



# Office of Inspector General

U.S. Consumer Product Safety Commission

## Consumer Product Safety Improvement Act Annual Report For Fiscal Year 2016

July 6, 2017

## **Vision Statement**

We are agents of positive change striving for continuous improvements in our agency's management and program operations.

## **Statement of Principles**

We will:

Work with the Commission and the Congress to improve program management;

Maximize the positive impact and ensure the independence and objectivity of our audits, investigations, and other reviews;

Use our investigations and other reviews to increase Government integrity and recommend improved systems to prevent fraud, waste, and abuse;

Be innovative, question existing procedures, and suggest improvements;

Build relationships with program managers based on a shared commitment to improving program operations and effectiveness;

Strive to continually improve the quality and usefulness of our products; and

Work together to address Government-wide issues.



**OFFICE OF INSPECTOR GENERAL  
CONSUMER PRODUCT SAFETY COMMISSION**

Via Electronic Transmission

TO : Ann Marie Buerkle, Acting Chairman  
Robert S. Adler, Commissioner  
Elliot F. Kaye, Commissioner  
Joseph Mohorovic, Commissioner  
Marietta S. Robinson, Commissioner

FROM : Christopher W. Dentel, Inspector General

SUBJECT : Consumer Product Safety Improvement Act of 2008

The Consumer Product Safety Improvement Act of 2008 requires that the Office of Inspector General of the U.S. Consumer Product Safety Commission annually provide to the appropriate congressional committees, the findings, conclusions, and recommendations from its reviews and audits performed under section 205 of the CPSIA. The attached report fulfills this requirement.

Please feel free to contact me if you or your staff has any questions or concerns.

Sincerely,

A handwritten signature in black ink that reads "Christopher W. Dentel".

Christopher W. Dentel

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## Executive Summary

The Consumer Product Safety Improvement Act (CPSIA) of 2008 requires the Office of Inspector General (OIG) of the U.S. Consumer Product Safety Commission (CPSC) to annually report the findings, conclusions, and recommendations from its reviews and audits performed under section 205 of the CPSIA, as well as employee complaints fitting the definitions set forth in section 205(b) of the CPSIA to the appropriate congressional committees.

This year's report focuses on the CPSC's efforts to make improvements to and upgrades of the Commission's information technology architecture and systems as well as the state of the CPSC's information technology security during fiscal year 2016.

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## Report Summaries

### **Cybersecurity Information Sharing Act of 2015**

The Cybersecurity Information Sharing Act of 2015, Title IV, Section 406, requires the CPSC OIG to conduct an independent evaluation of the CPSC's establishment of information security policies, procedures, and practices to protect agency systems that provide access to personally identifiable information. Overall, we found that the CPSC had established policies, procedures, and practices for logical access, contractor oversight, forensics, and software inventory.

However, as detailed in the report, based on the information provided by management, we noted that the agency had not achieved a number of the requirements set forth in the Cybersecurity Information Sharing Act, including:

- development of logical access policies and procedures for all agency systems that permit access to personally identifiable information;
- universal enforcement of smartcard authentication across all functions;
- implementation of a standard software inventory methodology; and
- development of formal procedures and automated practices for software license management.

Please see full report at CPSC OIG [reports](#).

## **Federal Information Security Modernization Act**

The Federal Information Security Modernization Act (FISMA) requires each federal agency to develop, document, and implement an agency-wide program to provide information security for the information and information systems that support the operations and assets of the agency. It also requires that the relevant OIG perform an annual assessment of the agency's compliance with FISMA.

The fiscal year 2016 FISMA evaluation found that the CPSC had taken proactive steps to address its existing security weaknesses by adding cybersecurity resources to the agency staff and hiring a Chief Information Officer with a strong cybersecurity background. In addition, the CPSC had improved its policies and procedures, implemented new cybersecurity solutions, and was actively working toward standardizing its risk documentation. These efforts demonstrated management's commitment to improving the agency's security profile.

However, the OIG identified several security weaknesses in the CPSC's management, operational, and technical controls policies, procedures, and practices. The security weaknesses found could result in the modification or destruction of data, disclosure of sensitive information, or denial of services to users who require the information to support the mission of the CPSC.

Please see full report at CPSC OIG [reports](#).

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## **Employee Complaints**

No complaints fitting the definitions set forth in section 205(b) of the CPSIA were filed with this office during fiscal year 2016.

## CONTACT US

If you want to confidentially report or discuss any instance of misconduct, fraud, waste, abuse, or mismanagement involving CPSC's programs and operations, please contact the CPSC Office of Inspector General.



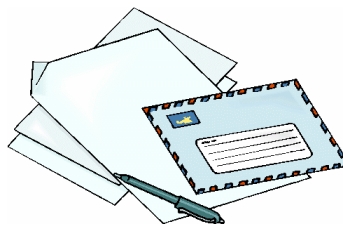
### Call:

Inspector General's HOTLINE: 301-504-7906  
Or: 1-866-230-6229



Click [here](#) for complaint form.

Click [here](#) for CPSC OIG website.



### Or Write:

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Consumer Product Safety Commission  
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Bethesda MD 20814