	clothes dryers
22	CO alarms
23	cookers, pressure
24	cooktops

¹ Support may include, among other things, providing injury data and hazard analyses, encouraging the development of a voluntary safety standard, identifying specific risks of injury, performing research, developing health science data, performing laboratory technical assistance, and/or taking other actions that the Commission, in a particular situation, determines may be appropriate. A listing of these activities can be found at 16 CFR § 1031.7.data.

#	2013 OP Plan
25	cribs (commercial)
26	cribs (full-size)
27	cribs (non-full-size/play yards)
28	drywall
29	fireworks
30	fuel tanks (leakage)
31	furnaces
32	garage doors/gate operators
33	gasoline containers (child-resistant closures, flame arrestors)
34	glass front gas fireplaces
35	headgear (recreational)
36	heaters
37	infant bedding/accessories
38	infant bouncers
39	infant carriers (frame)
40	infant carriers (handheld)
41	infant carriers (soft)
42	infant gates
43	infant inclined sleep products (was known as infant hammocks)
44	infant slings
45	infant swings
46	infant tubs
47	infant walkers
48	inflatable play devices
49	ladders
50	lighters, cigarette
51	mattresses, inflatable air
52	mowers
53	nanotechnology
54	National Electrical Code
55	off-highway vehicles
56	phthalates
57	playground equipment (children under 2 yrs)
58	playground equipment (home)
59	playground equipment (public)
60	portable generators
61	power equipment
62	ranges (tip over)
63	smoke alarms
64	soccer goals
65	stationary activity centers
66	strollers
67	swimming pools/spas
68	toys
69	trampolines
70	tree stands (hunting)
71	window coverings

Attachment 5: Mandatory Standards Summary

Mandatory standards are federal rules set by statute or regulation that impose requirements for consumer products. They typically take the form of performance requirements that consumer products must meet or warnings they must display in order to be imported, distributed, or sold in the United States.

The CPSC may set a mandatory standard when it determines that compliance with a voluntary standard would not eliminate or adequately reduce the risk of injury or finds that it is unlikely that there will be substantial compliance with a voluntary standard. The Commission may also promulgate a mandatory ban of a hazardous product when it determines that no feasible voluntary or mandatory standard would adequately protect the public from an unreasonable risk of injury. In some cases, Congress directs and authorizes the Commission to set a mandatory standard.

CPSC staff plans to work on the projects in the table on the next page. This work will involve continuation of rulemaking activities related to the CPSIA, as well as other laws, and it will include data analysis and technical review activities in support of rule review or potential rulemaking activities.

Key to Table	
NPR	Briefing package for a notice of proposed rulemaking
FR	Briefing package for a final rule
DA/TR	Data analysis and/or technical review to support ongoing or potential rulemaking and rule review activities

Mandatory Standards Summary

Items by Major Categories		2013 OP Plan
CPSIA, including Danny Keysar Child Product Safety Notification Act		
1	Bassinet Attachments to Play Yards	FR
2	Bassinets	FR
3	Bedside Sleepers	NPR, FR
4	Chairs (Folding)	DA/TR
5	Chairs (High)	DA/TR
6	Gates and Other Enclosures	DA/TR
7	Infant Bath Tubs	DA/TR
8	Infant Bouncers	DA/TR
9	Infant Carriers (Frame)	DA/TR
10	Infant Carriers (Handheld)	NPR, FR
11	Infant Carriers (Soft)	NPR, FR
12	Infant Inclined Sleep Products	DA/TR
13	Infant Slings	NPR
14	Phthalates or Phthalate Substitutes	DA/TR
15	Strollers	NPR, FR
Accreditation Requirements		
16	Bassinets	FR
17	Bedside Sleepers	FR
18	Infant Carriers (Handheld)	FR
19	Infant Carriers (Soft)	NPR, FR
20	Infant Slings	NPR
21	Strollers	NPR, FR
Implementation of Public Law 112-28		
22	ATVs–Rulemaking	DA/TR
Rule Review		
23	Animal Testing Regulations	FR
24	Bicycles	DA/TR
Other Ongoing or Potential Rulemaking Activities		
25	Firepots and Fuel Gels	DA/TR
26	Fireworks	DA/TR
27	Poison Prevention Packaging Act - Hydroxides	DA/TR
28	Poison Prevention Packaging Act - Imidazolines	FR
29	Portable Generators	DA/TR
30	Rare Earth Magnet Sets	FR
31	Recreational Off-Highway Vehicles (ROVs)	NPR
32	Revisions to FHSA Definition of "Strong Sensitizer"	NPR
33	Surrogate Smoldering Ignition Source	DA/TR
34	Table Saws	DA/TR
35	Upholstered Furniture	DA/TR
36	Voluntary Recall Rule	NPR

The following is a list of final rules promulgated and accreditation standards established since passage of the CPSIA in 2008, in reverse chronological order:

Final Rules

1. “Amendment to Regulation on Testing and Labeling Pertaining to Product Certification for Periodic Testing of Children’s Products” (pending publication in the Federal Register)
2. “Requirements for Child-Resistant Packaging: Products Containing Imidazolines Equivalent to 0.08 Milligrams or More” (pending publication in the Federal Register)
3. “Revisions to Animal Testing Regulations” (pending publication in the Federal Register)
4. “Final Codification of Animal Testing Policy” (pending publication in the Federal Register)
5. “Safety Standard for Infant Swings” 77 FR 66703 (November 7, 2012)
6. “Revisions to Safety Standards for Durable Infant or Toddler Products: Infant Bath Seats and Full-Size Cribs” 77 FR 45242 (July 31, 2012)
7. “Audit Requirements for Third Party Conformity Assessment Bodies” 77 FR 31074 (May 24, 2012)
8. “Standard for All-Terrain Vehicles” 77 FR 12197 (February 29, 2012)
9. “Safety Standard for Portable Bed Rails” 77 FR 12182 (February 29, 2012)
10. “Requirements for Consumer Registration of Durable Infant or Toddler Products” 77 FR 9522 (February 17, 2012)
11. “Testing and Labeling Pertaining to Product Certification” 76 FR 69482 (November 8, 2011)
12. “Conditions and Requirements for Relying on Component Part Testing or Certification, or Another Party’s Finished Product Testing or Certification, to Meet Testing and Certification Requirements” 76 FR 69546 (November 8, 2011)
13. “Virginia Graeme Baker Pool and Spa Safety Act; Interpretation of Unblockable Drain” 76 FR 62605 (October 11, 2011)
14. “Standard for the Flammability of Mattresses and Mattress Pads; Technical Amendment” 76 FR 59014 (September 23, 2011)
15. “Virginia Graeme Baker Pool and Spa Safety Act; Incorporation by Reference of Successor Standard” 76 FR 47436 (August 5, 2011)
16. “Substantial Product Hazard List: Hand-Supported Hair Dryer” 76 FR 37636 (June 8, 2011)
17. “Poison Prevention Packaging Requirements: Exemption of Powder Formulations of Colesevelam Hydrochloride and Sevelamer Carbonate” 76 FR 43847 (July 22, 2011)
18. “Substantial Product Hazard List: Children’s Upper Outerwear in Sizes 2T to 12 With Neck or Hood Drawstrings and Children’s Upper Outerwear in Sizes 2T to 16 With Certain Waist or Bottom Drawstrings,” 76 FR 42502 (July 19, 2011)
19. “Requirements for Bicycles” 76 FR 27882 (May 13, 2011)
20. “Safety Standard for Toddler Beds” 76 FR 22019 (April 20, 2011)
21. “Revocation of Requirements for Full-Size Baby Cribs and Non-Full-Size Baby Cribs” 75 FR 81766 (December 28, 2010)
22. “Safety Standards for Full-Size Baby Cribs and Non-Full-Size Baby Cribs” 75 FR 81766 (December 28, 2010)

23. "Publicly Available Consumer Product Safety Information Database" 75 FR 76832 (December 9, 2010)
24. "Interpretation of Children's Product" 75 FR 63067 (October 14, 2010)
25. "Safety Standard for Infant Walkers" 75 FR 35266 (June 21, 2010)
26. "Revocation of Regulations Banning Certain Baby-Walkers" 75 FR 35279 (June 21, 2010)
27. "Safety Standard for Infant Bath Seats: Final Rule" 75 FR 31691 (June 4, 2010)
28. "Civil Penalty Factors" 75 FR 15993 (March 31, 2010)
29. "Guidelines and Requirements for Mandatory Recall Notices" 75 FR 3355 (January 21, 2010)
30. "Children's Products Containing Lead; Exemptions for Certain Electronic Devices" 75 FR 3154 (January 20, 2010)
31. "Requirements for Consumer Registration of Durable Infant or Toddler Products" 74 FR 68668 (December 29, 2009)
32. "Children's Products Containing Lead; Determinations Regarding Lead Content Limits on Certain Materials or Products" 74 FR 43031 (August 26, 2009)
33. "Children's Products Containing Lead; Interpretative Rule on Inaccessible Component Parts" 74 FR 39535 (August 7, 2009)
34. "Children's Products Containing Lead; Final Rule; Procedures and Requirements for a Commission Determination of Exclusion" 74 FR 10475 (March 11, 2009)
35. "Ban of Lead-Containing Paint and Certain Consumer Products Bearing Lead-Containing Paint" 73 FR 77492 (December 19, 2008)
36. "Exemption From Classification as Banned Hazardous Substance; Exemption for Boston Billow Nursing Pillow and Substantially Similar Nursing Pillows," 73 FR 77493 (December 19, 2008)
37. "Information Disclosure Under Section 6(b) of the Consumer Product Safety Act" 73 FR 72334 (November 28, 2008)
38. "Certificates of Compliance" 73 FR 68328 (November 18, 2008)
39. "Labeling Requirement for Toy and Game Advertisements; Final Rule" 73 FR 67730 (November 17, 2008)
40. "Standard for All Terrain Vehicles" 73 FR 67385 (November 14, 2008)

Accreditation Standards

41. "Third Party Testing for Certain Children's Products; Notice of Requirements for Accreditation of Third Party Conformity Assessment Bodies to Assess Conformity with the Limits on Phthalates in Children's Toys and Child Care Articles" 76 FR 49286 (August 10, 2011)
42. "Third Party Testing for Certain Children's Products; Toys: Requirements for Accreditation of Third Party Conformity Assessment Bodies" 76 FR 46598 (August 3, 2011)
43. "Third Party Testing for Certain Children's Products; Clothing Textiles: Revisions to Terms of Acceptance of Children's Product Certifications Based on Third Party Conformity Assessment Body Testing Prior to Commission's Acceptance of Accreditation" 76 FR 22608 (April 22, 2011)
44. "Third Party Testing for Certain Children's Products: Toddler Beds; Requirements for Accreditation of Third Party Conformity Assessment Bodies" 76 FR 22030 (April 20, 2011)
45. "Third Party Testing for Certain Children's Products; Notice of Requirements for Accreditation of Third Party Conformity Assessment Bodies – Lead Paint" 76 FR 18645 (April 5, 2011)

46. “Third Party Testing for Certain Children’s Products: Full-Size and Non-Full-Size Cribs; Requirements for Accreditation of Third Party Conformity Assessment Bodies” 75 FR 81789 (December 28, 2010)
47. “Third Party Testing for Certain Children’s Products: Children’s Sleepwear; Requirements for Accreditation of Third Party Conformity Assessment Bodies” 75 FR 70911 (November 19, 2010)
48. “Third Party Testing for Certain Children’s Products: All-Terrain Vehicles; Requirements for Accreditation of Third Party Conformity Assessment Bodies” 75 FR 52616 (August 27, 2010)
49. “Third Party Testing for Certain Children’s Products: Mattresses, Mattress Pads, and/or Mattress Sets; Requirements for Accreditation of Third Party Conformity Assessment Bodies” 75 FR 51020 (August 18, 2010)
50. “Third Party Testing for Certain Children’s Products: Clothing Textiles; Requirements for Accreditation of Third Party Conformity Assessment Bodies” 75 FR 51016 (August 18, 2010)

Attachment 6: Operating Plan Details for Mission Organizations

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1. Resource Summary

	Budget 2013 Request (in thousands)	FTE 2013 Request
Office of Hazard Identification	\$ 2,113.0	165
Laboratory Sciences	\$ 1,000.0	
Nanotechnology	\$ 2,000.0	
NEISS	\$ 2,100.0	
Total	\$ 7,213.0	

2. Overview and Key Priorities

The Office of Hazard Identification and Reduction is responsible for managing the Commission's Hazard Identification and Analysis Program and its Hazard Assessment and Reduction Program. The Office develops and implements the agency's operating plans for these two hazard programs. The operating plans generally include the collection and analysis of data to identify hazards and hazard patterns; coordination of voluntary standards activities and international liaison activities related to consumer product safety; and overall direction and evaluation of projects that focus on identifying emerging hazards, developing proposals for submittal to voluntary standards development organizations, evaluating petitions, and providing technical support for rulemaking activities. The Office ensures that relevant technical information is presented comprehensively and objectively to the Commission for consideration in its decision-making. The Office has line authority over the Directorates for Epidemiology, Health Sciences, Economic Analysis, Engineering Sciences, and Laboratory Sciences. In FY 2013, the key priorities will be: maintaining the National Electronic Injury Surveillance System (NEISS), mortality and incident data collection, and follow-up investigations; meeting the mandatory requirements of the CPSIA and P.L. 112-28; and continuing to implement the Integrated Product Team process more completely into incident review and assignment for action; and maintaining and safely operating the National Product Testing and Evaluation Center to support Hazard Reduction, Compliance, and Import Surveillance programs.

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
11179 - National Electronic Injury Surveillance System (NEISS)	3
11282 - Mortality/Incident Data	3
12165 - Investigations	3
13327 - Emerging Hazards	3
13329 - Integrated Teams	3

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Project	Strategic Goal
13330 - Data Intake and Clearinghouse	3
14125 - Economics Studies	3
21103 - Fireworks Regulatory Options	2
21498 - Upholstered Furniture	2
21636 - Firepots and Gel Fuel Rulemaking	2
21660 - Electrical Hazards	2
21725 - Fire Codes and Standards	2
22560 - Children's Hazards	2
22637 - All-Terrain Vehicles (ATVs) (CPSIA § 232 and P.L. 112-28)	2
22638 - Recreational Off-Highway Vehicles (ROVs)	2
22642 - Child Drowning	2
22666 - Mechanical Codes and Standards	2
22727 - Durable Children's Products/Registration (CPSIA § 104)	2
23259 - Chemical Hazards	2
23335 - Carbon Monoxide (CO) Alarms	2
23336 - Portable Generators	2
23337 - Gas Fireplace Petition	2
23447 - Poison Prevention	2
23704 - Nanotechnology	2
23705 - Lead Exemptions	2
23706 - Phthalates (CPSIA § 108)	2
24013 - Laboratory Equipment and Operations Support	2
24505 - Project Support	2
25375 - International Program Support	1
25547 - Rule Review	2
25723 - Lab Accreditation (CPSIA § 102)	2
25725 - Voluntary Standards Coordination	2
25726 - Paperwork Reduction Act	All
25727 - Third Party Testing Costs	2

- National Electronic Injury Surveillance System (NEISS) (11179):** This project supports collection and analysis of initial hazard and injury reports that support virtually all Commission actions. The staff contracts for approximately 410,000 injury reports each year from a statistical sample of about 100 hospital emergency departments. This project also includes coordination of NEISS activities funded by other federal agencies. Staff provides technical statistical support to ensure that: (1) data are collected appropriately; (2) estimates are made using valid statistical techniques; and (3) data are released in a manner that is accurate and verifiable.
- Mortality/Incident Data (11282):** This project provides support for collection of death certificates from each of the 50 states of the United States and from the national network of medical examiners/coroners. Staff also will collect incident reports from news clips; consumer complaints; federal, state, and local governments; fire departments; attorneys; burn centers; and others.

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- **Investigations (12165):** This project supports conducting telephone and on-site follow-up investigations of product-related hazards identified from consumer complaints, news clips, medical examiner reports, NEISS incident reports, and death certificates. The work also includes assignment, performance, review, and disposition of reports from those investigations.
- **Emerging Hazards (13327):** This project focuses on review and analysis of new or potential emerging hazards identified through information that comes into the agency through NEISS, death certificates, incident data, www.SaferProducts.gov, or other sources. For example, based on a data analysis completed in FY 2013, the CPSC will support voluntary standards development activity associated with adult portable bed rails. Staff will also review and evaluate incoming petitions. The docketed petitions that will be evaluated under this project in FY 2013 include: crib bumpers, architectural glazing, and unblockable drain systems. In 2013, staff also will conduct systematic reviews of injury and fatality incidents from 2003 through 2012, that are related to individuals who are age 65 years or older.
- **Integrated Teams (13329):** This project supports tasking and coordinating activities pertaining to incident reports that have been assigned to the integrated teams by an intake and triage process. Activities include: initial review of the assigned incident; referral as necessary to a subject matter expert (SME) for further evaluation; review by the SME; and/or assigning the incident for an In-Depth Investigation (IDI), Product Safety Assessment (PSA), corrective action, standards development, and/or a public safety campaign.
- **Data Intake and Clearinghouse (13330):** Staff will complete coding, data entry, and quality control of reports to be included in the CPSC's public database and/or its internal database; request verification of reports and consent for publication from submitters; determine eligibility of reports for the CPSC's public database (www.SaferProducts.gov); contact submitters to request additional product identification when reports do not include model or serial number in accordance with P.L.112-28; contact submitters to inform them of the reasons that their reports do not qualify for publication; provide notification to manufacturers under Sections 6(c) and 6A of the Consumer Product Safety Act of reports that describe a hazardous incident or safety concern associated with one of their products; process confidential information claims, claims of material inaccuracy, and general comments related to reports posted or to be posted on www.SaferProducts.gov; provide customer support to users of the CPSC's Business Portal; respond to requests for injury data; and develop and implement computer programs used to report on database operations and status, including progress toward performance goals, weekly database statistics, biweekly workflow statistics, and responses to a variety of ad hoc requests from CPSC management, Congress, and GAO.
- **Economics Studies (14125):** This project involves providing specialized economic information and reports to hazard project teams, other project teams and offices, Commissioners, Congress, other agencies, and the public. Staff develops and maintains econometric models, including the Injury Cost Model, to provide injury cost estimates; estimates of product life; estimates of the number of products in use; general, small business and environmental impacts of rulemaking; labeling and recall costs; and other economic information needed for regulatory analyses.
- **Fireworks Regulatory Options (21103):** This project supports work to develop a more efficient and quantitative test method to evaluate aerial firework break charge energy release and to assess potential hazards to consumers associated with new "adult snapper" fireworks. In 2013, staff will continue this research and prepare a report describing the status and results of the work.

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- **Upholstered Furniture (21498):** The Commission published a notice of proposed rulemaking (NPR) in 2008, to develop a consumer product safety standard to address the fire hazard associated with the use of upholstered furniture. In FY 2013, staff will review the results of previous testing and update the project plan based on that review.
- **Firepots and Gel Fuel Rulemaking (21636):** Firepots used with gel fuel present serious fire and burn hazards to consumers. The CPSC issued an ANPR in FY 2012, concluding that firepots and gel fuels present an unreasonable risk to consumers. In FY 2013, the project will support continued analysis of the hazard and beginning development of mandatory safety standards.
- **Electrical Hazards (21660):** This project provides resources for staff monitoring of, and participation in, voluntary codes and standards related to electrical products. In FY 2013, staff will continue work on the mitigation of cooking fires through the use of cooktop sensors for both gas and electric ranges; review work conducted in FY 2012 by the contractor; and, if appropriate, develop substantiation to support making a proposal to Underwriters Laboratories Inc (UL) and the CSA Group. In FY 2013, staff also will present a proposal to amend *UL 1278 Movable and Wall or Ceiling-Hung Electric Room Heaters* regarding the use of manual temperature limiting controls and proximity sensing technologies. The sensing technology would be a means by which electric heaters could detect objects that were too close to the front of them, and thus, potentially ignitable. (If such technologies were to be developed and used on heaters, then methods by which the heater could automatically shut down or alert the user to the potential fire hazard could be explored; that work is not a part of the work planned for FY 13.) Staff also will research ways to limit temperatures on surfaces within clothes dryers, but outside the drum, to try to address fires caused by the ignition of lint.
- **Fire Codes and Standards (21725):** In FY 2013, staff will participate in efforts to revise voluntary standards and model codes to reduce fire deaths and injuries associated with consumer products. Staff will work on voluntary standards for the following products: candles, mattresses, furniture, cigarette lighters, sprinklers, drywall, cellulosic insulation, and fireworks. This project also includes resources for responding to a petition to issue mandatory standards for candles; the Commission in 2006 deferred the petition to give staff time to work on the voluntary standards for candles. It also includes resources to prepare a status report on candidate standard smoldering ignition sources for possible use in existing and proposed regulations. Staff also will prepare the annual data updates on fireworks deaths and injuries and residential fire loss estimates and update the laboratory manuals for the Flammability Fabrics Act (FFA) regulations.
- **Children's Hazards (22560):** This project provides support for preparation of a final rule briefing package for magnet sets, following the issuance of an NPR in FY 2012. Also included are resources for preparation of the annual toy and nursery equipment data updates and for participation in voluntary standards activities. Staff also will develop television and furniture tip-over prevention strategies based on previous data analysis.
- **All-Terrain Vehicles (ATVs) – CPSIA § 232 and P.L. 112-28 (22637):** The CPSIA directed the CPSC to issue a final rule in its open rulemaking proceeding that began with the issuance of an advance notice of proposed rulemaking (ANPR) in 2005. Because the CPSIA also mandated many of the requirements that were proposed in the 2006 NPR, there are only a few items remaining from the NPR that have not been addressed. CPSC staff will hold an ATV Safety Summit in FY 2013, to engage stakeholders in developing strategies to address ATV-related injuries and deaths and to invite comments on the items remaining from the 2006 NPR. In FY 2013, the staff will review the

information received at the ATV Safety Summit as well as the comments submitted in response to the August 2012 Federal Register request for comments, and will update the project plan based on that review.

- **Recreational Off-Highway Vehicles (ROVs) (22638):** The Commission issued an ANPR in 2009, and since then has conducted testing and evaluation. In FY 2013, staff will prepare a briefing package with an NPR. ROVs are a relatively new product with a significant injury rate. Preliminary testing and evaluation by CPSC staff indicate that ROVs may exhibit inadequate lateral stability, undesirable steering characteristics, and inadequate occupant protection during a rollover crash. In rollover incidents, evidence suggests that occupants are partially ejected from the vehicle, and that the vehicle roll cage—intended to protect the occupants—crushes the occupants instead.
- **Child Drowning (22642):** In FY 2013, staff will prepare its annual data update on drowning incidents, deaths, and injuries involving pools, spas, and other household products. Staff also will monitor voluntary standards for infant bath seats, infant tubs, pool alarms, pools and spas, portable pools, and secondary vacuum release systems (SVRSs). This work also includes the testing and evaluation of selected pool and spa safety standard testing methodologies associated with the Association of Pool and Spa Professionals (APSP)-16, the standard/regulation for suction fittings used in swimming pools, wading pools, spas, and hot tubs. The project will include improvements to the laboratory's testing facility and limited round robin testing, *i.e.*, independent interlaboratory testing, with a certified testing firm and the CPSC's National Product Testing and Evaluation Center (NPTEC), which may enable the staff to make recommendations to the APSP-16 committee to improve overall safety and testing efficiencies.
- **Mechanical Codes and Standards (22666):** This project supports preparation of an annual data update on ATV-related deaths and injuries and monitoring of voluntary standards for the following projects: portable amusement rides, bicycles, recreational headgear, trampolines, fuel tanks for gasoline-powered equipment, gasoline cans, garage door/gate operators, ladders, mowers, pressure cookers, ranges, and power equipment. It also includes resources for continued testing and evaluation efforts to analyze comparatively current technologies that address table saw blade contact injuries.
- **Durable Children's Products/Registration - CPSIA § 104 (22727):** This project addresses the CPSIA's direction to promulgate two durable infant or toddler product rules every 6 months, beginning in August 2009, and continuing until all products specified in the Danny Keysar Child Product Safety Notification Act have a mandatory safety standard. In FY 2013, staff will prepare five briefing packages with draft proposed rules for Commission consideration; these rules will be for bedside sleepers, infant handheld carriers, infant slings, soft infant carriers, and strollers. Staff will also prepare six briefing packages with draft final rules for Commission consideration; these packages will be for bassinets, bassinet attachments for play yards, bedside sleepers, infant handheld carriers, soft infant carriers, and strollers. Briefing packages with accreditation requirements will be prepared for bassinets, bedside sleepers, handheld carriers, soft infant carriers, strollers, and infant slings. This project also includes resources for continuing the work required to conduct a durable nursery products exposure survey that will provide baseline data on the exposure to, use of, and characteristics of durable infant or toddler products, as well as the characteristics of users. In FY 2013, contingent upon contractor completion of data collection, preparation of data files, and a survey methodology report, staff will begin analyzing the data collected.
- **Chemical Hazards (23259):** This project includes work on completing at least two risk assessments, technical review activities, or guidance documents, the topics of which may include ongoing activities

or initiation of new activities, depending on identification of emerging hazards. The issues may include: lung injuries and fatalities from aerosol water-proofing products, updating the chronic hazard guidelines, lead, and indoor air quality. Staff also will evaluate data and the need for action on potential consumer exposures to toxic perfluorinated compounds that are used in consumer products in many industry segments to provide: non-stick surfaces on cookware; fire resistance; and oil, stain, grease, and water repellency. To be able to address consumer complaints and concerns about spray polyurethane foams, staff will collaborate with other federal agencies to conduct studies to determine the potential health impacts and consumer exposure to chemicals released during and after the application of spray foam in homes. Staff also will conduct a technical review, a screening-level risk assessment, and laboratory studies on children's exposures to flame retardants in consumer products, such as furniture, mattresses, textiles, electronics, car seats, strollers, and baby clothing. Staff will participate in voluntary standards activities.

- **Carbon Monoxide (CO) Alarms (23335):** This project includes testing and reporting on the performance of commercially available CO alarms typically intended for residential use. The project follows testing conducted in FY 2012. Testing will include several sensitivity and performance requirements in the voluntary standard. Staff will prepare a report that will include test results and recommendations to improve the voluntary standard for CO alarms, UL 2034, if appropriate. In addition, staff will present in 2013 the findings of the FY 2012 report regarding the durability and longevity of CO sensors designed for use with safety shutdowns of gas furnaces to the appropriate voluntary standards committee and accept comments. Staff will review the comments received in response to the report and provide EXHR with a status report outlining possible future work to further manage the risk from vented gas furnaces.
- **Portable Generators (23336):** In FY 2012, staff released a study that documents staff's work on portable generators since the issuance of the ANPR in 2006. This study includes staff and contractor reports that describe the development and demonstration of a prototype low CO emission portable generator that significantly reduces the engine's CO emission rate. In FY 2013, staff will review the comments received in response to the study, and will update the project plan based on that review.
- **Gas Fireplace Petition (23337):** This petition seeks initiation of rulemaking to require safeguards for the glass fronts of gas vented fireplaces to address the hazard of contact burns. In FY 2012, staff evaluated the petition and presented to the Commission a briefing package, which provided information on the work of the American National Standards Institute (ANSI) Z21.88 and ANSI Z21.50 voluntary standards committees to address the contact burn hazard. In FY 2013, staff will continue to work with these voluntary standards committees.
- **Poison Prevention (23447):** This project focuses on reducing injuries and deaths of children associated with ingestion of household chemicals. In FY 2013, staff will continue to update the scientific information in pending Poison Prevention Packaging Act (PPPA) projects, continue to monitor ingestions of hazardous household chemicals and assess them for the need for child-resistant packaging, and continue to work with other government agencies in the poison prevention area. Particular focus will be given to completing the imidazoline product rulemaking that began in 2012, as well as work on hydroxides, including sodium and potassium hydroxides, which are regulated at certain concentrations by the PPPA, but which are showing up in poisoning data in lower concentrations. The work will also focus on hydroxides other than sodium or potassium hydroxides. This project also provides resources for preparation of the annual report on unintentional pediatric

poisonings and a report on the number of unintentional pediatric poisonings that occur through alternative delivery systems.

- **Nanotechnology (23704):** The focus of this project is the use and safety of nanotechnology applications in consumer products. Because nanotechnology applications in consumer products are relatively new and have not yet been researched in depth, much of the CPSC's work in this area focuses on research and is done in collaboration with other federal agencies. In FY 2013, CPSC staff, in collaboration with the EPA, NIOSH, NIST, and other agencies, will undertake several research projects that develop protocols and procedures to assess and/or quantify the potential release of various nanoparticles from consumer products and the extent of human exposure. In addition, CPSC staff will work with the National Library of Medicine to implement a database that will provide information about the use of nanomaterials in consumer products and will complete an annual report on the commercialization of nanomaterials.
- **Lead Exemptions (23705):** This project is a response to CPSIA §101, which established limits for the lead content of children's products. This project provides FY 2013 resources for staff, if needed, to work on any requests the Commission receives for exceptions from the lead content requirements.
- **Phthalates – CPSIA §108 (23706):** The CPSIA directed that the Commission appoint a Chronic Hazard Advisory Panel (CHAP) to review the potential effects on children's health of all phthalates and phthalate alternatives in children's toys and child care articles. The CHAP held its first meeting in FY 2010 continued its work through 2011 and 2012. It will continue its work in FY 2013 with the goal of completing a final report. In 2013, staff will continue to provide scientific and administrative support to the CHAP.
- **Laboratory Equipment and Operations Support (24013):** This project is to maintain and operate the laboratory and testing activities safely and in compliance with applicable regulations, codes, and laws. It includes the upgrade and purchase of new equipment (*e.g.*, replacement of gas analyzers and dividers and a Varian Inductively Coupled Plasma Spectrophotometer), purchase of new equipment for human factors/applied research, calibration of equipment and test instruments, and materials associated with the construction of test fixtures.
- **Project Support (24505):** This project provides resources for the use of outside expertise, peer review, specialized testing and/or test equipment, supplies, and samples, as needs arise. EXHR projects often involve research and complex testing and, while these projects are carefully planned, unanticipated funding needs may develop during the year. In addition, unanticipated new activities often arise during the year as a result of Compliance activity, integrated team assessment, or inquiries and requests from outside sources.
- **International Program Support (25375):** This project provides resources for EXHR support for the programs of EXGO, including training and international engagement, the international alignment initiative, and extended training exchanges.
- **Rule Review (25547):** This project involves a retrospective review of existing rules in accordance with Executive Orders 13579 and 13563. This project includes the review of information gathered about bicycle standards in 2012, and the preparation of a plan to begin activities in FY 2014.
- **Lab Accreditation – CPSIA §102 (25723):** This project provides resources for the implementation of the third party testing requirements of CPSIA §102. Project activities include establishing the requirements for accreditation of third party conformity assessment bodies to assess conformity with a children's product safety rule, managing the application review process, and establishing the

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requirements for a periodic audit of third party conformity assessment bodies as a condition for continued CPSC acceptance.

- **Voluntary Standards Coordination (25725):** This project supports the coordination of the CPSC's participation in voluntary standards organizations. The agency's voluntary standards coordinator prepares semiannual and annual summaries of staff's voluntary standards activities for the Commission and serves as the liaison between the governing bodies of the voluntary standards organizations and the Commission.
- **Paperwork Reduction Act (25726):** This project provides resources for gathering, compiling, preparing, and submitting to the Office of Management and Budget the necessary information required by the provisions of the Paperwork Reduction Act (44 U.S.C. 3501 *et seq.*).
- **Third Party Testing Costs (25727):** This project provides resources to carry out activities focused on ways to potentially reduce third party testing costs consistent with assuring the compliance of children's products with all applicable safety rules, bans, standards or regulations. In FY 2013, staff will draft four requests for information (RFIs) for publication in the Federal Register for determinations regarding heavy metals, phthalates, adhesives in manufactured woods, and synthetic food additives.
 - Determinations Regarding Heavy Metals: Staff will draft a Request For Information (RFI) for publication in the Federal Register regarding whether there are materials that qualify for a determination, under the Commission's existing determinations process, that do not, and will not, contain higher-than-allowed concentrations of any of the eight heavy elements specified in Section 4.3.5 of ASTM F963-11. (The elements are antimony, arsenic, barium, cadmium, chromium, lead, mercury, and selenium.) The burden for demonstrating whether any material qualifies for a determination shall be on the submitter of the information requested in the RFI.
 - Determinations Regarding Phthalates: Staff will draft a Request For Information (RFI) for publication in the Federal Register regarding whether there are materials that qualify for a determination, under the Commission's existing determinations process, that do not, and will not, contain prohibited phthalates, and thus are not subject to third party testing. The burden for demonstrating whether any material qualifies for a determination shall be on the submitter of the information requested in the RFI.
 - Determinations Regarding Adhesives in Manufactured Woods: Staff will draft a Request for Information (RFI) for publication in the Federal Register regarding whether any adhesives used in manufactured woods can be determined not to contain lead in amounts above 100 ppm. The burden for demonstrating which, if any, adhesives should qualify for a determination shall be on the submitter of the information requested in the RFI.
 - Determinations Regarding Synthetic Food Additives: Staff will draft a Request For Information (RFI) for publication in the Federal Register regarding whether the process by which materials are determined not to contain lead in amounts above 100 ppm can be expanded to include synthetic food additives. The burden for demonstrating which, if any, synthetic food additives should qualify for a determination shall be on the submitter of the information requested in the RFI.
 - For each RFI, the Commission intends to provide resources in the fiscal year 2014 operating plan to the extent the agency's safety work permits to ensure staff reviews the responses and summarizes any recommended course of action on each item for the Commission. Each summary shall include the costs of any potential course of action, including any additional

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research that might be warranted. Staff shall seek Commission approval regarding any additional work that might be necessary and warranted.

4. Unfunded Work at FY 2013 Request Level

Unfunded Work are projects, products, or services that were not identified during the original FY 2013 budget formulation phase. These needs have emerged in the intervening time. Should additional funds become available during FY 2013, these projects may receive priority consideration for potential funding.

Project	Strategic Goal
21146 - Bedclothes (Open-Flame Ignition)	2
21557 - 3G Smoke Alarm	2
21790 - Carpet Standard Amendment	2

- **Bedclothes (Open-Flame Ignition) (21146):** Mattresses and bedding operate together as a system, and certain bedclothes can produce fires presenting a risk of flashover conditions even with mattresses that would meet the new open-flame mattress standard. The purpose of this project would be to develop requirements to improve the flammability of bedclothes so as to reduce their contribution to mattress fires and limit the impact of the fire hazard.
- **3G Smoke Alarm (21557):** The goal of this project is to identify promising new types of sensors that can overcome the inherent limitations of the existing sensors, while improving the reliability and utility of residential smoke alarms. Staff has worked with the U.S. Fire Administration in identifying and evaluating the performance of candidate sensors. In FY 2013, third-generation (3G) prototype smoke alarms would be evaluated in UL 217 fire tests under various scenarios. This project would fund an IAG with the U.S. Fire Administration (USFA) for smoke alarm performance testing. Without this funding, the project would be delayed, and results from previous work with the USFA would not be used in a timely manner.
- **Carpet Standard Amendment (21790):** This project would support staff work on updating the Commission's regulations on carpets and rugs – specifically, amendments to address specifications of carpet tests.

5. Annual Milestones

Milestone ID	2013 Annual Goal*	Milestone
2013M1	N/A (new measure under Objective 2.1)	Docketed petitions on crib bumpers, architectural glazing, and unblockable drain systems evaluated
2013M2	Similar to 2.2.05, p.42	Report prepared describing the status and results of fireworks research
2013M3	Similar to 2.1.01, p.32	Contractor's work on mitigating cooking fires by the use of cooktop sensors reviewed
2013M4	2.1.02 p.32	Research conducted on proximity sensing technologies for portable electric heaters

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Milestone ID	2013 Annual Goal*	Milestone
2013M5	2.1.02 p.32	Research on clothes dryer heat isolation and reduction conducted
2013M6	N/A (new measure under Objective 2.1)	Limited round robin, (independent interlaboratory) testing on pools and spas conducted
2013M7	2.1.02 p.32	Collaborate with other federal agencies to conduct studies about the potential health effects of, and consumer exposure to, spray polyurethane foam
2013M8	2.1.02 p.32	Research conducted on children's exposure to flame retardants in consumer products
2013M9	N/A (new measure under Objective 2.1)	Follow-on testing of CO alarms conducted
2013M10	2.1.02 p.32	Work continued on the gas fireplace petition by meeting with the appropriate voluntary standards committees
2013M11	2.1.02 p.32	Information gathered in FY 2012 about bicycle standards reviewed and a plan prepared for activities in FY 2014
2013M12	2.2.05 p.42	Briefing package prepared with a notice of proposed rulemaking for recreational off-highway vehicles (ROVs)
2013M13	N/A (new measure under Objective 2.2)	Review of information from ATV Safety Summit and comments submitted in response to Federal Register notice completed
2013M14	Similar to 2.2.05, p.42	Five briefing packages with draft proposed rules for the following products prepared: handheld carriers, bedside sleepers, infant slings, soft infant carriers, and strollers
2013M15	Similar to 2.2.05, p.42	Six briefing packages with draft final rules prepared for the following products: bassinets, bassinet attachments to play yards, bedside sleepers, handheld carriers, soft infant carriers, and strollers
2013M16	Similar to 2.2.05, p.42	Six briefing packages with notices of requirements prepared for the following products: bassinets, bassinet attachments to play yards, bedside sleepers, handheld carriers, soft infant carriers, and strollers
2013M17	Similar to 2.2.05, p.42	Briefing package with a draft final rule for the packaging of imidazolines prepared
2013M18	N/A (new measure under Objective 2.2)	Briefing package with a draft final rule on magnet sets prepared
2013M19	N/A (new measure under Objective 2.2)	Briefing package with a draft final rule on animal testing regulations prepared
2013M20	Similar to 2.2.05, p.42	Requests, if any, for exceptions from CPSIA lead requirements responded to

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Milestone ID	2013 Annual Goal*	Milestone
2013M21	2.2.06 p.42	Work continued on the durable nursery products exposure survey
2013M22	2.2.05 p.42	Current technologies to address table saw blade contact injuries tested and evaluated
2013M23	2.2.06 p.42	Comments from the public on the portable generator study released in FY 2012 reviewed and evaluated
2013M24	Similar to 2.2.05, p.42	Research and analysis of the packaging requirements for hydroxides conducted
2013M25	2.2.05 p.42	CPSIA-directed work on phthalates continued
2013M26	Similar to 2.3.10, p.49	Two guidance documents developed for industry (animal testing and strong sensitizers) and two chemical hazard guidance document updates developed for consumers (paint strippers and formaldehyde)
2013M27	N/A (new measure under Objective 3.1)	Three new hospitals recruited to participate in NEISS
2013M28	3.1.02 p.54	Injury Cost Model updated
2013M29	3.2.15 p.58	Review to evaluate effectiveness of XRF and alternative technologies for measurement of lead in paint and/or other third party testing cost reduction methods for children's products conducted
2013M30	Similar to 5.2.13 p.80	ATV Safety Summit held
2013M31	Similar to 2.2.06, p.42	Seven draft reports on data analysis /technical review activities for folding chairs, high chairs, gates and other enclosures, infant bath tubs, infant bouncers, infant inclined sleep products, and infant frame carriers delivered to Section 104 program manager
2013M32	Similar to 2.2.05, p.42	Project plan for upholstered furniture updated
2013M33	Similar to 2.2.06, p.42	Analysis of firepots /fuel gels hazard continued in support of rulemaking
2013M34	Similar to 2.2.06, p.42	Draft status report on standard smoldering ignition sources prepared
2013M35	3.3.25 p.62	Risk-based methodology is used to identify and prioritize hazards
2013M36	Similar to 2.2.05, p.43	Briefing package with draft requests for information for publication in the Federal Register for determinations regarding heavy metals, phthalates, adhesives in manufactured woods, and synthetic food additives.

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

Hazard Identification Operating Plan Details - DeWane Ray, AED

6. Summary of Internal Operating Performance Measures

Operating Performance Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013OP1	N/A (new measure under Objective 1.6)	Lost time incident rate ²	5
2013OP2	3.1.05 p.54	Number of incident reports collected from medical examiners and coroners	4,500
2013OP3	3.1.06 p.54	Number of incident reports obtained from news clips	6,000
2013OP4	3.1.07 p.54	Percentage of incident report verification requests mailed within two business days	95%
2013OP5	3.1.08 p.54	Percentage of completed product investigation reports provided to manufacturers within 25 business days of receiving the report	75%
2013OP6	3.1.09 p.54	Percentage of reports from eligible sources for which clerical coding is completed within one business day following receipt	95%
2013OP7	3.1.10 p.54	Percentage of notifications sent to manufacturers named in all reports eligible for the public database within 5 business days of eligibility determination	95%
2013OP8	3.1.11 p.54	Percentage of cases in which staff reviews or refers comments and claims from manufacturers, importers, and private labelers within 1 business day	95%
2013OP9	3.1.12 p.54	Percentage of business registration requests for www.SaferProducts.gov processed within 2 business days	80%
2013OP10	3.2.19 p.59	Percentage of headquarters telephone investigations of NEISS cases completed in fewer than 45 business days	98%

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

7. Summary of Budget Key Performance Measures

Budget Key Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013BK2.1.1	2.1.01, 2.1.02, 2.1.03 p.32	Number of voluntary standards activities supported or monitored by CPSC staff	71

² “Lost time incident rate” is the number of work days lost per 100 NPTEC employees in a calendar year. Lost work days are days when an NPTEC employee is out because of a work-related injury or illness.

Hazard Identification Operating Plan Details - DeWane Ray, AED

Budget Key Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013BK2.1.2	2.1.04** p.32	Number of collaborations established or maintained with other organizations to work on nanotechnology research or issues affecting consumer products	8
2013BK2.1.3	2.1.04 p.32	Number of reports produced on the results of collaboration on nanotechnology issues affecting consumer products	5
2013BK2.2.1	2.2.05 p.42	Number of candidates for rulemaking prepared for Commission consideration	25
2013BK3.1.1	3.1.04 p.54	Percentage of National Electronic Injury Surveillance System (NEISS) member hospitals evaluated at least once a year	98%
2013BK3.1.2	3.1.03 p.54	Percentage of consumer product-related injury cases correctly captured at NEISS hospitals	90%
2013BK3.2.1	N/A (new measure under Objective 3.2)	Time from incident received to adjudication of incident report (days)	10
2013BK3.2.2	N/A (new measure under Objective 3.2)	Percentage of priority import samples processed within 30 days	95%
2013BK3.2.3	N/A (new measure under Objective 3.2)	Percentage of priority import fireworks samples processed within 60 days	90%
2013BK3.5.3	Similar to 3.5.32, p.64 [#]	Number of annual reports completed on consumer product-related fatalities, injuries, and/or losses for specific hazards	11
2013BK4.1.3	N/A (new measure under Objective 4.1)	Number of items/component parts tested for specific standards and regulations.	36,000

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

** Annual goal tracking “Data analysis and technical review activities related to application of nanotechnology in consumer products” was split into two budget key performance measures.

[#] Annual goal 3.5.32 was “Complete product hazard scans.

Compliance Operating Plan Details – Marc Schoem, Acting AED

1. Resource Summary

	Budget 2013 Request (in thousands)	FTE 2013 Request
Compliance HQ	\$ 162.3	54
Compliance Field	\$ 1,735.0	111
Total	\$ 1,897.3	165

2. Overview and Key Priorities

The Office of Compliance and Field Operations is responsible for enforcing rules, as well as conducting surveillance to ensure that hazardous products do not enter or remain in the distribution chain. Enforcement of existing rules and targeted surveillance activities allow for a multidisciplinary approach to enforcement. Identifying products that present a risk (in an effort to be more proactive than reactive) requires close and constant interaction with technical and epidemiological staff. Once hazardous products have been identified, the CPSC will take action to protect consumers, remove the product from the marketplace, and hold violators accountable. Continued rulemaking under the CPSIA also requires enforcement activities to ensure stakeholders are well informed of the requirements, provide for a level playing field, and ensure that all stakeholders meet the mandated requirements.

Compliance efforts also support ongoing regulatory compliance activities, including data analysis, investigations, and assessments of the level of compliance with new regulations. Regulatory areas include: public pool and spa requirements (with more than 300,000 pools and spas across the nation); CPSIA-mandated requirements for cribs, toddler beds, play yards, bed rails, strollers, and swings; and Federal Hazardous Substances Act regulations for toys, bath seats, rattles, pacifiers, infant pillows, refuse bins, and refrigerators. The request also supports analysis of epidemiology data to determine if there are patterns of defects that might warrant conducting investigations of hazards, including evaluation of technical reports and in-depth field investigations.

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
31100 - Fire Hazards	4
31102 - Fire Hazards Sec. 15	4
31103 - Fire Hazards Regulated	4
31163 - Fireworks	4
31169 - Fireworks Amendments	4
31183 - Lighters	4
31193 - Children's Gasoline Burn Prevention Act (P.L. 110-278)	4
31600 - Electrocution Hazards	4

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Project	Strategic Goal
31602 - Electrical Hazards Sec. 15	4
31603 - Electrical Hazards Regulated	4
32200 - Mechanical Hazards to Children	4
32202 - Mechanical Hazards to Children Sec. 15	4
32203 - Mechanical Hazards to Children Regulated	4
32213 - Tracking Labels (CPSIA § 103)	4
32223 - Virginia Graeme Baker Pool and Spa Safety Act (VGB Act) (P.L. 110-140)	4
32233 - Durable Children's Products/Registration (CPSIA § 104)	4
32243 - Certification Enforcement (CPSIA § 102)	4
32252 - Mechanical Child Drowning	4
32253 - All-Terrain Vehicles (ATVs) (CPSIA § 232) Compliance Enforcement	4
32263 - Mechanical Child (Head) Regulated	4
32272 - Substantial Product Hazard List and Destruction of Non-compliant Imported Products (CPSIA § 223) Sec. 15(j) Generic Defect Rules	4
32400 - Mechanical Hazards	4
32402 - Mechanical Sec. 15	4
32403 - Mechanical Regulated	4
33503 - Labeling Requirement for Advertising Toys and Games (CPSIA § 105)	4
33700 - Chemical Hazards	4
33702 - Chemical Sec. 15	4
33703 - Chemical Regulated	4
33713 - Phthalates Compliance (CPSIA § 108)	4
33762 - Carbon Monoxide (CO) Hazards Sec. 15	4
33763 - Poison Prevention Packaging Act (PPPA) Hazards	4
33803 - Lead Compliance (CPSIA § 101)	4
34300 - General Compliance CPSIA Implementation	4
34301 - State/Local Programs	4
34381 - Internet Surveillance Program Support	4
34620 - Sample Custodian	4
34789 - Recall Effectiveness	4
34790 - Compliance Surveillance	4

- **Fire Hazards (31100):** This project provides for compliance enforcement and remediation activities to address risks to consumers from fire hazards. Included in this funding are Section 15 resources, resources to address flammable fabrics, and investigation support funds.
- **Fire Hazards Sec. 15 (31102):** This project supports evaluation and analysis of incoming epidemiology data to determine if there is a pattern of defect that might warrant opening a case. The work involves conducting investigations of hazards including evaluation of technical reports and in-

depth field investigations and using resources to investigate the case and proceed with the recall. Staff engages management and OGC to assist in final negotiations. The work includes fire hazards not covered by mandatory regulations or standards that may involve a substantial product hazard, such as household furnishings (with no regulations), outdoor furnishings, children's products, candles, furnaces, gasoline cans, grills, gas and oil appliances, smoke detectors, fire extinguishers, kerosene heaters, gasoline -powered tools, power strips, extension cords, Christmas lights, batteries, stereo equipment, lamps, phones, TVs, or hot plates. This includes evaluation of articles seized at the ports.

- **Fire Hazards Regulated (31103):** This project involves monitoring compliance with mandatory standards under the Flammable Fabrics Act within the industries that the CPSC regulates. The work involves firm inspections, sample collection, testing products to the mandatory standards, remediation, summarizing overall compliance within industries, trade complaint follow-up, monitoring incident reports to determine additional focus of annual field investigative and import programs, and case development activities. The project includes funds to complete dry cleaning on fabrics tested to the Standard for the Flammability of Clothing Textiles. Supports testing of mattresses at an outside contract lab in accordance with the blanket purchase agreement.
- **Fireworks (31163):** This project provides staffing and resources for compliance enforcement and remediation activities to inspect, collect samples, test, and remediate consumer fireworks that are subject to regulation under the Federal Hazardous Substances Act (FHSA). Tasks include import surveillance and monitoring of entries; in-depth investigations; follow-up to trade complaints; firm inspections, sample collection and analysis; and case development activities.
- **Fireworks Amendments (31169):** This project provides subject matter expertise to address needed amendments in the fireworks area.
- **Lighters (31183):** This project provides staffing and resources for compliance enforcement and remediation activities to inspect, collect samples of, test, and remediate multipurpose, disposable, and novelty lighters that are subject to regulation under the Consumer Product Safety Act and the FHSA. Tasks include import surveillance and monitoring of entries; in-depth investigations; follow-up to trade complaints; firm inspections, sample collection, and analysis; and case development activities.
- **Children's Gasoline Burn Prevention Act (P.L. 110-278) (31193):** This project provides resources for compliance, inspection, and remediation activities in order to reduce the risk of injury and death to children from fire hazards involving portable fuel containers. (Each portable gasoline container manufactured after January 17, 2009, in the United States shall conform to the child-resistant and senior-friendly provisions specified in the Standard ASTM F2517-05.)
- **Electrocution Hazards (31600):** This project supports compliance enforcement and remediation activities to address defective products that present risks of electrocution to consumers. The primary work is in support of Section 15 activities. Resources can be used for collection of consumer/media samples, samples submitted by firms for opinions, or other samples with no analysis/assessments under the following subcategories: 31602 - Electrical Hazards Sec. 15; and 31603 - Electrical Hazards Regulated.
- **Electrical Hazards Sec. 15 (31602):** This project provides staffing for electrocution hazards associated with products not covered by mandatory regulations or standards that may involve a substantial product hazard, such as electrocution or shock hazards associated with power strips, extension cords, Christmas lights, batteries, stereo equipment, lamps, phones, TVs, and hot plates. It also includes counterfeit products, such as circuit breakers. Efforts are made to hold violators accountable for hazardous consumer products on the market by using enforcement authorities. The

project supports evaluation and analysis of incoming epidemiology data to determine if there is a pattern of defect that might warrant opening a case. Resources can be used to conduct investigations of hazards, including evaluation of technical reports and in-depth field investigations, and to investigate a case and proceed with a recall. In addition, the project supports engaging with management and OGC to assist in final negotiations.

- **Electrical Hazards Regulated (31603):** This project provides staffing for electrocution hazards associated with products covered by mandatory regulations, standards, or bans, (*e.g.*, CB stations, electric toys, or metallized polyester kites).
- **Mechanical Hazards to Children (32200):** This project provides resources for compliance enforcement and remediation activities to address risks to children from products that present mechanical hazards. Included in this project are children's articles regulated under the FHSA and products that may present substantial product hazards (excluding drowning). The project involves evaluation and analysis of incoming epidemiology data to determine if there is a pattern of defect that might warrant opening an investigation under Section 15. Resources can be used to conduct investigations of hazards, including evaluation of technical reports and in-depth field investigations, and to investigate cases and proceed with recalls. In addition, the project supports engaging with management and OGC to assist in final negotiations.
- **Mechanical Hazards to Children Sec. 15 (32202):** This project provides staffing for compliance activities to address mechanical hazards to children not covered by mandatory regulations or standards that may involve a substantial product hazard (excluding head injuries). (Examples include: buckets, blind cords, TV stands, AV Carts, folding tables, drawstrings, baby carriers, toy chests, bath aids, trampolines, go-karts, air guns, and hammocks.) The project supports evaluation and analysis of incoming epidemiology data to determine if there is a pattern of defect that might warrant opening a case. Resources can be used to conduct investigations of hazards including evaluation of technical reports and in-depth field investigations, and to investigate cases and proceed with recalls. In addition, the project supports engaging with management and OGC to assist in final negotiations.
- **Mechanical Hazards to Children Regulated (32203):** This project provides resources for compliance staff to provide programs for the enforcement of Section 104 of the Consumer Product Safety Improvement Act. The Commission decided on 18 categories of infant or toddler products for which it would promulgate rules. This project provides staffing for compliance activities to address mechanical hazards to children associated with household products covered by mandatory regulations or standards (excluding drowning), such as CPSA requirements for toys under ASTM F963, cribs, toddler beds, play yards, walkers, jumpers, bath seats, bunk beds, bed rails, strollers, swings, FHSA regulations for toys, bath seats, rattles, pacifiers, infant pillows, refuse bins, and refrigerators.
- **Tracking Labels (CPSIA § 103) (32213):** This project provides staffing for compliance activities to monitor and assess compliance with the rule prohibiting the sale of children's products that fail to bear the required manufacturer tracking information on products and their packaging.
- **Virginia Graeme Baker Pool and Spa Safety Act (VGB Act) (P.L. 110-140) (32223):** This project provides staffing and funding for compliance and remediation activities to address risks of drowning and entrapment hazards in pools and spas. Included are state and local contract resources for investigation support to monitor and assess compliance with the VGB Act at the local level. This includes investigation of secondary vacuum release system devices and other alternative back-ups as they become available.

Compliance Operating Plan Details – Marc Schoem, Acting AED

- **Durable Children’s Products/Registration (CPSIA § 104) (32233):** This project supports conducting Commission enforcement activities to monitor and assess compliance with the commission rule or standard pertaining to infant or toddler products, including full-size cribs, non-full-size cribs, toddler beds, high chairs, booster chairs, hook-on chairs, bath seats, gates, other enclosures for confining a child, play yards, stationary activity centers, infant carriers, strollers, walkers, swings, bassinets, and cradles. It provides support for consumer registration forms that enable manufacturers and retailers to contact consumers for the purpose of distributing safety and recall information. It will continue the pilot program involving inspection of daycare centers in seven selected states to ascertain their current state of compliance with the new rules effective December 28, 2012, and complete the CPSC survey form. This will ensure that the inspection of daycare centers is carried out in an efficient manner, beginning in the third quarter.
- **Certification Enforcement (CPSIA § 102) (32243):** This project supports conducting Commission enforcement activities to monitor and assess compliance with the Commission rule or standard pertaining to General Certificates of Conformity, Children’s Product Certificates, and third party testing requirements of Section 14 of the CPSA.
- **Mechanical Child Drowning (32252):** This project involves monitoring compliance with mandatory standards within the industries that we regulate and gives a summary of overall compliance in combination with monitoring incident reports to determine additional focus for annual investigative programs. This project provides staffing for compliance enforcement and remediation activities to address risks to children from products that present drowning hazards. Included in this project are children’s products regulated under the FHSA and products that may present a substantial product hazard.
- **All-Terrain Vehicles (ATVs) (CPSIA § 232) Compliance Enforcement (32253):** This project provides staffing for compliance enforcement and remediation activities to address risks associated with violations of the mandatory rules for ATVs. This project also provides resources for dealer monitoring.
- **Mechanical Child (Head Injury) Regulated (32263):** This project supports conducting Commission enforcement activities to monitor and assess compliance with the Commission rule or standard pertaining to the mandatory requirements for toys.
- **Substantial Product Hazard List and Destruction of Noncompliant Imported Products (CPSIA § 223) Sec. 15(j) Generic Defect Rules (32272):** This project supports compliance enforcement and remediation activities to monitor and assess products subject to a 15(j) determination under the Consumer Product Safety Act (15 U.S.C. § 2064). Enforcement activities may be directed toward products with drawstrings to determine whether their characteristics present a substantial product hazard, as discussed in Section 15(a)(2) [15 U.S.C. § 2064].
- **Mechanical Hazards (32400):** This project provides staffing for compliance enforcement and remediation activities to address risks to consumers from mechanical hazards. The project does not include resources to address risks primarily to children from products that present mechanical hazards. The project encompasses sports and recreational equipment, household goods, and power equipment.
- **Mechanical Sec. 15 (32402):** This project involves evaluating and analyzing incoming epidemiology data to determine if there is a pattern of defect that might warrant opening a case. It supports conducting investigations of hazards, including evaluation of technical reports and in-depth field investigations. Resources are used to investigate cases and proceed with recalls. Staff engages

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management and OGC to assist in final negotiations. This project supports compliance activities to address mechanical hazards not covered by mandatory regulations or standards that may involve a substantial product hazard, such as diving equipment, amusement rides, tools, or exercise equipment.

- **Mechanical Regulated (32403):** This project provides staffing for compliance enforcement and remediation activities to address risks to consumers from mechanical hazards. The project does not include resources to address risks primarily to children from products that present mechanical hazards. The project encompasses sports and recreational equipment, household goods, and power equipment that are subject to mandatory regulations or standards, such as architectural glazing products, walk-behind lawn mowers, garage door operators, and pool slides.
- **Labeling Requirement for Advertising Toys and Games (CPSIA § 105) (33503):** This project supports compliance enforcement and remediation activities to monitor and assess compliance with Section 105 of the CPSIA. The packaging for certain games and toys intended for use by children is required to contain a label or cautionary statement regarding choking hazards. This section of the new law requires that advertising for these products through catalog and Internet sales that provide a direct means of purchase of the product must contain the appropriate CPSA cautionary statements.
- **Chemical Hazards (33700):** This project provides staffing for compliance enforcement and remediation activities to address risks to consumers from chemical hazards. The project does not include resources to address risks primarily to children from products that present chemical hazards. The project encompasses products regulated under the FHSA for cautionary labeling, lead hazards not addressed under the CPSIA, art materials, and other emerging chemical hazards.
- **Chemical Sec. 15 (33702):** This project supports evaluating and analyzing incoming epidemiology data to determine if there is a pattern of defect that might warrant opening a case. It involves conducting investigations of hazards, including evaluation of technical reports and in-depth field investigations. Resources are used to investigate the case and proceed with the recall. Staff engages management and OGC to assist in final negotiations. This includes chemical hazards not covered by mandatory regulations or standards that may involve substantial product hazards, such as household chemical packaging defects or formulation problems.
- **Chemical Regulated (33703):** This project provides resources for conducting inspections, reviewing inspection reports, following up on trade complaints, and providing advice and guidance to the industry on complying with the precautionary labeling requirements under the FHSA. The project encompasses products regulated under the FHSA for cautionary labeling, adult art materials, misbranding, packaging violations, asbestos, chlorofluorocarbons, aerosolized products, deceptive disclaimers, and other emerging chemical hazards. Contractors are used to conduct biological testing or alternatives to biological testing to support relabeling requests for hazardous household products.
- **Phthalates Compliance (CPSIA § 108) (33713):** This project provides resources for conducting inspections, reviewing inspection reports, following up on trade complaints, and providing advice and guidance to industry on complying with the requirements for phthalate content in children's toys and childcare articles. The project does not include resources to address risks primarily to children from products that present other chemical hazards.
- **Carbon Monoxide (CO) Hazards Sec. 15 (33762):** This project provides staffing and funding for compliance enforcement and remediation activities to address risks from carbon monoxide hazards. Funding provides for investigation of defective appliances that can cause carbon monoxide emissions, defective CO detectors, or any other product that poses a substantial product hazard. The project involves evaluating and analyzing incoming epidemiology data to determine if there is a pattern of

defect that might warrant opening a case. It supports conducting investigations of hazards including evaluation of technical reports and in-depth field investigations. Resources are used to investigate cases and proceed with recalls. Staff engages management and OGC to assist in final negotiations.

- **Poison Prevention Packaging Act (PPPA) Hazards (33763):** This project provides staffing and funding for compliance enforcement and remediation activities to stop the sale of drugs, cosmetics, and household chemicals that fail to comply with packaging requirements under the PPPA. Tasks include ongoing enforcement of the prescription drug standards at retail pharmacies; in-depth investigations when there is reason to believe the product involved fails to comply with an applicable requirement; follow-up on consumer and trade complaints; firm inspections; sample collection and analysis; protocol testing; and case development activities.
- **Lead Compliance (CPSIA § 101) (33803):** This project supports conducting Commission enforcement activities to monitor and assess compliance with the rule prohibiting the sale of children's products (primarily intended for children 12 years or younger) containing more than specified amounts of lead (100ppm), a banned hazardous substance under the FHSA. The task provides for periodic review of the standard because the Commission, by rule, may exclude certain products and materials, establish more protective standards, and lower the limit if it is technologically feasible.
- **General Compliance CPSIA Implementation (34300):** This project supports general compliance activities for CPSIA-related products that are not covered under another specific program. It includes work on samples that need to be assessed but that do not require technical (laboratory) analysis.
- **State/Local Programs (34301):** Section 29(a) of the Consumer Product Safety Act requires that the Commission shall establish a program to promote federal-state cooperation for the purposes of carrying out the Act. In implementing such a program, the Commission may accept from any state or local authorities engaged in activities relating to health, safety, or consumer protection assistance in such functions as injury data collection, investigation, and educational programs. The project includes time and funding to conduct state and local compliance enforcement programs. States work cooperatively with the CPSC to deliver services to consumers at little cost to the federal government. Activities include recall checks, inspections, and education activities to support CPSC priorities. The project also provides funding for the CPSC-hosted State and Local Training Caucus. The Training Caucus provides direction for conducting compliance/enforcement and education programs, thus expanding the reach of the CPSC. In addition, training provides states the most current information about the CPSIA, consumer product safety, and CPSC priorities.
- **Internet Surveillance Program Support (34381):** This project supports time used for activities to conduct undercover Internet surveillance and monitoring of products sold to consumers via the internet that have been recalled or that otherwise may violate a Commission rule or standard. The project includes time to conduct Internet surveillance to review products sold by various retailers, manufacturers, and importers online and to conduct follow-up on consumer/trade complaints.
- **Sample Custodian (34620):** This project provides ongoing support to assist the sample custodian to ensure sample collection and destruction integrity.
- **Recall Effectiveness (34789):** This project involves using existing compliance data to review and analyze compliance recall rates in an effort to identify future best practices to assist stakeholders in conducting the most effective recall achievable.

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- **Compliance Surveillance (34790):** This project supports ongoing activities conducted primarily by field investigative staff in an effort to identify new and emerging hazards and/or violations of regulatory requirements.

4. Unfunded Work at FY 2013 Request Level

Unfunded Work are projects, products, or services that were not identified during the original FY 2013 budget formulation phase. These needs have emerged in the intervening time. Should additional funds become available during FY 2013, these projects may receive priority consideration for potential funding.

Project	Strategic Goal
32233 - Virginia Graeme Baker Pool and Spa Safety Act - Secondary Vacuum Release System Testing	4
99921 - Biannual Training Session for all Field and Compliance Staff	4
99921 - First Line Supervisor Skills Enhancement Training	4

- **Virginia Graeme Baker Pool and Spa Safety Act (VGB Act) - Secondary Vacuum Release System (SVRS) Testing (32233):** This project would support development of testing instruments to ensure appropriate assessment of the SVRS devices in use to measure the level of compliance and ensure they operate as intended and prevent drowning.
- **Biannual Training Session for all Field and Compliance Staff (99921):** It is important that the full-time telecommuting field staff be brought together periodically for face-to-face training sessions to ensure that all personnel are receiving hands-on training and uniform guidance regarding the regulatory enforcement necessary as a result of ongoing rulemaking. These meetings are also a critical opportunity for field management and supervisors to meet with their subordinates face-to-face, discuss performance, assess the well-being of staff, and give personnel remotely located the ability to discuss any issues with appropriate managers.
- **First-Line Supervisor Skills Enhancement Training: (99921):** GS-13 Field Supervisory Product Safety Investigators have direct supervisory oversight for a team of 8–10 product safety investigators. In collaboration with Human Resources, a needs assessment review has identified a 6-class “Supervisor Professional Development” progressive certificate program that would build on present skills and enhance the overall supervisory/managerial ability of the staff. The Compliance team leaders have a similar role, and they also would receive this training.

5. Annual Milestones

Milestone ID	2013 Annual Goal*	Milestone
2013M37	1.3.05 p.24	Training conducted for state designees through hosting a meeting or a webinar
2013M38	2.3.09 p.49	Four guidance documents developed to explain regulations and assist industry in complying with CPSC regulations

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Milestone ID	2013 Annual Goal*	Milestone
2013M39	4.1.01 p.70	Sixteen field enforcement programs from existing rules developed and implemented
2013M40	4.1.02 p.70	VGB Act enforced by inspecting public pools and spas and continuing enforcement program with states
2013M41	5.4.26 p.92	Ten public or webcast meetings in which CPSC staff participate to discuss newly issued and existing rules and requirements

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

6. Summary of Internal Operating Performance Measures

Operating Performance Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013OP11	3.2.20 p.59	Percentage of fire-related investigations (not including ATV and pool data collection investigations) completed in fewer than 45 business days	95%
2013OP12	3.2.21 p.59	Percentage of all non fire-related investigations (not including ATV and pool data collection investigations) completed in fewer than 45 business days	93%
2013OP13	N/A (new measure under Objective 3.5)	Total number of violative products identified (includes retail, resale, Internet, imports)	Baseline
2013OP14	N/A (new measure under Objective 3.5)	Number of violative new products identified (includes retail, Internet, imports)	Baseline
2013OP15	N/A (new measure under Objective 3.6)	Number of violative (previously recalled or banned) products identified as being offered for re-sale on Internet websites	8,000
2013OP16	4.3.07 p.72	Percentage of fire-related cases for which preliminary determination is made within 85 business days from case opening date (Unregulated Products)	70%
2013OP17	4.3.08 p.73	Percentage of fire-related corrective actions obtained within 35 business days after an LOA is issued (Regulated Products)	80%
2013OP18	4.3.08 p.73	Percentage of children's and other hazards corrective actions obtained within 35 business days after an LOA is issued (Regulated Products)	80%
2013OP19	4.3.09 p.73	Percentage of fire-related hazards corrective actions negotiated and commenced within 60 business days after a firm is notified of staff's preliminary determination (Unregulated Products)	80%

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Operating Performance Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013OP20	4.3.09 p.73	Percentage of children's and other hazards corrective actions negotiated and commenced within 60 business days after a firm is notified of staff's preliminary determination (Unregulated Products)	80%
2013OP21	4.3.11 p.73	Percentage of recall checks conducted in cooperation with state or local partners within 90 calendar days of assignment	90%

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

7. Summary of Budget Key Performance Measures

Budget Key Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013BK3.5.1	N/A (new measure under Objective 3.5)	Total number of products screened by CPSC Field staff	Baseline
2013BK3.5.2	N/A (new measure under Objective 3.5)	Number of consumer products screened by CPSC Field staff through Internet surveillance activities	Baseline
2013BK3.6.1	N/A (new measure under Objective 3.6)	Number of used/resale consumer products screened by CPSC Field staff	Baseline
2013BK4.1.1	4.1.04 p. 70	Number of establishment inspections conducted by CPSC Field staff	1000
2013BK4.1.2	N/A (new measure under Objective 4.1)	Percentage of products screened by CPSC Field staff that find violations	Baseline
2013BK4.3.1	Similar to 4.3.07, p.72	Percentage of cases for which the preliminary determination is made within 85 business days of the case opening	70%
2013BK4.3.2	Similar to 4.3.09, p. 73	Percentage of cases for which the corrective action is accepted within 60 business days of the preliminary determination	80%
2013BK4.3.3	N/A (new measure under Objective 4.3)	Percentage of cases in which the firm is notified of a violation in a timely manner	Baseline
2013BK4.3.4	4.3.10 p.73	Percentage of Fast-Track cases with corrective actions initiated within 20 business days	90%
2013BK4.5.1	N/A (new measure under Objective 4.5)	The percentage of compliance defect investigation cases referred within 10 business days to OGC for review of firms' timely reporting pursuant to Section 15(b)	Baseline

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

Import Surveillance Operating Plan Details – Carol Cave, Director

1. Resource Summary

	Budget 2013 Request (in thousands)	FTE 2013 Request
Import Surveillance *	\$ 445.4	33

* International Trade Data System/Risk Assessment Methodology (CPSIA § 222) funds are managed by the Office of Information Technology and are not included in the budget for the Office of Import Surveillance.

2. Overview and Key Priorities

Each day in 2011, nearly \$1.8 billion worth of products under the CPSC's jurisdiction entered the United States from 800,000 importers at 327 U.S. ports. Four out of five product recalls in the United States involve an imported product. Increasingly, American consumers run a higher risk of injury and death, and domestic manufacturers are at a competitive disadvantage due, in part, to product imports entering the United States that do not comply with federal safety standards or intellectual property laws affecting health and safety. In facing this immense and growing challenge, the CPSC's Office of Import Surveillance coordinates with the U.S. Department of Homeland Security's Customs and Border Protection (CBP) to prevent violative or hazardous products from entering the United States. CPSC investigators are co-located at select ports of entry to target and screen incoming shipments of consumer products. The CPSC also collaborates with CBP at the Commercial Targeting and Analysis Center (CTAC) to implement national operations designed to optimize the federal government's response to product risk at importation.

CPSC is currently running a highly innovative International Trade Data System/Risk Assessment Methodology (ITDS/RAM) pilot system, which uses CPSC's unique data along with data from CBP to quickly target hazardous consumer products arriving at U.S. ports of entry. This system is proving to benefit both American consumers and importers of compliant consumer products by more effectively and efficiently identifying hazardous imports, many of which also violate intellectual property rights. With increased funding, CPSC seeks to expand its ITDS/RAM system to more ports of entry and to enhance its targeting capabilities, which will allow CPSC to better target violative consumer products that steal market share from compliant manufacturers while facilitating the flow of legitimate trade.

Key priorities in FY 2013 will be to monitor the improvement in targeting effectiveness associated with the ITDS/RAM pilot system, as well as to establish baseline values for performance measures for improving our working effectiveness with CBP and facilitating legitimate trade. The CPSC will also continue to provide support to CBP's Automated Commercial Environment (ACE), which is an interface connecting CBP, the trade community, and other federal government agencies to manage the admissibility of goods entering the country.

Import Surveillance Operating Plan Details – Carol Cave, Director

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
31110 - Import Activities Fire Hazards	3
31610 - Import Activities Electrocution Hazards	3
32210 - Import Activities Mechanical Hazards (Child)	3
33710 - Import Activities Chemical Hazards	3
34310 - International Trade Data System/Risk Assessment Methodology (ITDS/RAM) Pilot	4
34350 - Import Surveillance	3
34360 - Commercial Targeting and Analysis Center (CTAC) Support	3
34370 - Importer Self-Assessment Product Safety Program	3

- **Import Activities Fire Hazards (31110):** Conduct import activities involving fire hazards. These activities will include surveillance, screening, sampling, reviewing documents, and any other activity associated with products in import status.
- **Import Activities Electrocution Hazards (31610):** Conduct import activities involving electrocution hazards. These activities will include surveillance, screening, sampling, reviewing documents, and any other activity associated with products in import status.
- **Import Activities Mechanical Hazards (Child) (32210):** Conduct import activities involving mechanical hazards to children. These activities will include surveillance, screening, sampling, reviewing documents, and any other activity associated with products in import status.
- **Import Activities Chemical Hazards (33710):** Conduct import activities involving chemical hazards. These activities will include surveillance, screening, sampling, reviewing documents, and any other activity associated with products in import status.
- **International Trade Data System/Risk Assessment Methodology (ITDS/RAM) Pilot (34310):** The Consumer Product Safety Improvement Act of 2008 directed the CPSC to create an International Trade Data System/Risk Assessment Methodology (ITDS/RAM) to identify products imported into the United States that are most likely to violate consumer product safety statutes and regulations. As a part of this effort, a pilot ITDS/RAM targeting system uses data collected by U.S. Customs and Border Protection (CBP) and provided to the CPSC, and then integrates the data with CPSC surveillance systems, resulting in the potential (upon full implementation) for the CPSC to analyze 100 percent of incoming import line entries designated as high priority. High-risk imports are targeted based on a predetermined rule set and stopped at the port for inspection. If a violation is found, the shipment is denied entry into the United States, eliminating a potential public health and safety concern before the product enters the marketplace. Commission activities to develop an International Trade Data System/Risk Assessment Methodology for the identification of shipments of consumer products that are: (1) intended for import into the United States, and (2) likely to include consumer products in violation of Section 17(a) of the Consumer Product Safety Act (15 U.S.C. 2066[a]) or other import provisions enforced by the Commission. Isolating the most serious of violators through the ITDS/RAM enables CPSC staff to take action against importers who do not comply with U.S. laws.

Import Surveillance Operating Plan Details – Carol Cave, Director

- **Import Surveillance (34350):** Coordination with CBP officials on integration of the Automated Commercial Environment (ACE).
- **Commercial Targeting and Analysis Center (CTAC) Support (34360):** The Commercial Targeting and Analysis Center (CTAC) is the CPSC's mechanism for conducting the majority of the agency's joint import enforcement programs with CBP. It serves as the central location for the agency's targeting efforts in support of enforcement plans and the International Trade Data System/Risk Assessment Methodology (ITDS/RAM) required under Section 222 of the CPSIA.
- **Importer Self-Assessment Product Safety Program (34370):** Coordination with CBP officials on applications related to the Importer Self-Assessment Program. This includes all time to meet with companies that apply to the program; to conduct both domestic and foreign visits of firms, manufacturers, and suppliers; and to attend conferences to educate importers on this program.

4. Unfunded Work at FY 2013 Request Level

Unfunded Work are projects, products, or services that were not identified during the original FY 2013 budget formulation phase. These needs have emerged in the intervening time. Should additional funds become available during FY 2013, these projects may receive priority consideration for potential funding.

Project	Strategic Goal
34310 - International Trade Data System/Risk Assessment Methodology (ITDS/RAM) Pilot	3

- **International Trade Data System/Risk Assessment Methodology (ITDS/RAM) Pilot (34310):** Additional resources above the costs that are funded at the operating level are needed to fund activities which are coordinated with the Office of Information & Technology Services:
 - ITDS/RAM System Enhancement
 - Use pre-built CBP web service functionality for specific entry data to incorporate Harmonized Tariff Schedule data currently not being imported through the interoperability web service.
 - ITDS/RAM Customized and Automated Reporting
 - Add Advanced Query Capability to the ITDS/RAM Application - Research, develop, test, and implement technical features that would provide users with a means of creating dynamically defined queries that would enable them to probe the data.
 - Develop customized reports for monitoring operations through the pilot system. These new reports, which would ultimately become standardized, could be generated to highlight potential problem areas for CPSC business analysts and would enable greater understanding of factors that impact the import process. Examples could include advanced, dashboard-like spreadsheets, pivot tables, and charts.
 - Forensic reporting of combined Entry Summary, Entry, and CPSC case and exam data - A Data Mart of updated analytical roll-ups will increase analysts' ability to generate standardized reports for product, port, or entity-specific investigations.

Import Surveillance Operating Plan Details – Carol Cave, Director

5. Annual Milestones

Milestone ID	2013 Annual Goal*	Milestone
2013M42	3.4.29 p.63	Six national operations established in 2012, maintained by CPSC staff stationed at CTAC
2013M43	N/A (new measure under Objective 3.4)	Develop, test, deploy, and provide means to manage ITDS/RAM custom targeting rules for national operations
2013M44	N/A (new measure under Objective 3.4)	Research, develop, test, and implement a technical approach to integrate the ITDS/RAM application and the Import Exam Logbook
2013M45	3.4.31 p.63	Enforcement program based on a completed 15(j) rule implemented
2013M46	4.2.05 p.71	Development of targeting system components - Update reference data used to identify manufacturers, importers, and Harmonized Tariff Schedule codes

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

6. Summary of Internal Operating Performance Measures

Operating Performance Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013OP22	3.4.26 p.62	Number of ports at which CPSC will cross-train other federal agencies' staff to identify hazardous imported products	11
2013OP23	3.4.30 p.63	Number of informed compliance inspections performed by CPSC staff of children's product importers with a history of noncompliance	20

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

7. Summary of Budget Key Performance Measures

Budget Key Measure ID	2013 Annual Goal	Performance Measure Statement	2013 Target
2013BK3.4.1	3.4.28 p.62	Number of import examinations	13,000
2013BK3.4.2	Similar to 4.2.6 p. 71	Sample yield per 100 import entries	26
2013BK3.4.3	N/A (new measure under Objective 3.4)	Percentage of import shipments cleared within 1 business day	Baseline
2013BK3.4.4	N/A (new measure under Objective 3.4)	Percentage of CPSC import entry hold requests acted on by CBP	Baseline

Import Surveillance Operating Plan Details – Carol Cave, Director

Budget Key Measure ID	2013 Annual Goal	Performance Measure Statement	2013 Target
2013BK3.4.5	N/A (new measure under Objective 3.4)	Establish an ITDS/RAM rule set to target intellectual property violations where a health and safety hazard is present in consumer product imports	-- [#]

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

There is no target for 2013. Rule set will be established in 2014.

Global Outreach Operating Plan Details – Dean W. Woodard, Director

1. Resource Summary

	Budget 2013 Request (in thousands)	FTE 2013 Request
Global Outreach	\$ 788.3	10

2. Overview and Key Priorities

The CPSC's Office of Education, Global Outreach, and Small Business Ombudsman (EXGO) was established to carry out educational and outreach activities to domestic and international stakeholders, including foreign governments, academic institutions, manufacturers, small businesses, retailers, and resellers. EXGO is a coordinated business unit composed principally of four main areas: education, international programs, small business, and intergovernmental relations.

In 2013, EXGO will follow through with improvements in tracking systems that started in 2012, and will complete additional systems. With these improvements, we will be able to track more easily our exchanges and outreach efforts with domestic and international businesses, allowing us to make the most efficient use of limited resources. Our focus in education will continue with development of our relationships with Duke-Kunshan, St. Louis University, and other academic institutions. Additional roundtables, increased use of social media, such as: SlideShare, and presentations at technical and association events will anchor our program.

The International Program will place continued emphasis on cooperation with multilateral organizations on the Western Hemisphere, and on the China Program. One of the highest priorities for this year is to achieve full staffing of our Beijing Office and to maximize its productivity. The team will find creative ways to maintain ties and work with all overseas colleagues, including increasing the use of digital video conferencing (DVC) and webinars, and maximizing the use of funds from other U.S. government agencies, such as the U.S. Agency for International Development (USAID).

The Small Business Ombudsman (SBO) will continue his outreach through webinars, presentations at trade shows, and other events, and through further development of regulatory summaries and other "plain English" online guidance. The focus this year is a targeted response to reach more business people while limiting travel. Social media use will also be increased this year.

The Intergovernmental Affairs program will focus on creating and strengthening partnerships with local academic institutions and other governmental agencies. Increased emphasis on vulnerable populations, such as children and the elderly, will be also be addressed through outreach materials, multiple-agency coordination, and speaking engagements. The program will support the SBO and director in their efforts in education and outreach activities.

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
34311 - Public Announcement of Products Stopped at Import	5
53146 - Education and Global Outreach	1
53147 - Small Business Ombudsman (SBO)	1
53148 - Overseas Office	1
53149 - International Programs	1

- **Public Announcement of Products Stopped at Import (34311):** This is a joint project with the Office of Import Surveillance consisting of the development of a stoppage data system. The system will gather import stoppage data on consumer products that have been determined to be in violation of U.S. regulatory standards. This will provide an additional platform to readily disseminate and report import stoppage data to relevant stakeholders. This project will build upon the already developed Organization for Economic Co-operation and Development (OECD) Global Recalls Portal database and will allow EXGO and EXIS staff to review, monitor, and publish data for stakeholders and the public on a routine basis.
- **Education and Global Outreach (53146):** This program supports educational outreach activities (including the Safety Academy); program support; such as graphic design and publications; speaking engagements; retailer/manufacturer training; and coordination with academic institutions. It includes travel of the director and intergovernmental relations specialist.
- **Small Business Ombudsman (SBO) (53147):** Provides resources for the SBO's travel and support requirements. This includes all domestic travel and one international trip.
- **Overseas Office (53148):** Provides support for the CPSC's Beijing office. The project includes the following costs: State Department overhead, Foreign Service National salary and retirement, travel, and office expenses.
- **International Programs (53149):** These are the related program activities of EXGO's International Programs. They include international travel of the Chairman, plus one additional person; outreach work in the Americas, Oceania, and Asia; and coordination with other regulatory agencies.

4. Unfunded Work at FY 2013 Request Level

Unfunded Work are projects, products, or services that were not identified during the original FY 2013 budget formulation phase. These needs have emerged in the intervening time. Should additional funds become available during FY 2013, these projects may receive priority consideration for potential funding.

Project	Strategic Goal
53147 – EXGO Program Coordinator	1

- **EXGO Program Coordinator (53147):** EXGO has taken ownership of the business sections of the CPSC website, which is widely referenced by the CPSC regulated community. EXGO is seeking an Education Coordinator to ensure that those materials and presentations are accurate, professional,

Global Outreach Operating Plan Details – Dean W. Woodard, Director

well-maintained, and up-to-date, to increase the effectiveness of these efforts. An additional FTE will also assist with EXGO's Safety Academy and EXGO's growing emphasis on social media, including assisting with the new platform, SlideShare, and assist with the ongoing work on Twitter. An additional FTE will greatly enhance effectiveness of EXGO's efforts because current staffing is insufficient to meet the great demand for new and up-to-date information from the CPSC.

5. Annual Milestones

Milestone ID	2013 Annual Goal*	Milestone
2013M47	1.2.01 p.22	Six international cooperation business plans reviewed and updated. The program plans are for (1) the Western Hemisphere, (2) Beijing Regional Office, (3) China, (4) East Asia-Pacific, (5) Europe and international organizations, and (6) Internet Foreign Language.
2013M48	1.2.02 p.22	Leadership positions in international organizations held in the Organization for Economic Cooperation and Development Working Party on Consumer Product Safety, and in the International Consumer Product Safety Caucus
2013M49	1.4.06 p.25	Progress report on the CPSC lead international pilot alignment initiative completed
2013M50	2.3.08 p.49	Focused outreach on safety regulations conducted (including attending and presenting at trade shows and producing written materials) to target small businesses in two industries
2013M51	2.5.12 p.51	Conduct or facilitate training for foreign industry stakeholders on U.S. safety requirements
2013M52	2.5.13 p.51	Training for foreign consumer product safety regulators conducted or facilitated
2013M53	N/A (new measure under Objective 2.5)	OECD Global Recalls Portal launched
2013M54	5.2.08 p. 80	Import Stoppage Data Reporting System developed

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012

6. Summary of Internal Operating Performance Measures

Operating Performance Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013OP24	1.3.04 p.24	Number of collaborations to train and build capacity of foreign jurisdictions	5
2013OP25	3.2.24 p.59	Coordinate extended training exchange at the CPSC on collecting and assessing hazard data for foreign	1

Global Outreach Operating Plan Details – Dean W. Woodard, Director

		regulator(s)	
2013OP26	4.5.13 p.75	Percentage of cases where recall announcement or stoppage report identifies country of manufacturer for which the relevant foreign government is notified	100%

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

7. Summary of Budget Key Performance Measures

Budget Key Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013BK1.2.1	N/A (new measure under Objective 1.2)	Number of training or outreach seminars for foreign manufacturers conducted by CPSC staff	1
2013BK1.2.2	1.2.03 p.22	Number of staff exchanges with foreign counterparts undertaken as part of the Extended Training Exchange Program	6
2013BK1.2.3	N/A (new measure under Objective 1.2)	Number of new collaborations undertaken with domestic nongovernment organizations (NGOs) and universities	2
2013BK1.4.1	N/A (new measure under Objective 1.2)	Number of products discussed with foreign counterparts	3
2013BK2.3.1	2.3.11, p. 49	Number of training activities made available to industry stakeholders	12

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

Communications Operating Plan Details – Scott Wolfson, Director

1. Resource Summary

	Budget 2013 Request (in thousands)	FTE 2013 Request
Office of Communications	\$ 1,074.2	10
VGBPSSA Information & Education	\$ 1,000.0	
Hotline	\$ 867.8	
Total	\$ 2,942.0	

2. Overview and Key Priorities

The Office of Communications' (OCMs') work is linked most directly to Strategic Goal 5—Raising Awareness. Major programs include implementing the Information and Education elements in Section 1407 of the Virginia Graeme Baker Pool and Spa Safety Act (VGB Act), conducting Minority Outreach and Safe Sleep campaigns, and operating the Neighborhood Safety Network program with its 7,500 members. OCM issues more than 400 recall announcements each year, with many of its announcements amplified by media interviews and recall round-up videos. OCM staff also conducts 25 targeted public information efforts and partnerships each year; manages the CPSC library of more than 200 safety publications; and produces, records, and webcasts nearly 100 CPSC media events, video presentations, and meetings each year. In addition, the office works on content and development of all CPSC websites, disseminates information via several social media platforms and listservs, and manages the contract for the agency's customer service response Hotline.

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
42286 - Distribution Services	5
42549 - Child Safety	5
42616 - Ongoing/Seasonal Program	5
44201 - Hotline	5
44522 - Special Projects	5
44563 - Recalls/Alert	5
44565 - Media Relations	5
44577 - Communications Operations	5
44607 - News Log	5
44699 - Video Communication	5
44790 - Virginia Graeme Baker Pool & Spa Safety Act (VGB Act) (P.L. 110-140)	5
44791 - Website Development and Content Management	5
44792 - Hearing Room Operations and Maintenance	5
44793 - Digital Communications	5

Communications Operating Plan Details – Scott Wolfson, Director

44794 - Minority Outreach	5
44795 - Media Monitoring	5

- **Distribution Services (42286):** Primarily handled through contract to maintain inventory of CPSC materials, intake orders, prepare mailings, and use most cost-effective distribution. Also includes publication design, development update, and production efforts to meet operational goals. Fulfillment of thousands of requests received each year from health care professionals, safety advocates, and consumers for the agency's free publications.
- **Child Safety (42549):** Develop, produce, and distribute communication products and conduct activities related to juvenile product safety and the Safe Sleep program, which is one of three targeted outreach campaigns for priority hazards and vulnerable communities. Conducting yearly safety programs related to the protection of children, such as toy safety, TV/furniture tip overs, safe sleep, and more.
- **Ongoing/Seasonal Program (42616):** Compile public information efforts and partnerships focusing on about 25 hazards areas. Conduct yearly safety programs related to seasonal safety hazards, such as fireworks, window covering strangulations, back-to-school hazards, and more.
- **Hotline (44201):** Management of a full-service contract for handling calls and e-mails to the agency for information about recalls, agency actions, publication orders, questions, and other customer service requirements specified in operational goals and the filing of reports of harm or potential harm.
- **Special Projects (44522):** Conducting projects such as the Neighborhood Safety Network and projects aimed at addressing emerging hazards, such as gel fuels, high-powered magnets, and adult bed rails.
- **Recalls/Alert (44563):** Development and distribution of press releases and related activities to publicize enforcement actions. Carrying out the responsibility of working with the Office of Compliance and Field Operations to develop press releases for more than 400 recalls and recall alerts each year.
- **Media Relations (44565):** All activities that involve development, distribution, support, and response to media covering agency activities. Conducting local and national TV, radio, print, and wire service interviews on recall announcements, emerging hazards, and safety campaigns.
- **Communications Operations (44577):** Coordination and execution of all communications activities in support of CPSC's annual safety messages and notifications on new and emerging hazards. This includes conducting press and public events, production and distribution of safety materials, local and long distance travel and all day-to-day operations.
- **News Log (44607):** Compiling and distributing media reports of agency actions and product safety news to help keep all agency staff and Commissioners informed.
- **Video Communication (44699):** Development, production, and distribution of visual communications products used to support agency messages. Production and editing of video news releases and public service announcements for broadcast media and the agency's YouTube channel to meet our goal of informing the public about a variety of safety hazards.
- **Virginia Graeme Baker Pool and Spa Safety Act (VGB Act) (44790):** Branded as the *Pool Safely* information and education program, it is one of three targeted outreach campaigns for priority hazards and vulnerable communities. Carrying out responsibilities under Section 1407 of the VGB Act by

Communications Operating Plan Details – Scott Wolfson, Director

operating a national and grassroots information and education campaign for the pool industry, homeowners, and public pool and spa operators (via the *Pool Safely* initiative).

- **Website Development and Content Management (44791):** This project provides resources for operations and maintenance of www.CPSC.gov and other websites.
- **Hearing Room Operations and Maintenance (44792):** Hearing Room maintenance and operations will support technical resources needed to stage, record and distribute audio and video content of agency activities conducted both from the Hearing Room and other conference and event locations hosted by the agency.
- **Digital Communications (44793):** Development, production, and distribution of safety messages via online platforms.
- **Minority Outreach (44794):** One of three targeted outreach campaigns for priority hazards and vulnerable communities according to operational goals.
- **Media Monitoring (44795):** Compiling and distributing media reports of agency actions and product safety news to help keep all agency staff and Commissioners informed. Compiling media impression counts for OCM products and efforts used to measure specific hazard-awareness topics that meet operational goals.

4. Unfunded Work at FY 2013 Request Level

Unfunded Work are projects, products, or services that were not identified during the original FY 2013 budget formulation phase. These needs have emerged in the intervening time. Should additional funds become available during FY 2013, these projects may receive priority consideration for potential funding.

Project	Strategic Goal
44791 - Website Development and Content Management	5
44792 - Hearing Room maintenance and operations	5

- **Website Development and Content Management (44791):** Additional resources above the operations and maintenance costs that are funded at the operating level are needed to further develop the website. Significant website development, content management and search engine design and implementation work needs to be done to the new website prior to it going live. After it goes live, significant work remains to bring the functionality of the software up to the level proposed in the approved plan. Fully developed, the software will allow quicker, easier posting of information that is more visible and user friendly. Properly implemented, the new software will ensure that the new CPSC.gov site and other sites are continually upgraded and updated to meet the needs of the agency, consumers, businesses and other stakeholders who seek relevant information about CPSC activities.
- **Hearing Room (44792):** Hearing Room maintenance and operations will support technical resources needed to stage, record, and distribute audio and video content of agency activities. Prudent upgrades and a comprehensive plan for ongoing maintenance, repair, and replacement of equipment will ensure uninterrupted operations for current and future planned use requirements. As Hearing Room use for meetings, webinars, and conference activities increases, the need for additional contracted maintenance and video captioning reasonably can be expected to increase.

Communications Operating Plan Details – Scott Wolfson, Director

5. Annual Milestones

Milestone ID	2013 Annual Goal*	Milestone
2013M55	5.1.02 p.78	Biannual field survey of consumer awareness of the CPSC conducted
2013M56	5.3.15 p.88	Minority Outreach awareness campaign conducted
2013M57	5.3.19 p.88	At least three information and education activities conducted to address child drowning and entrapment in residential and public pools and spas
2013M58	5.3.20 p.88	www.CPSC.gov , www.PoolSafely.gov , www.SaferProducts.gov , www.ATVSafety.gov www.Recalls.gov websites maintained, managed, and expanded
2013M59	5.3.22 p.88	“Safe Sleep for Babies” video promoted (during at least three conferences or meetings)
2013M60	5.3.24 p.88	Consumers warned about safe sleep dangers associated with infant slings, sleep positioners, and baby monitor cords, using communication tools, such as press releases, media interviews, social media, videos, and safety alerts
2013M61	5.1.06 p.78	Manage and direct www.CPSC.gov website content requests from within the agency

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

6. Summary of Internal Operating Performance Measures

Operating Performance Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013OP27	3.2.22 p.59	Percentage of product incident reports processed by Hotline staff within 8 working hours	99%
2013OP28	3.2.23 p.59	Percentage of voicemail messages responded to by Hotline staff the next business day	98%
2013OP29	4.4.12 p.75	Percentage of state health departments or designated agencies notified of voluntary corrective actions within 1 business day	96%
2013OP30	5.1.03 p.78	Number of new social media platforms for which CPSC established a presence to promote CPSC programs, recalls, and alerts	2
2013OP31	5.1.04 p.78	Number of followers on Twitter signed up to receive CPSC safety messages	25,000
2013OP32	5.2.09 p.80	Number of impressions of messages related to import safety campaigns and recalls received by consumers (millions)	1,000

Communications Operating Plan Details – Scott Wolfson, Director

Operating Performance Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013OP33	5.2.10 p.80	Number of impressions of fire safety messages received by consumers (millions)	450
2013OP34	5.2.11 p.80	Number of impressions of carbon monoxide safety messages received by consumers (millions)	85
2013OP35	5.2.12 p.80	Number of impressions of safety messages related to children's and other hazards received by consumers (millions)	1,100
2013OP36	5.3.14 p.88	Number of events at which CPSC conducted minority outreach tour	5
2013OP37	5.3.16 p.88	Number of members of Neighborhood Safety Network (NSN)	8,000
2013OP38	5.3.17 p.88	Number of minority consumers reached through safety messages in print, radio, Internet, broadcast media, and social media (millions)	22
2013OP39	5.3.18 p.88	Number of impressions of CPSC safety messages related to pool and spa safety received by consumers (millions)	50
2013OP40	5.3.21 p.88	Percentage of time CPSC staff contacts local media within 3 business days of learning of a pool or spa drowning, near drowning, or entrapment during the Memorial Day to Labor Day summer swim season	60%
2013OP41	5.3.25 p.88	Number of safety messages related to Safe Sleep information and education activities (millions)	120
2013OP42	N/A (new measure under Objective 5.2)	Number of submissions for contest in which students submit posters recognizing the dangers of carbon monoxide	300
2013OP43	5.1.01 p.78	Number of visits to CPSC's website (millions)	52.0

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

No goal established. TBD (To be determined).

7. Summary of Budget Key Performance Measures

Budget Key Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013BK4.4.1	5.1.5 p. 78	Average number of days from first draft of recall press release completed to recall press release issued	Baseline

Communications Operating Plan Details – Scott Wolfson, Director

Budget Key Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
2013BK5.1.1	N/A (new measure under Objective 5.1)	Percentage of the population that reports awareness of the CPSC	Baseline
2013BK5.1.2	N/A (new measure under Objective 5.1)	Percentage of consumers who report acting on a CPSC safety message	Baseline
2013BK5.2.1	5.2.13 p.80	Number of public information campaigns conducted by CPSC on specifically identified consumer product safety hazards	24
2013BK5.2.2	N/A (new measure under Objective 5.2)	Number of impressions received by consumers of CPSC safety messages (in millions)	2,635
2013BK5.3.1	N/A (new measure under Objective 5.3)	Number of impressions of CPSC safety messages on priority hazards received by consumers in vulnerable communities (in millions)	210

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

Information Technology Operating Plan Details - Pat Weddle, AED

1. Resource Summary

	Budget 2013 Request (in thousands)	FTE 2013 Request
Office of Information Technology	\$ 62.4	41
IT Infrastructure	\$ 7161.8	
Commission's Information Systems	\$ 1,342.6	
CPSRMS	\$ 4,700.0	
International Trade Data System/Risk Assessment - Import	\$ 1,600.0	
Total	\$ 14,866.8	

2. Overview and Key Priorities

The Office of Information and Technology Services (EXIT) is responsible for general policy and planning issues related to the dissemination of information by the Commission, including, but not limited to OMB Circular A-130, the Federal Information Security Management Act, the Government Paperwork Elimination Act, Section 508 of the Americans with Disabilities Act, and the E-Government Act; the design, implementation, and support of the Commission's information technology system needs; maintaining and/or providing access to administrative applications for the Commission's business processes, such as payroll, accounting, personnel, budget, information management, and work tracking; and administration of the network, telephone systems, and Help Desk. EXIT's key priorities are to provide access to data, supply tools to analyze data, and secure data.

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
13328 - Consumer Product Safety Risk Management System (CPSRMS)	3
54575 - Information Technology (IT) Security	ALL
54576 - Information Technology (IT) Infrastructure	ALL
99933 - Telecommunications - Voice	ALL
99945 - Capital Replacement	ALL
99947 - Programming Support	ALL
99948 - Information Systems Support	ALL
99949 - Telecommunications - Data	ALL

- Consumer Product Safety Risk Management System (CPSRMS) (13328):** Activities associated with the development of the Consumer Product Safety Risk Management System (CPSRMS). CPSRMS is a comprehensive system consisting of three core components: the Public Portal; the Review, Analysis, and Decision Support (RADS) System; and the Data Warehouse. CPSRMS will

Information Technology Operating Plan Details - Pat Weddle, AED

improve the management of investigations and give the CPSC the capability to assess, predict, and act on product risks. CPSRMS will allow instant communication between the Commission and the public.

- **Information Technology (IT) Security (54575):** Implementation and management of the CPSC's IT Security Program, which includes maintaining a secure information environment throughout the CPSC and ensuring information systems' confidentiality, integrity, and availability.
- **Information Technology (IT) Infrastructure (54576):** The CPSC's IT Infrastructure environments include: telecommunications, hardware/software and licensing, the Help Desk, security, and other general operations and maintenance activities.
- **Commission Information Systems (54577):** Personnel resources involved in the planning, implementing, operating, and maintaining of the Commission's database applications. A list of 50 systems can be located on the CPSC's intranet at: <https://cpscnet.cpsc.gov/cgibin/myapps/allappsin.asp>.
- **Telecommunications - Voice (99933):** Essential telephonic voice communication, including agency-wide long-distance service; local Washington D.C. area service for headquarters, NPTEC and the warehouse; as well as cellular phones and Blackberries. Also included are installation, repair, and maintenance services.
- **Capital Replacement (99945):** Funds Information Technology annual investment costs of hardware and software. This allows CPSC to replace aging and outdated equipment on a regular, systematic replacement cycle in order to keep pace with changing technology and increasing network traffic and to maintain compatibility between equipment, operating systems and software applications.
- **Programming Support (99947):** Contract programmers and database administrators service contracts are needed to support Information System applications and websites.
- **Information Systems Support (99948):** Existing hardware/software maintenance and license agreements, the Help Desk contract, network consultant services, and printer and miscellaneous IT supplies.
- **Telecommunications - Data (99949):** Network WAN and data telecom charges and domain registrations for the agency.

4. Unfunded Work at FY 2013 Request Level

Unfunded Work are projects, products, or services that were not identified during the original FY 2013 budget formulation phase. These needs have emerged in the intervening time. Should additional funds become available during FY 2013, these projects may receive priority consideration for potential funding.

Project	Strategic Goal
99948 - Information Systems Support	All

- **Information Systems Support (99948):** Additional funds are needed for a requirements analysis for the personnel Performance Management Module for the Talent Management System.

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5. Annual Milestones

Milestone ID	2013 Annual Goal	Milestone
2013M62	3.1.01 p.54	Information Technology systems upgraded and improved: <ul style="list-style-type: none">• Complete the implementation of the electronic portfolio aspects of the new system• Report on the methods and resource requirements of allowing licensors to comment on reports submitted to the www.SaferProducts.gov website
2013M63	3.2.16 p.58	Collection of incident reports from businesses automated and consolidated

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

6. Summary of Internal Operating Performance Measures – Not Applicable

7. Summary of Budget Key Performance Measures – Not Applicable

Other Offices Operating Plan Milestones and Performance Measures

The Office of Human Resources Management, the Office of EEO and Minority Enterprise, and the Office of the General Counsel also have some annual milestones, internal operating performance measures, and budget key performance measures.

Annual Milestones

Office	Milestone ID	2013 Annual Goal*	Milestone
Chairman	2013M64	1.5.07 p. 25	Chairman's Commendation Circle Award Program implemented
EXRM	2013M65	1.6.09 p.26	Action plan developed and implemented to address barriers to involvement of hiring managers in hiring process
EXRM	2013M66	1.6.13 p.26	Action plan to address employee satisfaction results of Employee Viewpoint Survey developed and implemented

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

Summary of Internal Operating Performance Measures

Office	Operating Performance Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
EXRM	2013OP44	1.6.10 p.26	Number of focus groups conducted for new employees on the recruitment and orientation processes	2
EXRM	2013OP45	1.6.11 p.26	Percentage of vacancies for which staff meet the standard of vacancy applicants receiving at least four notifications	95%
EXRM	2013OP46	1.6.12 p.26	Number of focus groups conducted to explore results of Employee Viewpoint Survey	6
EXRM	2013OP47	1.6.14 p.26	Number of onsite wellness activities conducted	30
OEE0	2013OP48	N/A (new measure under Objective 1.6)	Percentage of EEO informal complaints resolved during the fiscal year	50%
OEE0	2013OP49	1.6.15 p.26	Percentage of recruitment efforts targeted to organizations serving underrepresented populations	12%
OEE0	2013OP50	1.6.16 p.26	Percentage of supervisors/employees who received voluntary Equal Employment Opportunity- and diversity-related training	15%
OEE0	2013OP51	1.6.17 p.26	Number of initiatives offered to managers, supervisors, and employees to promote representation of underrepresented groups	6

Other Offices Operating Plan Milestones and Performance Measures

Office	Operating Performance Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
OGC	2013OP52	4.5.14 p.75	Percentage of cases referred to Division of Compliance for a civil penalty or other appropriate legal action that are evaluated within 1-year of referral	90%

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

Summary of Budget Key Performance Measures

Office	Budget Key Measure ID	2013 Annual Goal*	Performance Measure Statement	2013 Target
EXRM	2013BK1.6.1	N/A (new measure under Objective 1.6)	Employee retention rate	85%
EXRM	2013BK1.6.2	1.6.08 p.26	Average hiring time (recruitment time using OPM's End-to-End hiring process) (days)	80
EXRM	2013BK1.6.3	N/A (new measure under Objective 1.6)	Training participation rate	80%

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.

Attachment 7: Discontinued Annual Goals from 2013 Performance Budget Request (issued February 2012)

The following represent annual goals from the 2013 performance Budget Request that have not been directly transitioned to the 2013 Operating Plan as either an annual Milestone, internal Operating Performance Measure, or Budget Key Performance Measure.

	Office	2013 Annual Goal*	Annual Goal Description	Explanation
1	EXC	4.1.03, p. 70	Enforcement program to monitor compliance with requirements of the Children's Gasoline Burn Prevention Act implemented	Deleted
2	EXIS	4.2.05, p. 71	Transition of import surveillance targeting system from pilot to full-production completed	Deleted: Funding for the full ITDS/RAM system has not been appropriated.
3	EXIS	3.4.27, p. 62	Number of models of children's products screened for compliance with lead content limit	Deleted: Over 70% of all import screenings in FY 2012, to date, have involved a screening for lead. This goal is duplicative of goal 3.4.28 (table 7).
4	EXIS	4.2.06, p. 71	Percentage increase in effectiveness rate (number of violative samples found per examination) for import examinations achieved by applying the pilot methodology	Deleted: Funding for the full ITDS/RAM system has not been appropriated.
5	OCM	5.3.23, p. 88	Three activities to publicize crib safety mandatory standards conducted	Deleted: Completed in 2012.
6	EXIT	3.1.01, p. 54	Information Technology systems upgraded and improved: Update and automate the internal processing of incident reports	Deleted: Partially completed with the roll out of 360 to manage intake and triage; Risk-targeting was deferred so we can focus on higher priority work.
7	EXIT	3.1.01, p. 54	Information Technology systems upgraded and improved: Provide to the public published incident reports	Deleted: Completed in FY 2012.
8	EXIT	3.2.17, p. 58	Requirements for full production import targeting system identified and system designed.	Deleted: Funding for the full ITDS/RAM system has not been appropriated.
9	EXIT	3.2.18, p. 58	Collaboration with IT contractors to develop additional features for Early Warning System	Deleted: Work will be deferred until FY 14 as part of continuous improvement or as new requirements develop.
10	EXIT	5.1.07, p. 78	Option developed for frequent users of www.SaferProducts.gov , which will create a customized view limited to content the user specifies	Deleted: Work will be deferred.
11	OGC	4.5.15, p. 75	Any cases filed by state attorneys general monitored by CPSC staff	Deleted
12	OGC	2.3.07, p. 49	Percentage of ATV action plans from manufacturers or distributors reviewed on time	Deleted
13	EXHR	3.1.13, p. 54	Leverage other federal data collection efforts	Deleted

* Corresponding annual goal from 2013 Performance Budget Request issued February 2012.