

# UNITED STATES CONSUMER PRODUCT SAFETY COMMISSION

4330 EAST WEST HIGHWAY BETHESDA, MD 20814

#### **ACTING CHAIRMAN ROBERT ADLER**

March 26, 2021

The Honorable Kathleen McGettigan Acting Director United States Office of Personnel Management Office of the Director Theodore Roosevelt Building 1900 E. Street, NW Rm 5A09 Washington, DC 20415

Dear Acting Director McGettigan:

I am pleased to present the U.S. Consumer Product Safety Commission's (CPSC) Fiscal Year (FY) 2020 Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002 (No FEAR Act) Annual Report.

During FY 2020, the CPSC continued to work diligently to promote policies and initiatives to prevent violations of federal anti-discrimination and whistleblower laws. This work included the issuance of agency policy statements on non-discrimination in employment and harassment prevention. The CPSC also disseminated important information through quarterly Web postings of its complaint data, as required by the No FEAR Act. We continued to provide anti-discrimination and anti-retaliation training for executives, managers, supervisors, and employees. In FY 2020, new employees received No FEAR Act training within 90 days of appointment to the agency. The CPSC also stressed the importance of a fair and expeditious complaint process to ensure EEO compliance and accountability during FY 2020.

In accordance with goals set forth by the CPSC Strategic Plan, the CPSC promoted workforce acceptance and celebration of cultural and individual differences. The CPSC also continued to conduct targeted outreach activities to ensure that our applicant pools are reflective of our nation's diversity. The CPSC remains fully committed to the preservation of merit system principles to guarantee that the federal government has an effective and professional civilian workforce.

The Honorable Kathleen McGettigan March 26, 2021 Page 2

Should you or your staff have any questions, please feel free to contact our EEO Director, Ms. Brittany Woolfolk, by telephone at (301) 504-7596 or by e-mail at <a href="mailto:bwoolfolk@cpsc.gov">bwoolfolk@cpsc.gov</a>.

Very truly yours,

Robert S. adler

Robert Adler Acting Chairman

Enclosure

# Equal Employment Opportunity Data Posted Pursuant to the No Fear Act FY 2020 (October 01, 2019 through September 30, 2020)

1. The number of cases in Federal court pending or resolved in each fiscal year and arising under each of the respective provisions of the No FEAR laws applicable to them as defined in § 724.102 of subpart A of this part in which an employee, former Federal employee, or applicant alleged a violation(s) of these laws, separating data by the provision(s) of law involved.	None
<ul><li>2. In the aggregate, for the cases identified in paragraph (a)(1) of this section and separated by provision(s) of law involved:</li><li>(i) the status of disposition (including settlement);</li></ul>	N/A
(ii) the amount of money required to be reimbursed to the Judgment Fund by the agency for payments as defined in § 724.102 of subpart A of this part; and	None
(iii) the amount of reimbursement to the Fund for attorney's fees where such fees have been separately designated.	
3. In connection with the cases identified in paragraph (a)(1) of this section, the total number of employees in each fiscal year disciplined as defined in § 724.102 of subpart A of this part and the specific nature, e.g., reprimand, etc., of the disciplinary actions taken, separated by the provision(s) of law involved.	None
4. The final year-end data about discrimination complaints for each fiscal year that was posted in accordance with the Equal Employment Opportunity Regulations of subpart G of title 29 of the Code of Federal Regulations (implementing section 301(c)(1)(B) of the No FEAR Act.	See Appendix A
5. Whether or not in connection with cases in federal court, the number of employees in each fiscal year disciplined as defined in § 724.102 of subpart A of this part in accordance with any agency policy described in paragraph (a)(6) of this section. The specific nature, e.g., reprimand, etc., of the disciplinary actions taken must be identified.	None
6. A detailed description of the agency's policy for taking disciplinary action against federal employees for conduct that is inconsistent with Federal Antidiscrimination Laws and Whistleblower Protection Laws or for conduct that constitutes another prohibited personnel practice revealed in connection with agency investigations of alleged violations of these laws.	The Agency has adopted and follows the Office of Personnel Management (OPM) Advisory Guidelines to take appropriate disciplinary actions against employees for conduct inconsistent with Antidiscrimination and Whistleblower Protection Laws.

7. An analysis of the information provided in paragraphs (a)(1)-(6) of this section in conjunction with data provided to the Equal Employment Opportunity Commission in compliance with 29 CFR part 1614 subpart F of this Code of Federal Regulations. Such analysis must include: (i) an examination of trends; (ii) causal analysis; (iii) practical knowledge gained through experience; and (iv) any actions planned or taken to improve complaint or civil rights programs of the agency with the goal of eliminating discrimination and retaliation in the workplace.	See Appendix B
8. For each fiscal year, any adjustment needed or made to the budget of the agency to comply with its Judgment Fund reimbursement obligation(s) incurred under § 724.103 of subpart A of this part.	N/A
9. The agency's written plan developed under § 724.203(a) of subpart B of this part to train its employees.	See Appendix C

		Con	nparative Da	ata		
Complaint Activity						
	FY20 <b>20</b>	FY2015				
Number of Complaints Filed	0	4	2	1	5	1
Number of Complainants	0	4	2	1	4	1
Repeat Filers	0	0	0	0	1	0

Complaints by Basis			nparative Da s Fiscal Yea			
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints filed.	FY20 <b>20</b>	FY201 <b>9</b>	FY201 <b>8</b>	FY201 <b>7</b>	FY201 <b>6</b>	FY201 <b>5</b>
Race	0	2	2	0	3	0
Color	0	0	0	0	0	0
Religion	0	0	0	0	0	0
Reprisal	0	2	1	1	4	0
Sex	0	0	1	0	0	1
National Origin	0	1	1	0	0	0
Equal Pay Act	0	0	0	0	0	0
Age	0	2	1	1	4	1
Disability	0	0	0	0	1	0
Genetic Information	0	0	1	0	0	0
Non-EEO	0	0	0	0	0	0

Commission by Loons		Com	parative Da	ata		
Complaints by Issue		Previou	s Fiscal Yea	r Data		
Note: Complaints can be filed alleging multiple issues. The sum of the issues may not equal total complaints filed.	FY20 <b>20</b>	FY201 <b>9</b>	FY201 <b>8</b>	FY201 <b>7</b>	FY201 <b>6</b>	FY201 <b>5</b>
Appointment/Hire	0	0	0	0	0	0
Assignment of Duties	0	0	0	0	0	1
Awards	0	0	0	1	0	0
Conversion to Full-time						
Disciplinary Action					•	
Demotion	0	0	0	0	0	0
Reprimand	0	1	0	0	0	0
Removal	0	0	0	0	0	0
Suspension	0	1	0	0	0	0
Other	0	0	0	1	0	0
Duty Hours	0	0	0	0	0	0
Evaluation Appraisal	0	1	1	1	1	0
Examination/Test	0	0	0	0	0	0
Harassment						
Non-Sexual	0	1	2	1	0	1
Sexual	0	0	0	0	0	0
Medical Examination	0	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0	0
Promotion/Non-Selection	0	2	0	0	1	0
Reassignment						
Denied	0	0	0	0	0	0
Directed	0	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0	0
Reinstatement	0	0	0	0	0	0
Retirement	0	0	0	0	0	0
Termination	0	0	0	0	0	0
Terms/Conditions of Employment	0	0	1	0	0	0
Time and Attendance	0	0	0	0	0	C
Training	0	0	0	0	0	0
Other	0	0	2	0	0	0

		Comparative Data								
		Previou	s Fiscal Yea	r Data						
Processing Time	FY20 <b>20</b>	FY201 <b>9</b>	FY201 <b>8</b>	FY201 <b>7</b>	FY201 <b>6</b>	FY201 <b>5</b>				
Complaints pending during fiscal year										

Average number of days in investigation	0	133	178	50	123	164
Complaint pending during fiscal year where hearing was requested						
Average number of days in final action	0	50	0	0	446	529
Complaint pending during fiscal year where hearing was not requested						
Average number of days in final action	0	56	74	0	0	0

			nparative Da Is Fiscal Yea			
Complaints Dismissed by Agency	FY20 <b>20</b>	FY20 <b>19</b>	FY201 <b>8</b>	FY201 <b>7</b>	FY201 <b>6</b>	FY201 <b>5</b>
Total Complaints Dismissed by Agency	0	0	0	0	0	0
Average days pending prior to dismissal	0	0	0	0	0	0
Complaints Withdrawn by Complainants						
Total Complaints Withdrawn by Complainants	0	0	0	0	0	0

	Comparative Data											
Total Final Actions Finding Discounting				Previous	Fiscal Yea	ar Data					ĺ	
Total Final Actions Finding Discrimination	FY20 <b>20</b>		FY20 <b>20</b> FY201 <b>9</b> FY201 <b>8</b> FY201 <b>7</b> FY201 <b>6</b>									
	#	# % # % # % # % # %								#	%	
Total Number Findings	0		0		0		0		0		0	
Without Hearing	0	0	0	0	0	0	0	0	0	0	0	0
With Hearing	0	0	0	0	0	0	0	0	0	0	0	0

	Comparative Data												
Findings of Discrimination Rendered by Basis			I	Previous	Fiscal Yea	ar Data							
Note: Complaints can be filed alleging multiple bases. The sum of the bases may not equal total complaints and findings.	EV.												
	FY2020         FY2019         FY2018         FY2017         FY2016           #         %         #         %         #         %         #         %										FY201 <b>5</b> # %		
Total Number Findings	π	76	77	76	- #	76	π	/6	- <del>11</del>	/6	π		
Race	0	0	0	0	0	0	0	0	0	0	0	0	
Color	0	0	0	0	0	0	0	0	0	0	0	0	
Religion	0	0	0	0	0	0	0	0	0	0	0	0	
Reprisal	0	0	0	0	0	0	0	0	0	0	0	0	
Sex	0	0	0	0	0	0	0	0	0	0	0	0	
National Origin	0	0	0	0	0	0	0	0	0	0	0	0	
Equal Pay Act	0	0	0	0	0	0	0	0	0	0	0	0	
Age	0	0	0	0	0	0	0	0	0	0	0	0	
Disability	0	0	0	0	0	0	0	0	0	0	0	0	
Genetic Information	0	0	0	0	0	0	0	0	0	0	0	0	
Non-EEO	0	0	0	0	0	0	0	0	0	0	0	0	
Findings After Hearing	0	0	0	0	0	0	0	0	0	0	0	0	
Race	0	0	0	0	0	0	0	0	0	0	0	0	
Color	0	0	0	0	0	0	0	0	0	0	0	0	
Religion	0	0	0	0	0	0	0	0	0	0	0	0	
Reprisal	0	0	0	0	0	0	0	0	0	0	0	0	
Sex	0	0	0	0	0	0	0	0	0	0	0	0	
National Origin	0	0	0	0	0	0	0	0	0	0	0	0	
Egual Pay Act	0	0	0	0	0	0	0	0	0	0	0	0	
Age	0	0	0	0	0	0	0	0	0	0	0	0	
Disability	0	0	0	0	0	0	0	0	0	0	0	0	
Genetic Information	0	0	0	0	0	0	0	0	0	0	0	0	
Non-EEO	0	0	0	0	0	0	0	0	0	0	0	0	
					1 0		1 0						
Findings Without Hearing	0	0	0	0	0	0	0	0	0	0	0	0	
Race	0	0	0	0	0	0	0	0	0	0	0	0	

Color	0	0	0	0	0	0	0	0	0	0	0	0
Religion	0	0	0	0	0	0	0	0	0	0	0	0
Reprisal	0	0	0	0	0	0	0	0	0	0	0	0
Sex	0	0	0	0	0	0	0	0	0	0	0	0
National Origin	0	0	0	0	0	0	0	0	0	0	0	0
Equal Pay Act	0	0	0	0	0	0	0	0	0	0	0	0
Age	0	0	0	0	0	0	0	0	0	0	0	0
Disability	0	0	0	0	0	0	0	0	0	0	0	0
Genetic Information	0	0	0	0	0	0	0	0	0	0	0	0
Non-EEO	0	0	0	0	0	0	0	0	0	0	0	0

					arative D								
Findings of Discrimination Rendered by Issue	FV.	20 <b>20</b>	FY20	Previous		<u>ar Data</u> 201 <b>8</b>	FY20	117	FV2	016	FY201 <b>5</b>		
	#	%	#	%	# #	201 <b>8</b> %	#	%	#	%	#	%	
Total Number Findings	0	76	0	76	0	76	0	76	0	76	0	1 /8	
Appointment/Hire	0	0	0	0	0	0	0	0	0	0	0	0	
Assignment of Duties	0	0	0	0	0	0	0	0	0	0	0	0	
Awards	0	0	0	0	0	0	0	0	0	0	0	0	
Conversion to Full-time	0	0	0	0	0	0	0	0	0	0	0	0	
Disciplinary Action	0	0	0	0	0	0	0	0	0	0	0	0	
Demotion	0	0	0	0	0	0	0	0	0	0	0	0	
Reprimand	0	0	0	0	0	0	0	0	0	0	0	0	
Suspension	0	0	0	0	0	0	0	0	0	0	0	0	
Removal	0	0	0	0	0	0	0	0	0	0	0	0	
Other	0	0	0	0	0	0	0	0	0	0	0	0	
Duty Hours	0	0	0	0	0	0	0	0	0	0	0	0	
Evaluation Appraisal	0	0	0	0	0	0	0	0	0	0	0	0	
Examination/Test	0	0	0	0	0	0	0	0	0	0	0	0	
Harassment	0	0	0	0	0	0	0	0	0	0	0	0	
Non-Sexual	0	0	0	0	0	0	0	0	0	0	0	0	
Sexual	0	0	0	0	0	0	0	0	0	0	0	0	
Medical Examination	0	0	0	0	0	0	0	0	0	0	0	0	
Pay (Including Overtime)	0	0	0	0	0	0	0	0	0	0	0	0	
Promotion/Non-Selection	0	0	0	0	0	0	0	0	0	0	0	0	
Reassignment	0	0	0	0	0	0	0	0	0	0	0	0	
Denied	0	0	0	0	0	0	0	0	0	0	0	0	
Directed	0	0	0	0	0	0	0	0	0	0	0	0	
Reasonable Accommodation	0	0	0	0	0	0	0	0	0	0	0	0	
Reinstatement	0	0	0	0	0	0	0	0	0	0	0	0	
Retirement Termination	0	0	0	0	0	0	0	0	0	0	0	0	
Terms/Conditions of Employment	0	0	0	0	0	0	0	0	0	0	0	0	
Time and Attendance	0	0	0	0	0	0	0	0	0	0	0	0	
Training	0	0	0	0	0	0	0	0	0	0	0	0	
Other	0	0	0	0	0	0	0	0	0	0	0	0	
Other	U				U			10		- 0			
Findings After Hearing	0	0	0	0	0	0	0	О	0	0	0	То	
Appointment/Hire	0	0	0	0	0	0	0	0	0	0	0	0	
Assignment of Duties	0	0	0	0	0	0	0	0	0	0	0	0	
Awards	0	0	0	0	0	0	0	0	0	0	0	0	
Conversion to Full-time	0	0	0	0	0	0	0	0	0	0	0	0	
Disciplinary Action	0	0	0	0	0	0	0	0	0	0	0	0	
Demotion	0	0	0	0	0	0	0	0	0	0	0	0	
Reprimand	0	0	0	0	0	0	0	0	0	0	0	0	
Suspension	0	0	0	0	0	0	0	0	0	0	0	0	
Removal	0	0	0	0	0	0	0	0	0	0	0	0	
Other	0	0	0	0	0	0	0	0	0	0	0	0	
Evaluation Appraisal	0	0	0	0	0	0	0	0	0	0	0	0	
Examination/Test	0	0	0	0	0	0	0	0	0	0	0	0	
Harassment	0	0	0	0	0	0	0	0	0	0	0	0	
Non-Sexual	0	0	0	0	0	0	0	0	0	0	0	0	
Sexual	0	0	0	0	0	0	0	0	0	0	0	0	
Medical Examination	0	0	0	0	0	0	0	0	0	0	0	0	
Pay (Including Overtime)	0	0	0	0	0	0	0	0	0	0	0	0	
Promotion/Non-Selection	0	0	0	0	0	0	0	0	0	0	0	0	
Reassignment	0	0	0	0	0	0	0	0	0	0	0	0	
Denied	0	0	0	0	0	0	0	0	0	0	0	0	
Directed	0	0	0	0	0	0	0	0	0	0	0	0	
Reasonable Accommodation	0	0	0	0	0	0	0	0	0	0	0	0	
Reinstatement	0	0	0	0	0	0	0	0	0	0	0	0	
Retirement	0	0	0	0	0	0	0	0	0	0	0	0	
Termination	0	0	0	0	0	0	0	0	0	0	0	0	
Terms/Conditions of Employment	0	0	0	0	0	0	0	0	0	0	0	0	
Time and Attendance	0	0	0	0	0	0	0	0	0	0	0	0	
Training	0	0	0	0	0	0	0	0	0	0	0	0	
Other	0	0	0	0	0	0	0	0	0	0	0	0	

Findings Without Hearing	0	0	0	0	0	0	0	0	0	0	0	0
Appointment/Hire	0	0	0	0	0	0	0	0	0	0	0	0
Assignment of Duties	0	0	0	0	0	0	0	0	0	0	0	0
Awards	0	0	0	0	0	0	0	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0	0	0	0	0	0	0	0
Disciplinary Action	0	0	0	0	0	0	0	0	0	0	0	0
Demotion	0	0	0	0	0	0	0	0	0	0	0	0
Reprimand	0	0	0	0	0	0	0	0	0	0	0	0
Suspension	0	0	0	0	0	0	0	0	0	0	0	0
Removal	0	0	0	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0	0	0	0	0
Duty Hours	0	0	0	0	0	0	0	0	0	0	0	0
Evaluation Appraisal	0	0	0	0	0	0	0	0	0	0	0	0
Examination/Test	0	0	0	0	0	0	0	0	0	0	0	0
Harassment	0	0	0	0	0	0	0	0	0	0	0	0
Non-Sexual	0	0	0	0	0	0	0	0	0	0	0	0
Sexual	0	0	0	0	0	0	0	0	0	0	0	0
Medical Examination	0	0	0	0	0	0	0	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0	0	0	0	0	0	0	0
Promotion/Non-Selection	0	0	0	0	0	0	0	0	0	0	0	0
Reassignment	0	0	0	0	0	0	0	0	0	0	0	0
Denied	0	0	0	0	0	0	0	0	0	0	0	0
Directed	0	0	0	0	0	0	0	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0	0	0	0	0	0	0	0
Reinstatement	0	0	0	0	0	0	0	0	0	0	0	0
Retirement	0	0	0	0	0	0	0	0	0	0	0	0
Termination	0	0	0	0	0	0	0	0	0	0	0	0
Terms/Conditions of Employment	0	0	0	0	0	0	0	0	0	0	0	0
Time and Attendance	0	0	0	0	0	0	0	0	0	0	0	0
Training	0	0	0	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0	0	0	0	0

Pending Complaints Filed in Previous Fiscal Years by Status		Comparative Data							
		Previous Fiscal Year Data							
		FY2019	FY2018	FY2017	FY2016	FY2015			
Total complaints from previous Fiscal Years	1	2	2	1	3	2			
Total Complainants	1	4	2	1	3	2			
Number complaints pending									
Investigation	0	1	1	0	1	0			
ROI issued, pending Complainant's action	0	0	0	0	0	0			
Hearing	1	2	1	1	2	2			
Final Action	0	0	0	0	0	0			
Appeal with EEOC Office of Federal Operations	0	1	0	0	0	1			

Complaint Investigations						
	FY20 <b>20</b>	FY2019	FY2018	FY201 <b>7</b>	FY2016	FY2015
Pending Complaints Where Investigations Exceeds Required Time Frames	0	0	0	0	0	0

## Appendix B

# §724.302(A) (7) – 2020 Reporting Obligations - Analysis of Trends, Causal Analysis, and Practical Knowledge Gained Through Experience

#### **Trend and Causal Analysis**

During Fiscal Year (FY) 2020, no cases were in federal district court. In addition, administrative EEO complaints filed against the Consumer Product Safety Commission (CPSC) remained low. The agency completed one (1) informal counseling cases and no (0) formal complaints.

All of the cases were timely processed. At the end of FY20, there was one (1) formal complaints awaiting hearings and no (0) cases in the appeal stage.

CPSC consistently endeavors to resolve complaints at the lowest level and offers Alternative Dispute Resolution (ADR) during the informal and formal stages of the EEO process.

## **Integration of EEO into the Agency Strategic Mission**

On April 16 2020, Acting Chairman Adler issued the annual Equal Employment Opportunity (EEO) and Anti-Harassment Policy Statements. This reaffirmed his commitment to uphold EEO principles and ensure that CPSC complies with all workplace federal discrimination laws and regulations.

# Actions planned or taken to improve complaint or civil rights programs of the agency

CPSC is committed to eliminating discrimination and creating conditions that promote diversity and inclusion in the workplace. CPSC is also committed to EEO principles and practices in all of its management decisions and personnel activities. Fostering and building a diverse workforce is a necessity, and to that end CPSC has continued its efforts to attract underrepresented candidates and improve its diversity hiring initiatives. From training its managers and employees on their EEO rights and responsibilities, providing equal opportunities to all individuals, and providing a prompt, fair, and impartial review and adjudication of all allegations of discrimination, CPSC further assures that every effort will be made to ensure a workplace free of discrimination and harassment.

During FY20, CPSC achieved significant results in promoting merit system principles, including EEO and the strategic management of human capital. Some noteworthy achievements are set forth as follows:

- Conducted diversity and inclusion training and Senior Leadership Dialogue Session on Diversity Equity and Inclusion;
- Held special observance programs, and continued special emphasis outreach activities;
- Conducted reasonable accommodation training for the workforce;
- > Encouraged the resolution of complaints at the lowest level possible; and
- Increased targeted recruiting for vacancies for mission critical full time positions.

CPSC plans the following actions to improve the agency's complaint and EEO program:

- > Continue ongoing diversity training; and
- > Developed a process to file EEO complaints online.

# **Appendix C**

# §724.302(A) (9) – 2020 Reporting Obligations – Training Plan

# Instructional materials and method of training

- The No FEAR Act Notice and a fact sheet are posted on both the CPSC intranet and external website and on agency bulletin boards.
- The No FEAR Act Notice is distributed to new employees upon in-processing and a mandatory training notice is sent to employees.
- The New Employee Checklist (for supervisor use) includes the No FEAR Act training as an employee responsibility within 90 days of entrance on duty at the agency.
- Mandatory training is delivered online through the CPSC FedTalent System (an eLearning development tool) and employees may access the training at any time from their desktop. The training is interactive with testing at the end of each training module. Employees must score 70 percent or higher on each test to receive credit for course completion.
- No FEAR Act training is assigned to new employees automatically by the training system via email.
- A brief overview of the No FEAR Act with the appropriate handouts is provided during all EEO type training.
- During FY20, new employee orientation continued to include an overview of EEO rights and responsibilities, emphasizing that an aggrieved person must contact an EEO counselor with 45 calendar days of the matter alleged to be discriminatory.

#### The training schedule

#### **Initial Training**

- In June 2021, an email will be sent to all employees providing the No FEAR Act Notice detailing instructions for completing the training no later than September 2020.
- Supervisors of new employees are provided a quarterly email reminder that their new employee
  must complete the training within 90 days of entrance on duty at the agency.

#### Follow-up training

 An email to all employees providing the No FEAR Act notice and detailing instructions for completing the training no later than a date in each of the calendar years (date is to be determined). CPSC last conducted the No FEAR Act refresher training for 100% of the workforce in FY19.

# The means of documenting completion of training

- Reports are produced from the appropriate database detailing the name of employees that have completed the training. For new employees, these reports are compared to the new hire report to determine who, if any, must complete the training. The report serves as the means for documenting the training completion.
- Supervisors are held accountable for ensuring their employees complete the training.