Office of Inspector General

U.S. Consumer Product Safety Commission

Report of Consumer Product Safety Improvement Act Activity for Fiscal Year 2018

February 8, 2019

19-A-04
**Vision Statement**

We are agents of positive change striving for continuous improvements in our agency’s management and program operations, as well as within the OIG.

**Statement of Principles**

We will:

- Work with the Commission and the Congress to improve program management;
- Maximize the positive impact and ensure the independence and objectivity of our audits, investigations, and other reviews;
- Use our investigations and other reviews to increase government integrity and recommend improved systems to prevent fraud, waste, and abuse;
- Be innovative, question existing procedures, and suggest improvements;
- Build relationships with program managers based on a shared commitment to improving program operations and effectiveness;
- Strive to continually improve the quality and usefulness of our products; and
- Work together to address government-wide issues.
TO:      Ann Marie Buerkle, Acting Chairman  
Robert S. Adler, Commissioner  
Elliot F. Kaye, Commissioner  
Dana Baiocco, Commissioner  
Peter A. Feldman, Commissioner  

FROM:    Christopher W. Dentel, Inspector General

SUBJECT: Consumer Product Safety Improvement Act Annual Report for Fiscal Year 2018

The Consumer Product Safety Improvement Act of 2008 (CPSIA) requires that the Inspector General of the U.S. Consumer Product Safety Commission annually provide to the appropriate congressional committees the findings, conclusions, and recommendations from its reviews and audits performed under subsection 205(a) of the CPSIA as well actions taken with regard to employee complaints under subsection 205(b). The attached report fulfills these requirements for fiscal year 2018.

Please feel free to contact me if you or your staff have any questions or concerns.
**Background**

The Consumer Product Safety Improvement Act of 2008 (CPSIA) requires that the Inspector General of the U.S. Consumer Product Safety Commission (CPSC) annually report the findings, conclusions, and recommendations from its reviews and audits performed to meet the requirements of subsection 205(a) of the CPSIA. Specifically, subsection 205(a) instructs the Inspector General to assess the CPSC’s capital improvement efforts, which includes upgrades of the information technology architecture and systems and the development of a publicly accessible website.

In addition, subsection 205(b) requires that the Inspector General review any employee complaints fitting the definitions set forth in CPSIA subsection 205(b) and actions taken by the Commission to address them.

The CPSIA requires an annual report to the appropriate Congressional committees the Inspector General’s findings, conclusions, and recommendations from the reviews and audits under subsection 205(a) and complaints under subsection 205(b).

**Report Summary**

**Evaluation of CPSC’s FISMA Implementation for FY 2018**
(Click [here](#) for the full report)

The FISMA requires each federal agency to develop, document, and implement an agency-wide program to provide information security for the information and information systems that support the operations and assets of the agency. It also requires that the relevant Office of Inspector General perform an annual assessment of the agency’s compliance with FISMA.

The OIG contracted with Richard S. Carson & Associates, Inc. (Carson), a management consulting firm, to perform a review of the CPSC’s compliance with the FISMA reporting requirements for FY 2018. The review was performed in accordance with the Council of the Inspectors General on Integrity and Efficiency Quality Standards for Inspections and Evaluations. The review focused on the CPSC’s compliance with the FISMA metrics provided by the Department of Homeland Security and Office of Management and Budget.

Carson found that the CPSC was not compliant with all of FISMA’s requirements. The CPSC’s FISMA non-compliance has a direct impact the confidentiality, integrity, and availability of the public facing database. However, the CPSC is making
progress in implementing many of the FISMA requirements. Carson identified 17 findings and made 52 recommendations to improve the CPSC’s information security posture.

**Employee Complaints**

No complaints fitting the definitions set forth in subsection 205(b) of the CPSIA were received by this office during fiscal year 2018. In addition, there were no prior year outstanding complaints which the Commission failed to address during fiscal year 2018.
CONTACT US

If you want to confidentially report or discuss any instance of misconduct, fraud, waste, abuse, or mismanagement involving CPSC’s programs and operations, please contact the CPSC Office of Inspector General.

**Call:** Inspector General’s HOTLINE: 301-504-7906
Or: 1-866-230-6229

**On-line complaint form:**
Click [here](#) for complaint form.

Click [here](#) for CPSC OIG Website.

**Or Write:**
Office of Inspector General
Consumer Product Safety Commission
4330 East-West Highway, Room 702
Bethesda, MD 20814