

U.S. Consumer Product Safety Commission  
4330 East West Highway  
Bethesda, MD 20814

January 29, 2009

To whom it may concern:

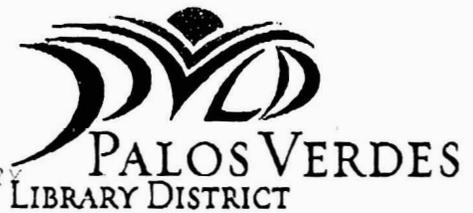
My name is Alison Orr and I am a librarian at the Palos Verdes Library District. Along with my colleagues, I serve young library patrons—age 0 to 18—and their parents, teachers and caregivers.

Attached is a letter I sent to Representative Rohrabacher (46<sup>th</sup> District, California) regarding the potential impact of the Consumer Product Safety Improvement Act of 2008 on the Palos Verdes Library District. We ask Representative Rohrabacher and the U.S. Consumer Product Safety Commission to please consider our library and how the CPSIA could have a devastating effect on the community we serve.

Thank you.

Sincerely,

Alison Orr  
Young Adult Assistant Manager  
Palos Verdes Library District  
701 Silver Spur Rd.  
Rolling Hills Estates, CA 90274  
(310) 377-9584 x205  
aorr@pvid.org



SECRETARY  
INFORMATION  
2009 JAN 33 A 10 34  
Connecting People, Information, and Ideas

The Honorable Dana Rohrabacher  
101 Main Street, Suite 380  
Huntington Beach, CA 92648  
January 28, 2009

Dear Representative Rohrabacher:

My name is Alison Orr and I am a librarian at the Palos Verdes Library District. Along with my colleagues, I serve young library patrons—age 0 to 18—and their parents, teachers and caregivers.

It has come to our attention that the Consumer Product Safety Improvement Act of 2008 has been interpreted to include books as a product that must be tested for lead. Making these testing regulations retroactive would require both school and public libraries to take drastic steps to come into compliance. We would either have to ban children from the Palos Verdes Library District or pull every book intended for children under the age of 12 from our bookshelves at the time children are fostering a lifelong love of learning and reading.

Please consider how the Consumer Product Safety Improvement Act of 2008 would impact the Palos Verdes Library District. As you can see from the attached report, the annual circulation of materials at the Palos Verdes Library District in 2007-2008 was 852,341. 41% of that total, 350,739, were materials from the children's sections. In our library district, we have 97,601 items in the children's sections.

If we had to ban children from the Palos Verdes Library District or remove all books intended for children under the age of 12, this would be devastating to library patrons, especially children, parents and teachers.

Preventing patrons from using books and other materials for recreational and informational needs is contrary to the purpose of libraries in general and to the Palos Verdes Library District mission and vision statements.

The Consumer Product Safety Improvement Act of 2008 would be devastating for the Palos Verdes Library District and the patrons we serve for the following reasons:

- Blockading the library children's areas or moving the books would be a great expense – both in dollars and time. This expense will be incurred at a time of great fiscal hardship in the state of California and in the country.
- Denying access to materials that were purchased with tax revenue is wasteful.
- Prevents children and teachers from completing state standard based assignments.
- Prevents children, teachers and parents from meeting recreational and informational reading needs.
- Hinders parents, teachers, caregivers and children from developing a love of reading and crucial early literacy skills by depriving them of children's books and other materials.
- Severely curtails many library programs designed to foster a love of reading in children including "Babies at the Library," "Baby Playdates" and other programs supported through our Early Learning with Families grant, "Preschool Storytimes" and the annual "Summer Reading Program."

The Palos Verdes Library District has seriously considered the consequences the Consumer Product Safety Improvement Act of 2008 would have on our libraries and the community we serve. Please see the attached report for more details about children's materials and children's programs at the Palos Verdes Library District and the potential impact of the Consumer Product Safety Act of 2008.

In order to allow children and families to continue accessing critical library materials, please either exempt books from the Consumer Product Safety Improvement Act of 2008, accept the component tests that have already been done, or exempt all books currently in school and public libraries. This will ensure that our children continue to have access to safe and educational library materials.

Thank you.

Sincerely,



Alison Orr  
Young Adult Assistant Manager  
Palos Verdes Library District  
701 Silver Spur Rd.  
Rolling Hills Estates, CA 90274  
(310) 377-9584 x205  
aorr@pvld.org

**Impact of CPSIA on the Palos Verdes Library District:**

**Total annual PVLD Circulation 2007-08: 852,341**  
**Children's annual circulation 350,739 (41% of total)**

**Annual programming attendance, preschool – 5<sup>th</sup> grade: 37,217**

**Annual door count: 623,923**

**Young Readers COLLECTION:**  
**Peninsula Center Library (PC) YR Books: 69,078**

**Miraleste Library (MIR) YR Books: 17,114**

**Malaga Cove (MC) YR Books: 7,692**

**Teen Annex: 677 (annex is open to 6<sup>th</sup> grade and up. 6<sup>th</sup> graders can be as young as 11 years old)**

**PVLD YR Periodicals: all branches and annex: 3040**

**Total PVLD YR books and periodicals: 97,601**

**PC YR AV: 3,118**

**MIR YR AV: 845**

**MC YR AV: 436**

**Total PVLD YR Audio/Visual: 4,399**

**Total PVLD YR: 102,000**

**(Parenting Shelf at MC and PC, shelved in YR area, is not included in this total: 469)**

**Other materials available to children/used in programming and storytimes:**

**PC Puppets: used for storytime at all branches and PVLD Puppeteers: 100+**

**PC Games: board games – used for programs – 20+**

**PC - Felt and flannel pieces – available all open hours - no count available**

**PC: developmental manipulatives- for preschool – used at storytimes**

**Teddy bears: 30**

**Scarfs: 24**

**Beanbags: 24**

**Rhythm instruments: 40**

**PC: Toys for babies: 70+ items - used for Baby storytime**

**PC: Puzzles - 40+ at PC, available all open hours**

**MIR: Toys and puzzles – available all open hours: 90+ items**

**MC: Toys: 41 – available all open hours**

**MC: Puzzles (wood): 14 – available all open hours**

**MC: Puppets and stuffed animals: 101 (includes the 6 big stuffed animal “chairs” on the floor)**

**MC: Playtime toys – used for Playdate and ELF speaker series - 50 items+ includes blocks, rattles, playtunnels and much more**

**All agencies: Stamps/Ink – 50+ stamps and ink used all open hours + 50 +**

**All agencies: Craft materials - paint, ink, paper, glue stick, scissors, craft sticks, chenille sticks, paper, crayons, markers, colored pencils, tile, felt, foam stickers and cut outs. - no count available for these items – used for storytimes and craft programs**

**All agencies: SRP materials: 3,000 bags, 200 carnival prizes, 30,000 patches, 7,000 ROTW prizes**

**Issues: How to comply with CSPIA by keeping children age 12 and under from being exposed to non regulated items designed primarily for children**

**PVLD owns 100,000 YR books, CDs, DVDs and other formats . 40-45% of books checked out each month are YR books.**

- **Based on the layout at Malaga Cove, it is feasible to close the YR section at Malaga Cove, using some kind of temporary barrier (cones, yellow tape, book trucks) YR nonfiction is shelved in the Adult Nonfiction section and would probably need to be moved to the closed YR area, perhaps on book trucks.**
- **At Miraleste and PC, it would be more difficult to close the YR stacks because of the layout of the library. It could be done with cones, yellow tape and book trucks, but would probably need staff patrolling it we want to implement the closed stacks effectively.**
- **The Annex serves teens grades 6 – 12. It would be possible to change the grade range to 7<sup>th</sup> and up, which would generally mean the age level visiting is at least 12 years old. The Annex collection is can only be checked out by the teens visiting the Annex**
- **An alternative at all three branches is to box the books and store them. This method is more effective in preventing exposure to books. However, the expense would be high:  
Labor:**

**Boxes:**

**Space: (probably would need to rent space)**

**Impact:** The impact would be devastating to library patrons, especially children, parents and teachers. Preventing patrons from using books and other materials for reading, recreational and information needs is contrary to the purpose of libraries in general and to the PVLD mission and vision statements, and will cause a great strain on the library budget.

**Specifically:**

- Expense and labor as described above will be incurred at a time of great fiscal hardship in the state of California and in the country.
- Wastefulness of denying access to materials especially since these materials are purchased with tax revenue
- Preventing children and teachers from completing state standard based assignments, Preventing children, teachers and parents from meeting recreational and informational reading needs
- Hindering parents, teachers, caregivers and children from developing a love of reading and crucial early literacy skills by depriving them of children's books and other materials
- Preventing parents, teachers, caregivers and children from developing a love of reading and crucial early literacy skills by severely curtailing Babies, Playdate and ELF programming preschool storytimes, and summer reading programs



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38!

Thirty years in business has grown to designing & manufacturing luggage, backpacks, child carriers, briefcases, tote bags, computer bags, guitar bags, drum bags, cymbal bags, waistpacks, and other bag products. We are known far & wide for the excellent service - good design, durable materials & production - that our products give. We frequently work with associations such as schools to customize products for the associations' give-aways [such as durable convention bags], members' & administration's own use, or re-sale. In addition to the excellence of our product, many customers are pleased that we have continued to manufacture in the USA.

January 29, 2009

CPSC - FAXES 301-504-0124 & <sup>2</sup>301-504-0025

Dear CPSC:

Please amend the CPSIA to allow materials testing papers -msds - manufacturers safety data sheets - as provided by materials manufacturers to be the *criteria used by children's product manufacturers manufacturing in the USA.*

USA materials manufacturers such as my company purchases from have comprehensive testing protocol and results papers which they provide us upon request. Purchasing companies that are using materials of which they are not invasively changing or modifying these properties should be permitted to be compliant under an amended CPSIA which recognizes the manufacturers safety data sheets.

If these data sheets are not familiar to the CPSC I would be happy to send sheets and information to you.

Thank you for your consideration,

Sincerely, 

Nancy Gold, Pres.  
Tough Traveler Ltd.  
[www.toughtraveler.com](http://www.toughtraveler.com)  
1-800-GO-TOUGH

**Stevenson, Todd**

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**From:** Sandrine Droumenq [sandrine@lolligo.com]  
**Sent:** Thursday, January 29, 2009 3:36 PM  
**To:** Lead Determinations  
**Subject:** Request for Comments.  
**Attachments:** Comments to CPSC.pdf; ATT00001.htm

Please find here enclosed a response from Lolligo LLC to the CPSC's request for comments for section 101.  
Thank you for taking it into consideration,

Sincerely,

Sandrine Droumenq  
Lolligo Managing Partner



info@lolligo.com

January 29, 2009

To The Consumer Product Safety Commission  
Fm Lolligo, LLC  
39 Ely Brook Road,  
East Hampton, NY 11937  
info@lolligo.com

**REFERENCE: Comments regarding exemptions for section 101 of the Consumer Product Safety Improvement Act (CPSIA)**

Dear Sirs,

We are the joint managing partners of Lolligo, LLC, a New York-based importer of high-quality children's clothing from Germany (Ewers brand) and The Netherlands (Reset and Blemish brands).

We would like to present the following comments regarding possible exemptions to third-party laboratory testing:

**Oeko-Tex Certified Products**

As you may be aware, Oeko-Tex Standard 100 was developed in 1992 and is an international testing and certification system for textiles, prohibiting or limiting the use of certain chemicals, including flame-retardant and biocide finishes in clothing.

Checks for harmful substances are made at each stage of the production process and samples are tested for: pH-value, formaldehyde content, the presence of pesticides, extractable heavy metals, chlorinated organic carriers, and preservatives, such as pentachlorophenol and tetrachlorophenol. The tests also include checks for any MAC amines in azo dyestuffs and allergy-inducing dyestuffs.

In order to maintain its adherence to its strict certification standards, the Oeko-Tex organization also carries out unannounced testing of products directly at production sites and on finished products.

Most importantly, any item granted Oeko-Tex Standard 100 certification must have a lead content of less than 0.2 ppm - significantly below the levels set under the CPSIA. So it is clear, that clothing carrying the Oeko-Tex 100 certification is extremely safe for children, and clearly meets the requirements of the new legislation from February 10, 2009, and even beyond the 90ppm requirements coming into effect in 2011.

If you require any further information on the Oeko-Tex certification and testing methodology, please may we direct you to the organization's web site: [www.oeko-tex.com](http://www.oeko-tex.com).

**Already tested products**

As part of their comprehensive and stringent approach to ensuring product safety and quality, our brands already carry tests with third-party laboratories on finished products and components in the country of production. We sincerely request the CPSC to examine the third-party testing documents and certifications already granted to our clothing lines, and to accept these as more than sufficient proof of the safety and quality of the clothing we are importing.

Any insistence from the CPSC requiring Lolligo to submit our clothing products for further third-party testing, by production batch, would be unviable from a practical and financial perspective, adding a significant burden to our overhead, and creating logistical issues for a small company, importing relatively small quantities of clothing.



info@lolligo.com

### **Labeling**

*Specific labeling on each product with the detailed testing information, as required by the CPSIA, presents another logistical difficulty, as it would mean having to produce several different labels for small quantities of clothing.*

*Instead of asking us to produce individual labels for each item, we would ask the CPSC to accept the RN number - which is already used by many companies, including ours, to track individual items of clothing - as an acceptable and more efficient means of ensuring the quality and testing status of any individual item.*

*We very much hope to work with the CPSC in a full spirit of support and cooperation to allow our business to flourish in already difficult market conditions.*

*Kind regards,*

*Lisette van Adrichem and Sandrine Droumenq*

*Lolligo LLC*

Stevenson, Todd

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**From:** Tina Hill [tina@kidsack.com]  
**Sent:** Thursday, January 29, 2009 12:32 PM  
**To:** Lead Exclusions  
**Subject:** FW: Comments regarding the CPSIA law

January 29, 2009

Dear House Energy and Commerce Committee Members—

My name is Tina Hill and I am a small business owner that is writing to you regarding the new CPSIA law. It is very important that you know how we small manufacturers feel about this new law and how it is impacting our small, start up businesses. I belong to a entrepreneur network mostly made up of mompreneurs and the CPSIA is a daily topic of discussion. There are complaints posted on a daily basis with no solutions in sight. I've heard comments from small clothing designers telling me that they're required to have every piece of fabric tested in their clothing lines for children...and, "How can I possibly afford that."

I make the Kidsack, [www.kidsack.com](http://www.kidsack.com). My backsack, as per the new law, requires three different tests at \$100 per test. One of those tests is for my drawcord which is made in the USA and is 100% cotton. Why I need to spend money on testing for drawcord that is 100% cotton is beyond me. These tests are putting small businesses, the backbone of our country, out of business. I am sure you have heard the loud complaints from the small companies that make up the website Etsy.com. At any given time, like a lot of small businesses, I have about \$800 in my Kidsack checking account. That's it. I am barely staying afloat but have big dreams for a prosperous future...one that will employ lots of stay at home moms...one that will furnish children's hospitals with a Kidsack take home bag.

I want to reach out to the members that are making up the CPSIA law that it should be required for the distributor of components to pay for testing. For example, My drawcord company is making millions of dollars. It is nothing for them to spend a \$100 on a lead test and then post that certificate of compliance on their website for EVERYONE to copy and paste and provide to their retailers. Otherwise, every manufacturer of goods is going to have to do the same exact repetitive testing on their drawcord, spending hundreds of wasted dollars when instead the drawcord distributor could have provided the test to begin with. Does this make sense?

I am a small start up, and as a courtesy, I think it should be mandatory for the DISTRIBUTOR of COMPONENTS to provide testing. This provides them with great exposure as well because they are being recognized as a safe company...no lead involved. Hopefully I have explained this in a way that isn't confusing. It is extremely important that the forces that make up these laws talk to business people, small start ups like me, before they put these laws into place. Thx, Tina

Tina Hill  
Kidsack  
PO Box 492  
West Newbury, MA 01985  
[Tina@kidsack.com](mailto:Tina@kidsack.com)  
[www.kidsack.com](http://www.kidsack.com)  
978-314-4875

**Stevenson, Todd**

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**From:** Julie Rebboah [mailto:lightningbuglearning.com]  
**Sent:** Thursday, January 29, 2009 1:30 PM  
**To:** Lead Exclusions  
**Subject:** Small Business Concerns

Dear Representatives,

I believe in the American dream! In March 2008, my husband and I launched a new small business called Lightning Bug Learning. We are an independent educational publishing company that creates books, flash cards and educational games to help children learn to read and write with ease. Our products are geared toward children under the age of twelve, which makes us required to comply with the new CPSIA laws.

I am also a parent of young children, ages 2 and 4. I wholeheartedly agree that stricter regulation and enforcement of children's product safety is essential. The problem that I have, as a small business owner is that 1) Ordinary books should be excluded from testing. There is no proof that books have ever caused lead poisoning. 2) I believe that our suppliers should be responsible for testing. It is more financially feasible for a book or flash card printer to test their paper stock, and verify that their lamination contains no lead or pthalates and send certificates of compliance to the small businesses who order from them! It makes no sense to require testing of the same paper supply. My recent bill for testing one batch of books and two sets of flash cards was \$1150! How can I absorb that cost and still remain in business? I have less than \$200 in my business checking account! 3) If you're going to require that the small businesses are responsible for testing costs, give us a dollar for dollar tax credit for testing costs. I believe that this should apply only to companies that qualify as an S-Corp. or the equivalent for an LLC. It would help us stay in business, employed, and in compliance.

Thank you  
for your  
consideration,

--

Julie Rebboah  
President  
Lightning Bug Learning Corp.  
Local (503) 473-4590  
Toll Free (877) 695-7312  
[www.lightningbuglearning.com](http://www.lightningbuglearning.com)  
Education For A New Generation! TM

Stevenson, Todd

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**From:** marionscott77@ameritech.net  
**Sent:** Thursday, January 29, 2009 3:19 PM  
**To:** Lead Determinations  
**Subject:** 'Section 101 Determinations of Certain Materials or Products NPR, Comments

In the interest of saving time, I will bullet my comments with explanations. Much thought, money and energy have been put into my requests. As with many small business owners, my family's financial situation depends on your actions as well as the future of my business.

- **Exempt all non-screenprinted textiles and textile-type substrates from lead and/or phthalate testing requirements.** Fabric, thread, elastic and other components do not inherently contain lead nor do the dyes used to color these items. Moreover, many manufacturers wash all components prior to construction of units. XRF testing on all substrates used in my products came to a total of 10ppm. Obviously not a risk for anyone.
- **Accept supplier testing results.** Why should business owners be forced to duplicate supplier testing? Many of our suppliers already test for lead. It is much more cost-effective for suppliers to test large quantities of the same substrate than for business owners to redo that same testing on a much smaller scale. In fact, it is a ridiculous waste of time and resources.
- **Accept component testing for all substrates, eliminate unit testing requirements.** I recently conversed with the consumer products testing department at Intertek Labs. Do you know how they test each finished unit? BY COMPONENT. Yes, they test each component within each unit to come up with the final lead content. They do not "melt" the unit down and take the lead content of the "melt". If one of the largest labs in the world uses component testing, then why the unit-testing requirement? From unit-to-unit I have only two variables, both of which individually have been tested to NOT contain lead.
- **Regulate costs of testing.** Why is the cost of testing being left up to the free market? I was quoted \$30 for a lead substrate test six months ago. This week, the same test at the same company was quoted to me at \$80. Not only that, but testing in China now costs about 50% less than testing in the US. Now...which labs do you think will prove more reliable, even if certified by the CPSC?
- **Accept XRF testing or reduce the cost of testing.** Although I would prefer many non-lead substrates to be excluded from lead testing, there are cheaper testing methods which are currently not accepted by the CPSIA.

**In conclusion:** I paid \$100 for my entire spring line's components to be lead-tested via XRF by an established independent organization. The resulting hike in product cost? About \$1 per item. The total lead content? 10ppm.

To comply with the unit testing required in August I was quoted \$560 per product by Intertek. The resulting hike in product cost? \$22 per item! Total lead content? still 10ppm.

If this law is not changed I will go out of business by August 2009. My daughter will not be able to attend (basic, park-district) preschool. My family will be at least \$5,000 poorer next year. And Congress and Henry Waxman can be proud that they helped further the US recession without any increase in consumer safety.

Sincerely,  
Marion Scott  
Owner, Close2Me  
[www.marionscott77.etsy.com](http://www.marionscott77.etsy.com)



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# Environments

FREEDOM OF INFORMATION ACT SECRETARY

FEB -4 P 3:11

**FACSIMILE**

DATE: 1-30-09

PAGES: 1 page(s) including cover

TO: CPSC

FROM: Mary Campbell  
ext. 450

RE: CPSTIA

mcampbell@eichild.com

Environments, Inc. runs a small sewing plant. We make small runs of soft goods for children - bibs, sheets, soft toys, dress-ups, etc.

Compliance with CPSTIA will force us to close our doors even though we know our products are completely safe for children. It would not be economically feasible for us to comply with all testing required and record keeping.

Sincerely,  
Mary Campbell  
Director of R & D

(301)504-0127

202

**Stevenson, Todd**

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**From:** Kathleen & Eric [messnerk001@hawaii.rr.com]  
**Sent:** Friday, January 30, 2009 3:35 AM  
**To:** Lead Determinations  
**Subject:** CPSIA

Dear Sir or Madame,

I am contacting you regarding this new law that is about to be implemented. As I am sure there have been numerous emails regarding the impact that CPSIA will have on small businesses I, too, would like to voice my concerns.

I am a stay at home mother with a small handcraft business on the side. I make children's shopping cart covers, bibs, burp cloths and clothing. As I read in CONSUMER PRODUCT SAFETY COMMISSION 16 CFR Part 1500 I see that cotton and natural fibers may be excluded from this law, which is a relief. However, most crafters who make clothing or children's accessories use metal or resin snaps, zippers, elastic, polyester fiber fill, and poly-cotton thread. There are also crafters who use wood to make children's pull toys, blocks, etc.

The CPSIA, although initiated with great intent, is possibly going to destroy most of our handcraft businesses. The majority of us can not afford the testing you are asking for. If you think about it it will affect your child's shoes, the zipper on his or her jeans, the buttons on his shirt, that elastic band holding up a ponytail and even corrective eyeglasses. Please consider amending this law and broadening the exclusions. As it stands now WE will suffer the most.

As a parent I am concerned about the toys my child plays with. I can guarantee that most parents would prefer to buy handmade toys from amazing crafters in the U.S. over the mass produced, tainted plastic toys that started this whole issue to begin with.

Again, please consider the effect that CPSIA will have on U.S. crafters. As we are all suffering in this hard economic time, this will surely hurt those of us who need this second income.

Sincerely,  
Kathleen Geiger

January 30, 2009

Kris Hatlelid, Ph.D., M.P.H.  
Toxicologist  
U.S. Consumer Product Safety Commission  
4330 East West Highway  
Bethesda, MD 20814-4421

Dear Dr. Hatlelid:

Thank you for your letter of January 27 that contains questions as a follow-up to our January 22 meeting on lead and textiles. Please include this response as part of the docket for this rule-making.

You asked us to provide you in writing the percentage of the children's apparel industry that the testing data represents and to advise whether the testing is representative of the entire industry, or a segment of the industry. We consulted with stakeholders and trade associations representing the entire supply chain, including the experts represented at the January 22 meeting, and wish to provide the following.

It is impossible to determine the exact percentage of the children's apparel industry that the testing data represents because the mix of items changes constantly with changes in seasons and styling. However, the data we presented on January 22 covers more than 3000 diverse garments reflecting a wide range of natural, man-made and blended fiber fabrics, fabric constructions, other textile components (like threads, trims, labels, and elastics), and processes. As each of the retail experts testified, these garments represent the scope and variety of children's garments they sell. Since every garment can not be tested, these tests essentially are representative tests that cover all the variety of children's garments sold.

Moreover, we are not aware of any companies who are not actively testing, and finding substantially similar results. Many examples of additional test data were reflected in hundreds of other test results and testing summaries separately provided to the Commission on January 22 and on other dates, both before and since. In addition, please find attached an additional letter from a Childrenswear manufacturer substantiating these comments and results.

Moreover, the test data, and the information provided describing the science that explains the test data, provides valuable information showing the absence of lead in fabrics, when used in non garment applications as well.

You also asked us to describe the test processes that are currently in place to ensure that raw materials or components do not contain lead.

As we described, neither the raw materials nor the textile manufacturing processes introduce lead or contribute to lead exposures from textile materials. Nevertheless, there are a number of programs and processes that stakeholders throughout the supply

chain use with respect to chemical management for various substances, including lead, that provide additional safeguards. These include:

- Material Safety Data Sheets and other technical information are supplied to textile manufacturers by their chemical suppliers for the chemicals they use in the textile manufacturing processes. Preparation for dyeing and finishing essentially removes all non-fiber chemicals, including metals. No chemicals intentionally containing lead are used for coloration or finishing of apparel textiles. However, there can be traces of lead as a trace contaminant with the commercial dye formulation (i.e., the commercial dye consists of the colorant plus other materials referred to as “diluent”) but lead is never part of the dye/colorant molecule that colors or remains with the fiber and is retained by the fiber/fabric. Data were presented at the Jan 22 meeting by Audie McDearis, Coats & Clark, which showed that even if trace amounts of lead were in a commercial dye formulation, wet chemistry tests of the dyed threads still yield a non-detect lead level at the thread level. ETAD, which represents about 80% of the world’s dye manufacturers, recommended limits for Pb are 100 ppm. If Pb levels exceed these limits, ETAD members report the content in MSDS. [The ETAD information is contained in Dr Tucker Helmes’ notes attached to Dr Wakelyn’s comments/note.]

Certification programs, such as Oeko-Tex Standard 100 for textile products or those in place for organic textiles [such as the Global Organic Textile Standard (GOTS)]. Oeko-Tex 100 certification requires dyes to contain less than 100 ppm lead.

Restricted Substance Lists (RSLs) and other vendor requirements that retailers and brands maintain that their suppliers must comply with. The RLSs require lead to be less than 100 ppm in the chemicals used on apparel textile materials.

Through a combination of inherently lead free components and processes, and procedures that control the introduction of substances and materials into the textile processing operations, the supply chain is able to effectively control against lead and manage related substance issues.

Thank you.

Sincerely,

American Apparel & Footwear Association (AAFA)  
American Fiber Manufacturers Association (AFMA)  
Craft Yarn Council of America  
ETAD – The Ecological and Toxicological Association of Dyes and Organic Pigments  
Manufacturers  
INDA, Association of the Nonwoven Fabrics Industry  
National Cotton Council (NCC)  
National Council of Textile Organizations (NCTO)

1/30/09 Industry follow-up letter to Dr. Kris Hatlelid

**National Retail Federation (NRF)**  
**National Textile Association (NTA)**  
**Retail Industry Leaders Association (RILA)**  
**Secondary Materials and Recycled Textiles (SMART)**  
**Specialty Graphic Imaging Association (SGIA)**  
**Sporting Goods Manufacturers Association (SGMA)**  
**The Hosiery Association (THA)**  
**Travel Goods Association (TGA)**  
**U.S. Association of Importers of Textiles and Apparel (USA-ITA)**

**Robar Inc.** 131 West 33<sup>rd</sup> Street, Suite 610, New York, NY 10001

Mr. Stephen Lamar  
Executive Vice President  
American Apparel & Footwear Association (AAFA)  
1601 N. Kent Street, 12th Floor  
Arlington, VA 22209

1/28/2009

Dear Steve,

Re Dr. Hatlelid's memo requesting information on the significance of the data provided by Walmart, JC Penney, and the Children's Place during the January 22<sup>nd</sup> CPSC meeting:

Robar Inc. has conducted third party wet chemical testing for lead on over 250 styles representative our entire Spring 2009 season. Our product is made expressly for newborn and infant size ranges, and consists of both knit and woven fabrics. The main fabric focus is 100% cotton knit. However, we do include synthetic as well as blended fabrics, and, as mentioned, some woven fabrics. Most of our styles are composed of several fabrics – some have as many as eight different fabrics.

Our test results mirror the results found at Walmart, JC Penney, and The Children's Place. We have not found measurable lead levels in any fabric substrate regardless of the fabric type, content, or finishing methods. The test identification numbers and dates are mentioned on the general compliance certificates for each style, and are available on our web site: [www.robairnc.com](http://www.robairnc.com).

After listening to the webcast of the January 22<sup>nd</sup> meeting, it is easy to understand why we are all getting the same results on these tests: There is no reason whatsoever, nor any physical or chemical method, to introduce lead into fabric. Fabric should be excluded from lead testing for children's apparel. Component testing will not only safeguard our products, but will allow us as manufacturers time in the process to insure their safety. It is normal best practice to look for issues as early as possible in the manufacturing process. That is why we all do performance fabric testing **before** that fabric is cut into panels to be sewn into garments. Once the fabric is cut, it's impossible to make any corrections/improvements. The same holds true for the lead issue. Once the garment is completely made it is too late to do anything about lead content other than destroy the garment.

Sincerely,

Brian L. Kennedy  
VP Sourcing



U.S. CONSUMER PRODUCT SAFETY COMMISSION  
4330 EAST WEST HIGHWAY, BETHESDA, MD 20814

Kristina Hatlelid, Ph.D., M.P.H.  
Toxicologist  
Directorate for Health Sciences

Tel: 301-504-7254  
Fax: 301-504-0079  
Email: [khatlelid@cpsc.gov](mailto:khatlelid@cpsc.gov)

*Via e-mail and regular mail*

January 27, 2009

Mr. Stephen Lamar  
Executive Vice President  
American Apparel & Footwear Association (AAFA)  
1601 N. Kent Street, 12th Floor  
Arlington, VA 22209

Ms. Stephanie Lester  
Vice President, International Trade  
Retail Industry Leaders Association  
1700 N. Moore Street, Suite 2250  
Arlington, VA 22209

Re: CPSC public meeting

Dear Mr. Lamar and Ms. Lester:

The CPSC staff appreciates the participation of the apparel and retail industries in our public meeting last week providing clarification on textile and apparel production and product testing. \*

We have a few follow up questions regarding the data provided, especially concerning textiles and fabrics. In particular, to assess the significance of the data provided, it would be helpful for you to provide in writing the percentage of the children's apparel industry that the testing data represents; whether the testing is representative of the entire industry, or a segment of the industry, and why; and the test processes that are currently in place to ensure that raw materials or components do not contain lead.

The staff welcomes any additional information or clarifications that you want to provide in writing. As we mentioned during the meeting, it would be helpful for the staff to receive your comments as soon as possible, but no later than February 17, 2009, which is the close of the public comment period for the proposed rules.

---

\* These comments are those of the CPSC staff, have not been reviewed or approved by, and may not necessarily reflect the views of, the Commission.

We will include this letter and your responses in our docket on our pending rulemaking at [Sec101Determinations@cpsc.gov](mailto:Sec101Determinations@cpsc.gov).

Sincerely,

/s/

Kristina Hatlelid, Ph.D., M.P.H.

**Stevenson, Todd**

---

**From:** Falvey, Cheryl  
**Sent:** Monday, February 02, 2009 5:16 PM  
**To:** Stevenson, Todd; Kim, Hyun; Saltzman, Lori; Hatlelid, Kristina; Recht, Joel; Toro, Mary  
**Subject:** FW: Tests on "ordinary" paper-based book products  
**Attachments:** L88641-AWAL-Testing Report 01.26.09.pdf

More test data on books for the 101 natural materials exemption rulemaking -- Todd can you include this in the docket.

**From:** Barry Evans [mailto:BarryE@covenant-lds.com]  
**Sent:** Monday, February 02, 2009 4:36 PM  
**To:** Falvey, Cheryl  
**Subject:** RE: Tests on "ordinary" paper-based book products

Cheryl,

Let me applaud CPSC's delay in the deadline!!!! As a medium sized publisher, and without further clarity in the regulations for publishers, retailers were demanding excessive requirements to keep products on the shelves.

We all want safe products for our children and grandchildren, safety in children's products should always be at the forefront of the creative and manufacturing processes, but this new regulation cause panic for most retailers due to heightened requirements and confusing interpretation, that they felt put them at some liability risk, and in our case on products that carry insignificant levels of any toxins or hazardous substances.

Since you are seeking additional information from publishers I am including recent tests on the type of books and (paper-based) games we manufacture for the 12 and younger genre. These products represent 95% of our paper-based products and were all produced in China. Our Chinese partners are well aware of the concerns for children's products and have always met ASTM requirements and testing policies were applicable.

The products represented here are board books, hardback books, soft cover books, and card games in metal boxes.

We hope the CPSC will continue to review the exemption of "ordinary" book products from the lead testing requirements of this section. You will see from the tests complete just last week that none of the products had ANY detectable traces of lead in them.

Barry Evans  
 Covenant Communications, Inc.

**From:** Falvey, Cheryl [mailto:CFalvey@cpsc.gov]  
**Sent:** Friday, January 23, 2009 10:53 AM  
**To:** Barry Evans  
**Subject:** RE: Clarification on December 23, 2008 opinion to Assoc. of Am. Publishers

All books intended or designed primarily for children must comply with the 600ppm limit on lead in substrate. The publishing industry is seeking an exemption for "ordinary books" but the Commission has not yet made a determination on that request so for now the books need to comply with the lead limit.

**From:** Barry Evans [mailto:BarryE@covenant-lds.com]  
**Sent:** Friday, January 23, 2009 11:17 AM  
**To:** Falvey, Cheryl  
**Subject:** Clarification on December 23, 2008 opinion to Assoc. of Am. Publishers

Dear Ms. Falvey,

I am the COO of a medium-sized publisher in the Western U.S. and have a question in regard to the opinion you gave Mr. Alder at AAP.

On page 2 of your memorandum the first paragraph states that, "*CPSIA lead limits of section 101 do not apply to ordinary books intended for readers of all ages, including children . . . and do not require third-party testing of any kind.*"

In the next paragraph, the first sentence seems to follow the above, "*Second, with regard to those books that are intended or designed primarily for children 12 years of age or younger, ordinary books are not subject to the ban on lead-in-paint. As has always been the case, printing ink is not considered a surface coating under the lead-in-paint ban (16 C.F.R. Part 1303) because ink by its nature soaks into paper or cardboard and becomes part of the substrate.*"

The next sentence seems to counter [or cancel] the first, "*However, a book intended or designed primarily for children would need to meet the new lead content limit of 600 ppm and subsequently 300 ppm established by the CPSIA. Printing ink becomes part of the substrate of the book for purposes of evaluating its lead content.*"

It seems that in the first part of the memorandum you are making the case that an ordinary [paper-based] book intended primarily for children does not need testing, then later point out that an ordinary book would need testing if it has some inherent play value (e.g., paper cut-outs or vinyl bath books) and constitutes a toy or has toy like features, but the second part of the paragraph noted above seems to change your opinion to be that all books for children are subject to testing.

May we ask for further clarification, is it your opinion that all books, primarily intended for children are subject to testing, or only those that are non-paper based, have some inherent play value, constitute a toy or have toy like features?

Thank you in advance for a reply to this clarification.

Barry Evans

COO

Covenant, a Deseret Book Company

\*\*\*\*\*!!! Unless otherwise stated, any views or opinions expressed in this e-mail (and any attachments) are solely those of the author and do not necessarily represent those of the U.S. Consumer Product Safety Commission. Copies of product recall and product safety information can be sent to you automatically via Internet e-mail, as they are released by CPSC. To subscribe or unsubscribe to this service go to the following web page: <https://www.cpsc.gov/cpsclist.aspx> \*\*\*\*\*!!!



# AMERICAN WEST ANALYTICAL LABORATORIES

463 West 3600 South  
Salt Lake City, Utah 84115  
(801) 263-8686, Toll Free (888) 263-8686, Fax (801) 263-8687  
e-mail: awal@awal-labs.com, web: www.awal-labs.com

Kyle F. Gross  
Laboratory Director

Jose Rocha  
QA Officer

**CLIENT:** Cash Account      **Contact:** Barry Evans  
**Lab Order:** L88641  
**Project:** Covenant Communications, Inc./Children's Pro      **Date Received:** 1/26/2009

## Lead

Lab Sample ID	Sample ID	Date Sampled	Date Analyzed	Method Used	Units	Reporting Limits	Analytical Result
L88641-01A	CTR-bkb-559-8	1/26/2009	1/29/2009 1:43:00 PM	6010B	mg/kg-wet	23	< 23 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-02A	I Will Go - hbk-627-4	1/26/2009	1/29/2009 1:48:00 PM	6010B	mg/kg-wet	21	< 21 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-03A	I Feel - bkb-546-4	1/26/2009	1/29/2009 1:53:00 PM	6010B	mg/kg-wet	22	< 22 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-04A	Aye-game-102-6 (box)	1/26/2009	1/29/2009 1:57:00 PM	6010B	mg/kg-wet	25	< 25 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-05A	Aye-game-102-6 (cards)	1/26/2009	1/29/2009 2:01:00 PM	6010B	mg/kg-wet	22	< 22 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-06A	BOM Battle - Game-401-0 (box)	1/26/2009	1/29/2009 2:06:00 PM	6010B	mg/kg-wet	24	< 24 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-07A	BOM Battle - Game-401-0 (cards)	1/26/2009	1/29/2009 2:10:00 PM	6010B	mg/kg-wet	23	< 23 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-08A	I Am -bkb-055-5	1/26/2009	1/29/2009 2:23:00 PM	6010B	mg/kg-wet	21	< 21 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-09A	A is for - bkb-685-4	1/26/2009	1/29/2009 2:28:00 PM	6010B	mg/kg-wet	23	< 23 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-10A	Blessed - hbk-390-7	1/26/2009	1/29/2009 2:32:00 PM	6010B	mg/kg-wet	24	< 24 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-11A	It Came - game-820-x (box)	1/26/2009	1/29/2009 2:36:00 PM	6010B	mg/kg-wet	24	< 24 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						
L88641-12A	It Came - game-820-x (cards)	1/26/2009	1/29/2009 2:40:00 PM	6010B	mg/kg-wet	24	< 24 *
	<i>* - The reporting limits were raised due to sample matrix interferences.</i>						

Report Date: 1/30/2009 Page 2 of 3



AMERICAN WEST ANALYTICAL LABORATORIES

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Kyle F. Gross
Laboratory Director

Jose Rocha
QA Officer

CLIENT: Cash Account Contact: Barry Evans
Lab Order: L88641
Project: Covenant Communications, Inc./Children's Pro Date Received: 1/26/2009

Lead

Table with columns: Lab Sample ID, Sample ID, Date Sampled, Date Analyzed, Method Used, Units, Reporting Limits, Analytical Result. Contains 16 rows of lead test data with associated sample IDs and dates.

**Stevenson, Todd**

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**From:** Alan Bell [abell@tbg.riogrande.com]  
**Sent:** Monday, February 02, 2009 6:36 PM  
**To:** Lead Exclusions  
**Cc:** Rich Youmans (E-mail)  
**Subject:** Section 101(b) Exclusions

Our company distributes materials and supplies to the jewelry industry. We are deeply concerned about the impact of CPSIA on our customers who work in precious metals and with precious and semi-precious gemstones, particularly small artisans and independent craftspeople. Unless the materials they work with, which by nature contain no lead, are exempt, the burden of compliance may overwhelm them. In the case of sterling silver, karat gold, platinum and palladium certification requirements serve no purpose and protect no one, as none of these materials contain lead by nature.

In the case of naturally occurring gemstones, both the public and the CPSC would be better served by specifically including any suspect materials, and excluding all others, the vast majority of which, by nature contain no lead.

Please do not burden our industry and CPSC with unnecessary testing and compliance rules around these materials. No one benefits.

Respectfully,

Alan Bell, Managing Director  
The Bell Group / Rio Grande

## Stevenson, Todd

---

**From:** Hugh Bell [Hbell@tbg.riogrande.com]  
**Sent:** Monday, February 02, 2009 7:18 PM  
**To:** Alan Bell; Lead Exclusions  
**Cc:** Rich Youmans (E-mail)  
**Subject:** RE: Section 101(b) Exclusions

Looks good.

-----Original Message-----

**From:** Alan Bell  
**Sent:** Monday, February 02, 2009 4:36 PM  
**To:** 'sec101Exclusions@cpsc.gov'  
**Cc:** Rich Youmans (E-mail)  
**Subject:** Section 101(b) Exclusions

Our company distributes materials and supplies to the jewelry industry. We are deeply concerned about the impact of CPSIA on our customers who work in precious metals and with precious and semi-precious gemstones, particularly small artisans and independent craftspeople. Unless the materials they work with, which by nature contain no lead, are exempt, the burden of compliance may overwhelm them. In the case of sterling silver, karat gold, platinum and palladium certification requirements serve no purpose and protect no one, as none of these materials contain lead by nature.

In the case of naturally occurring gemstones, both the public and the CPSC would be better served by specifically including any suspect materials, and excluding all others, the vast majority of which, by nature contain no lead.

Please do not burden our industry and CPSC with unnecessary testing and compliance rules around these materials. No one benefits.

Respectfully,

Alan Bell, Managing Director  
The Bell Group / Rio Grande

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Michael P. Farris, Esq.  
Chairman

J. Michael Smith, Esq.  
President



OFFICE OF THE SECRETARY  
FREEDOM OF INFORMATION ACT  
2009 FEB -9 AM 10:37

February 4, 2009

Nancy Nord  
Acting Chairman  
U.S. Consumer Product Safety Commission  
4330 East West Highway  
Bethesda, MD 20814

RE: How the CPSIA Will Harm Family Businesses

Dear Chairman Nord:

We would like to thank you for the one-year stay of relief that you recommended to Commissioner Moore, giving countless small family businesses the ability to continue their work securely for another year. While this stay is a relief for many families, we are sure you understand that their businesses still need the assurance of permanent solutions, if they are to engage in long-term planning and investment for their futures. As advocates for the home schooling family (which frequently requires a home-based income to supplement the work of one working parent), the Home School Legal Defense Association is uniquely concerned about what lies beyond the year-long extension.

HSLDA has received over 300 letters, emails, and phone calls from family businesses. For example:

- Paul and Dody are a low-income homeschooling couple from Arkansas who invested in livestock to make children's products out of wool. All those plans have been put on hold until a permanent exemption can be made for natural products like their own. "I estimate we are out a couple thousand dollars," Dody said, before the deadline was extended a year. "Not a lot compared to other, bigger, small businesses, but a lot for a mother of 5 with one on the way. In 2007 we made only \$6,000.00 between the two of us." Homeschooling in Arkansas farm country where few jobs are available, Paul and Dody will have a difficult time turning to other work.
- Lucy, a working and homeschooling mother, has decided to shut down her business selling homemade monogrammed baby gifts. "I simply cannot afford

the redundant testing on already safe materials that will cost me anywhere from \$575 to more than \$4,000 per finished item,” she said. One \$18 item, for example, will cost her \$1,450 in order to test.

- Monica, a homeschooling mom, makes quilts for children, using fabric that is lead free, thread that is lead free, and batting that is lead free. She purchases these items from large-scale manufacturers that are well-equipped to test the original materials for lead; but as a small-business owner, she is required to test her materials again after she has finished assembling a new product out of them. Monica may have to shut down her business as a result.
- Kate is a homeschooling mother who is concerned about her family’s business of creating educational kits for homeschoolers. Kits contain unique items (like authentic antique coins) that would have to be tested separately and destroyed in the process. Besides causing the destruction of their products, the costs of testing would add up to \$92,525; and every fourth kit would have to be tested. Without serious changes to the current interpretation of the CPSIA, Kate and her family will be deprived of their home’s sole source of income.

## **Solutions**

We believe that the following recommendations will protect small family home-based businesses permanently. The sooner these changes are announced, the sooner family businesses will be able to start investing in their futures.

- 1. Only require testing for raw materials, not final products.** It makes no sense for a product to be tested for lead or phthalates, when it is assembled from raw materials that are free from lead and phthalates. So, if a single supply of plastic is used to create a thousand toys, the plastic manufacturers (not the toymakers) should be required to test their material. In the end, the plastic will only have to be tested once, rather than thousands of times, or once for each individual product. This will protect family businesses and save the economy as a whole from immeasurable waste.
- 2. Exempt books from testing.** Books have still not been permanently exempted from the testing required by the CPSIA. This will cause an impossible burden on education of the young, driving countless educational publishers out of business. Many family businesses who self-publish will be forced to close their doors. Additionally, many homeschool families who rely on these family businesses for their homeschool needs will be forced to look elsewhere at great cost to themselves.
- 3. Define “reasonable testing program” (CPSIA, §102(a)(1)(A)) to protect family businesses.** Family businesses simply cannot be held responsible for testing as rigorously as mandated in the Consumer Product Safety Improvement Act. Without an appropriate definition of “reasonable testing program,” family

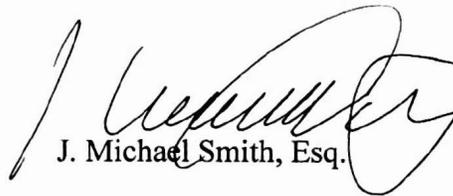
businesses throughout America will be forced to shut their doors. We would recommend that §102 define a “reasonable testing program” to include one or all of the following:

- a. That the family business can demonstrate through certificates that all components are in compliance with the lead and phthalate limits; or
- b. That the item is made of natural and organic products; or
- c. That the item is made of raw materials that have been tested and certified to be in compliance with the lead and phthalate limits; or
- d. That every family business is given a one-time “good faith exemption,” automatically pardoning them from an accidental violation of the CPSIA, if they can show their mistake was made in good faith.

4. **Permanently extend the testing exemption for natural and organic products.** We would like to thank Commissioner Moore and Chairman Nord for supporting the temporary testing exemption the CPSC created for clothing and other natural materials. Please make this testing exemption permanent as soon as possible, so that manufacturers can confidently invest in their businesses for the long-term.
5. **Provide small businesses with a compliance guide.** HSLDA has talked with countless family businesses that may be exempted from certain aspects of the CPSIA, but do not know it because the regulations are so complex. A compliance guide will help small businesses understand whether and how the CPSIA applies to them.

On behalf of our 85,000 plus member families, and the thousands who operate family businesses out of their homes, thank you for considering these recommended solutions. We look forward to working together to protect our children, as well as small family businesses.

Sincerely,



J. Michael Smith, Esq.

**Stevenson; Todd**

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**From:** charlotte [roundaround@mac.com]  
**Sent:** Friday, February 06, 2009 3:55 PM  
**To:** Lead Determinations  
**Subject:** Materials to be exempted from CPSIA

Dear Sirs,

I am writing to suggest specific language that will exempt reclaimed textiles from the testing requirements of the CSPIA.

My business, Wheee!, is one of the myriad domestic home businesses making safe lead and phthalate free clothing for children. In order to stay in business after the CSPIA goes into effect, we need a specific exemption for reclaimed textiles. There are many small business like our that re-manufacture new products from reclaimed clothing such as tee-shirts and sweaters. We are the businesses that salvage waste clothing and fabrics and transform these materials into useable products.

We cut our garments from factory irregular tees from many large companies like Fruit of the Loom, Gildan, etc. The raw materials we use from garments that are irregular in their construction only, not in their chemical contents. Since we buy our textiles through apparel industry off price resellers, the fabric is at least one season old by the time we get our hands on it. This material was originally manufactured to meet all government laws and guidelines. The textile material we use has never been shown to contain either lead or phthalates.

Because our raw materials would have met existing standards at the time of their original manufacture, testing of these reclaimed textiles should not be required.

Sincerely,

Charlotte MacDonald, Owner  
Wheee!  
Everyday Play Gear  
503-206-7863

**Stevenson, Todd**

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**From:** Shan Aithal [shan\_aithal@STULLER.COM]  
**Sent:** Monday, February 09, 2009 11:20 AM  
**To:** Lead Determinations  
**Subject:** Lead levels in precious metals

To Whom It May Concern:

It has been established in the jewelry manufacturing that lead levels in excess of 100 PPM in the precious metal alloys used in the jewelry will lead to brittleness of the alloy. The detriment to the mechanical properties is a result of lead migrating to the grain boundaries of the jewelry alloys and weakening of the microstructure. So, fortunately for the precious metal jewelry manufacturer, the brittleness of the product due to lead contamination (in excess of 100 PPM) will make the jewelry prone to severe cracking and make it impossible to continue to work on the jewelry product.

So as a routine, most jewelry manufacturers make sure that the elemental metals (like gold, copper, silver, nickel and zinc) used in the manufacturing of jewelry alloys are 99.99% pure or better and in addition, they contain lead in levels less than 25 PPM or less.

At Stuller, Inc. we have gone a step ahead and test routinely the lead levels in our 14 karat yellow and 14 karat white gold alloy grains and found that the lead levels are consistently tested to be "ND" or less than 18 PPM according to the testing laboratory. We have also tested several batches of polished final product (that has gold solder in it) and found that the lead levels are less than 50 PPM.

So, as a degreed metallurgist, I would say that the children's precious metal products (10 karat or higher) that enter the market as a functional product will have to be necessarily contain lead much below the 100 PPM limit and should therefore be excluded from testing and certification for total lead. I will agree that these products should adhere to the lead limits imposed on them.

Best Regards,  
Shan Aithal, Ph.D.  
Director of Technology  
Stuller Inc  
302 Rue Louis XIV  
Lafayette, LA 70508  
(337) 262-7700 ext 3615  
[www.stuller.com](http://www.stuller.com)



## THE ENAMELIST SOCIETY™

Connecting you to the enameling world

*Exclusions*  
209

February 11, 2009

Office of the Secretary  
Consumer Product Safety Commission  
Room 502  
4330 East West Highway  
Bethesda, MD 20814

Dear Secretary:

This letter is written to make comments on the "Section 101 (b) Exclusions" in the Consumer Product Safety Improvement Act.

It is the request of The Enamelist Society to include porcelain enamel (can also be called vitreous enamel or enamel) on the list of excluded materials that "by their nature contain little or no lead or risk of exposure." Porcelain enamel is a boro-silicate glass coating on metal – predominantly on copper, silver, gold or platinum for jewelry. While today's compositions may or may not contain lead in the glass structure, that lead cannot normally be extracted from the porcelain enamel by children or adults. The Enamelist Society is taking the position that an analysis for total lead content is the wrong way to evaluate the safety of a glass coating on metal, porcelain enamel – the important criterion is whether any lead can be extracted by the consumers of jewelry in normal use. There is no "risk of exposure" from extraction of any lead from porcelain enameled jewelry when it is worn, i.e. through contact with the skin. The only possible leaching of lead from boro-silicate glass (porcelain enamels) occurs in the presence of strong acids over significant lengths of time.

Dating back to the 1970's, many public and private entities tested glazed ceramics and porcelain enamels for lead release to be sure that they were safe for use in home cooking and food service. In 1994 an American Society for Testing and Materials (ASTM C 738) standard test was adopted; this standard test determines the amount of lead that can be extracted from a glazed ceramic surface – the surfaces of porcelain enamels are the same as glazed ceramic surfaces except that the glass coating is on a metal (not ceramic) substrate. Specifically, the ASTM C 738 requires that the glass (glaze or porcelain enamel) surface be placed in contact with 4% acetic acid for 24 hours at a temperature of 68 – 74°F. The acid solution is then evaluated for lead content by atomic absorption spectroscopy. Thus, it is the amount of lead that can be leached out of the glass (porcelain enamel) that represents any health risk, not the total lead content. This same argument applies to things like Waterford crystal stemware, Wedgwood bone china, Le Creuset porcelain enameled cookware, etc.

When properly manufactured and fired so that the appearance of a piece of jewelry is suitable for sale, any lead is chemically bound in the boro-silicate glass structure. This porcelain enameled jewelry, when it contains lead, will not pose a health risk to children or adults as it will pass tests like ASTM C 738.

**The Enamelist Society**  
PO Box 920220, Norcross, GA 30010

The Enamelist Society has many members who produce porcelain enameled jewelry and some of it may be sold or given to children. If the CPSC rules do not exclude porcelain enamels, these rules will likely pose too heavy a financial burden on the many artists and craftspeople who make a living producing porcelain enameled jewelry. The cost and time involved in lead testing all pieces of jewelry would financially damage most of our members as they are small or single proprietorship businesses. Thus, domestic production of porcelain enameled jewelry that might be sold to children would likely cease.

As a society that advocates for many of these artists, the Enamelist Society can assure the CPSC that proper porcelain enameled jewelry will pass lead leaching tests. The FDA requirements for maximum lead release are generally less than 5 ppm. Based on our many years of experience with porcelain enamels, domestically produced jewelry by our members would exhibit lead release values in compliance with FDA limits. It is our opinion that lead in the boro-silicate glass structure of properly produced porcelain enamels for use in jewelry today poses no significant "risk of exposure" to children or adults.

Thank you for your attention to this request and please feel free to contact us should you have any questions, require further information or need any clarification.

Sincerely,

A handwritten signature in black ink, appearing to read "Cullen L. Hackler". The signature is fluid and cursive, with the first name "Cullen" being the most prominent.

Cullen L. Hackler  
Managing Director

CC: The Honorable Saxby Chambliss  
The Honorable Johnny Isakson  
The Honorable John Linder  
Manufacturing Jewelers and Suppliers of America (MJSA)

Association of American  
Publishers, Inc.  
www.publishers.org

50 F Street, NW, 4<sup>th</sup> Floor  
Washington, D.C. 20001  
Telephone: (202) 347-3375  
Fax: (202) 347-3690



February 12, 2009

Kristina Hatlelid, Ph.D, M.P.H  
Directorate for Health Sciences  
and

Via Email: [Sec101Determinations@cpsc.gov](mailto:Sec101Determinations@cpsc.gov)

Todd A. Stevenson  
Office of the Secretary  
Consumer Product Safety Commission  
4330 East-West Highway  
Bethesda, Maryland 20814

Via Email: [cpsec-os@cpsc.gov](mailto:cpsec-os@cpsc.gov)

**RE: Section 101 Determinations of Certain Materials or Products NPR  
Section 101 Request for Lead Content Determination**

Dear Dr. Hatlelid and Mr. Stevenson,

The submission of this document is intended to serve three purposes:

First, it is intended to respond to Dr. Hatlelid's letter to me, dated January 27, 2009, requesting further information relevant to the book publishing and printing industries' presentation to CPSC staff on January 22, 2009 concerning the factual and scientific bases for concluding that "ordinary books"<sup>1</sup> inherently do not contain lead in amounts that would violate the total lead content limits prescribed for children's products in the Consumer Product Safety Improvement Act (CPSIA).

Second, it is intended to serve as Comments submitted in a timely manner on behalf of the signatories, *i.e.*, the Association of American Publishers (AAP), individual publishers of children's books, and the vendors of print, manufacturing and supply services to such publishers, in response to the pending Notice of Proposed Rulemaking concerning "Children's Products Containing Lead; Proposed Determinations Regarding Lead

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<sup>1</sup> The term "ordinary books" in this submission refers to paper-based, printed books that are designed or intended primarily for children 12 years of age or younger. The term does not include so-called "novelty" products such as, for example, plastic-based bath toys or teething products that are made to resemble books in shape and form, or books that have plastic, metal or electronic parts that are not part of the binding and with which children may be expected to interact.

Content Limits on Certain Materials or Products,” 74 Federal Register 2433 (daily ed. January 15, 2009).

Third, it is intended to constitute a request for the Consumer Product Safety Commission (“CPSC” or “the Commission”) to exercise its general rulemaking authority under Section 3 of the CPSIA to determine that “ordinary books” – as well as the component materials that are representative of those used in the printing and manufacturing of “ordinary books” and other paper-based, printed children’s products – do not contain lead in amounts that exceed the prescribed limits for total lead content in children’s products under the CPSIA. See “Children’s Products Containing Lead; Notice of Proposed Procedures and Requirements for a Commission Determination or Exclusion,” Vol. 74 Federal Register 2428 (daily ed. January 15, 2009).

### **CPSC Public Meeting: Children’s Book Publishing (January 22, 2009)**

On January 22 of this year, representatives from AAP, the nation’s leading children’s book publishers, the nation’s leading book printers and manufacturers, a major ink manufacturer, and the national trade associations of the printing and forest, pulp, paper, paperboard and wood products industries, respectively, (“publishing and printing industries representatives”) met at the Commission with key members of the CPSC science staff to discuss the factual and scientific bases for the publishing community’s assertion that “ordinary books” designed and intended primarily as children’s products inherently do not contain lead in amounts that would violate the prescribed limits for total lead content under the CPSIA, and therefore should be exempt from the CPSIA’s related total lead content testing and certification requirements. See CPSC meeting video at <http://www.cpsc.gov/about/cpsia/publishers.html>.

During the course of that meeting, the publishing and printing industries representatives explained that:

- The individuals assembled by AAP to meet with the CPSC staff represented five of the largest U.S. publishers of children’s books (i.e., Scholastic, Random House, Simon & Schuster, Penguin Group USA, and The Walt Disney Company); three of the largest manufacturers and printers of children’s books (i.e., RR Donnelley, Quebecor World Publishing Services, and Courier Corporation); one of the two largest manufacturers of commercial printer’s inks (i.e., Flint Group); and the national trade associations of the printing and forest, pulp, paper, paperboard and wood products industries (i.e., Printing Industries of America and American Forest & Paper Association, respectively).
- Prior to enactment of the CPSIA, “ordinary books” were historically treated by the Commission as “unregulated products” that were not subject to any mandatory standard or other regulation issued by the Commission, or even to any guidance issued by CPSC staff. Instead, they were subject only to requirements for manufacturers, importers, distributors and retailers to report “dangerous products” (i.e., those containing a defect which could create a substantial risk of

injury to the public) and products involved in multiple cases of civil litigation concerning related deaths or grievous bodily injury, resulting either in a settlement by the manufacturer or a judgment in favor of the plaintiff. **A review of the Commission's website database of such product recalls for "books & accessories" over the past 25 years reveals that, with the exception of lead-based paint used on the spiral metal binding of a journal made in China, none of the recalls involved the component materials or finished product of an "ordinary book."** See <http://www.cpsc.gov/cgi-bin/prod.aspx>.

- A web site, established by the publishing and printing industries representatives in early December 2008 in support of their initial request to the CPSC General Counsel for an advisory opinion letter confirming that "ordinary books" and paper-based printed children's products are not subject to the CPSIA total lead content testing and certification requirements, see [www.rrd.com/cpsia](http://www.rrd.com/cpsia), had recently been supplemented with additional test results, so that it now includes over 150 test results for different finished books and over 150 test results for all major types of component materials, both domestic and foreign, that comprise such books (i.e., papers, inks, coatings, adhesives, and bindings). **Each of the tests that were conducted by accredited laboratories using accepted methodologies for determining total lead content under a variety of voluntary U.S. and international standards consistently indicated results showing total lead content as non-detectable or at or around 10 ppm, which (given the limitations of the tests) is another way of showing total lead content as non-detectable and, in any event, far below the lowest limits prescribed by the CPSIA, which do not become effective until August 2011.**
- **The manufacturing process for "ordinary books" is universal across the U.S. and across the globe, including in China**, with respect to its typical use of digital publishing files, aluminum plates etched by laser, and digital and offset lithographic printing, as well as practices for drying ink through gas-drying heat or UV light; binding collated sections with hot-melt or cold glue adhesives, or by sewing them with polyester or cotton threads, saddle-stitching them with wire or stapling, or punching holes for use with spiral wires; and attaching separately-made paperboard-based covers that frequently are treated with some form of laminate or other coating. The representative nature of these processes is due, at least in part, to the fact that no more than ten manufacturers produce the presses and other printing equipment currently in use around the world, while fewer than five manufacturers produce the binding equipment that is used across the global printing market. **Equally important, the book manufacturing process is not transformative with respect to the component materials that are assembled to create a finished book; in other words, the process does not introduce any lead into the finished product or chemically amplify any lead traces that may exist in the component materials.** At the same time, the risk of cross-contamination through use of the equipment and facilities for non-book print jobs is minimized to the point of non-existence due the highly specialized nature of

“ordinary book” manufacturing as reflected in the specifications and expectations the book publishers bring to the pool of printers they all generally utilize.

- **No lead-based chemicals or other materials are used in the production of the paper and paperboard that is used in the manufacturing of “ordinary books.”** This is due not only to the past two decades of evolving awareness of environmental, health and safety concerns that induced paper mills to keep the treatment of natural wood pulp fibers free from the taint of heavy metals and certain other chemicals during the paper manufacturing process, but also because at least nineteen States in the Northeastern United States have enacted laws based on the standards promulgated by the Coalition of Northeastern Governors (CONEG) to help reduce and, eventually, eliminate lead and certain other heavy metals (i.e., mercury, cadmium and hexavalent chromium) from packaging and packaging materials in model legislation developed by CONEG in 1989. See [http://www.toxicsinpackaging.org/model\\_legislation.html](http://www.toxicsinpackaging.org/model_legislation.html). **It is significant that the CONEG standards prescribe even lower levels of total lead content than those contained in the CPSIA. Moreover, the CONEG standards are significant in this context because the use of inks to produce printed packaging through offset lithographic printing, or through digital output devices using toner or inkjet, means that ink manufacturers serving the U.S. market have provided certifications to these printers that these materials meet CONEG standards.** In addition to the application of these standards to paper and paperboard used in “ordinary books,” manufacturers note that they are not aware of any technical or beneficial uses of lead-based chemicals in the production of paper and paperboard that might encourage such use.
- **Similarly, today’s manufacturers of commercial printer’s inks do not include lead in inks for the same reasons.** Color in publication inks typically comes from the addition of organic pigments, carbon black, titanium dioxide, or metal powders made from aluminum, brass or copper. The “vehicle” used to bring the pigment to the substrate typically consists of a resin, which helps to affix the ink to the paper, and a solvent, which usually does not remain in the product after the drying process but may consist of water, vegetable oils, hydrocarbon oils or various kinds of alcohol. Other additives, such as certain dryers, modifiers, or waxes that are intended to impart certain properties to the printed ink may be present. However, none of these elements contain lead. As with the paper and other aspects of the manufacturing of “ordinary books,” the use of publication inks is subject to customer certification and various regulatory standards, including the CONEG standards, the European counterparts they have influenced, and EPA’s hazardous waste disposal regulations that govern how the printer must dispose of the waste ink in the printing process.

After the initial presentation by the publishing and printing industries’ representatives, the CPSC staff asked them a number of questions concerning possible chemical similarities between pigments in commercial book publication inks and the lead-based paint pigments (e.g., lead chromate) used in outdoor signage; the practices of small ink

manufacturers in developing countries; the use of lead-based metal powders in publication inks; recycled paper; wire spiral binding; dyes in colored paper; printing methods other than offset lithography; the use of lead-based pigments in toner products used in laser-based printing; and other component materials such as laminates, foil and gilding. Although the responses indicated that none of these issues raised additional concerns regarding the total lead content of “ordinary books” for children, the CPSC staff indicated its intention to submit follow-up questions regarding the presentation.

### **I. Follow-Up Questions to the Publishing and Printing Industries’ Representatives**

On January 27, five days after the meeting at the Commission, Kristina Hatlelid of the Commission’s Directorate for Health Sciences, wrote to me to request that the publishing and printing industries’ representatives provide the CPSC staff with certain additional information in order to help them “to assess the significance of the data provided” by those representatives at the earlier meeting.

The responses of the publishing and printing industries’ representatives to the requests of the CPSC staff follow, appearing immediately below a statement (in bold) identifying the particular type of information requested.

**Identify the source of the data presented at the meeting (i.e., was it provided by the major publishers or one publisher) and the percentage of the children’s ordinary book industry that the provided test results represents:**

**Response:** As previously noted, the individuals assembled by AAP to meet with the CPSC staff included representatives from five of the largest U.S. publishers of children’s books (i.e., Scholastic, Random House, Simon & Schuster, Penguin Group USA, and The Walt Disney Company). The test data provided on the website viewed at the meeting were provided by some sixteen publishers of children’s books, including the five represented at the CPSC meeting.

The compilation of sales statistics in different segments of the book publishing industry is somewhat imprecise and necessarily incomplete due to the fact that it is voluntary and largely depends upon self-reporting, whether by retailers or the publishers themselves. However, whether one looks at data for 2008 from Nielsen BookScan, which tracks/collects weekly point of sale information on unit sales from approximately 75% of the major chains, Internet book sites, independent book stores, discount/mass retailers and supermarkets, or from RR Bowker PubTrack Consumer Data, which gathers data through nationwide online surveys, **the ten largest publishers of children’s books in the United States comprise over 80% of that market, when measured by either dollar share or units sold.** The five children’s publishers who attended the CPSC meeting comprise over 50% of that market; adding Harper Collins, Hachette Book Group, Macmillan, Houghton Mifflin Harcourt, Workman, and Harry Abrams to that list accounts for the top ten children’s publishers who comprise over 80% of the market. Each of the first four additional publishers also provided test results for the website. See Nielsen Bookscan & Bowker PubTrack Consumer Data postings on [www.rrd.com/cpsia](http://www.rrd.com/cpsia).

**Explain whether the test results are representative of the entire industry or only a segment of the industry, and why:**

**Response:** Children's book publishing is a highly specialized and competitive segment of the overall book publishing industry, and reliance upon test results and typical practices reported by the leading companies comprising over 80% of the children's book publishing industry should be regarded as reasonably representative of the industry as a whole. As leaders in a highly competitive and specialized industry, these companies set the benchmarks for quality products that all challengers and new entrants, as well as printers and suppliers, must meet in order to claim a share of the market. The fact that they have developed a firm intolerance for lead in the production of their "ordinary book" products through a keen, competitive awareness of the child care sensitivities of the parents, grandparents, teachers, and librarians who are the target purchasers for these products, rather than in response to government-imposed regulatory standards, should not be held against the publishers and printers of children's books. They should not be held to unreasonable burdens of proof regarding the representative nature of industry practices that have evolved to eliminate or, at least, strictly limit the total lead content in "ordinary books" simply because such products were unregulated within the jurisdiction of the Commission prior to the enactment of the CPSIA.

**Identify the test processes that are currently in place to ensure that raw materials and finished products do not contain lead:**

**Response:** As indicated by the test results originally and subsequently placed on the web site that was established by the publishing and printing industries representatives in early December 2008 in support of their initial request to the CPSC General Counsel for an advisory opinion letter confirming that "ordinary books" are not subject to the CPSIA total lead content testing and certification requirements, see [www.rrd.com/cpsia](http://www.rrd.com/cpsia), these industries rely on a variety of well-established test methodologies to ensure that finished "ordinary book" products and their component materials do not contain lead or only contain lead at levels safely below the total lead content limits prescribed in the CPSIA.

The test methodologies used (as identified either in the fifth column or in the "Documentation" provided in the sixth column of data) are those recognized within the framework of the following major standards that address prescribed total lead content limits (among others) for consumer products and materials, and should be familiar to the Commission and its staff:

**EN 71** – This European Union standard specifies safety requirements for toys. Compliance with the standard is legally required for all toys sold in the European Union. Part 3 of this standard (EN 71-3:1995) specifies requirements and test methods for the migration of lead (among other chemical elements) from a variety of materials including printing inks, paper and paperboard, laminates, varnishes, lacquers, polymers, and similar coatings.

**ASTM F963** – This American Society of Testing and Materials standard, developed originally as a voluntary product standard and published in 1976, was created to establish nationally recognized safety requirements for toys, and has now been federalized under the CPSIA. Section 8.2 of the standard covers testing methods for hazardous substances, including lead, and with reference to total lead content testing regarding lead-containing paint on toys and other articles intended for use by children under 16 C.F.R. 1303.

**CONEG** – This standard, originally drafted by the Source Reduction Council of the Coalition of Northeastern Governors in 1989, was embodied in the Model Toxics in Packaging Legislation that has subsequently been enacted into law in 19 States. The Toxics in Packaging Clearinghouse (TPCH) was formed in 1992 to promote the Model Legislation, which was developed in an effort to reduce the amount of heavy metals in paper and other kinds of packaging & packaging components that are sold or distributed throughout the United States. Specifically, the law was designed to phase out the use and presence of mercury, lead, cadmium and hexavalent chromium in packaging and packaging materials within four years in those States that enact the legislation. The prescribed combined limits for all four of these heavy metals under the Model Legislation are lower than the limits prescribed for total lead content alone under the CPSIA.

**RoHS** – An acronym for “Restriction of Hazardous Substances,” RoHS, which is formally known as Directive 2002/95/EC, originated in the European Union to implement restrictions on the use of specific hazardous materials in electrical and electronic products. All applicable products in the EU market after July 1, 2006 must pass RoHS compliance. In addition to restricting a range of chemical flame-retardants, RoHS, like the CONEG standard, restricts the amount of lead, mercury, cadmium, and hexavalent chromium in such products.

The publishing and printing industries’ representatives urge the Commission and its staff to carefully examine all of the documented test results that can be accessed on the above-referenced RR Donnelley website, [www.rrd.com/cpsia](http://www.rrd.com/cpsia), in order to assess the results in terms of their basis in appropriate testing methodologies and their consistency in demonstrating that “ordinary books” as finished products inherently do not contain lead or contain lead only at levels well below the total lead content limits prescribed in the CPSIA.

In reviewing the test results data, which has been further supplemented since the January 22 meeting at the Commission, it is especially important to note the following with respect to the columns of different data provided for each category subject to testing:

- Under the first “subcomponent” column, it should be clear that a serious effort has been made to provide test results that are representative of a wide variety of different kinds of products or materials within the general category involved. For example, this column of information for “finished books” demonstrates that test results have been provided for board books, hard cover and paperback books, textbooks, puzzle books, stencil books, flip books, card books, sticker books, cut-out books, and many other varieties with different kinds of cover materials,

bindings, and other parts of manufactured books, as well as for a variety of “finished” non-book, paper-based printed materials in the category of children’s products, such as playing cards and flash cards. Similarly, the “subcomponent” column for each of the covered materials that go into the manufacturing of a book – i.e., paper, ink, coatings, adhesives, and wire – shows that test results for a wide variety of those materials have been provided in order for the sum of the individual items to be representative of what is actually being used by the publishing and printing industries for book manufacturing purposes.

- Under the third (“criteria/standard”) and fifth (“test methodology”) columns, the propriety of the test methodology used in a given case is demonstrated by linking it to the applicable standard the testing was required to meet. While a few of the more early reported test results on the web site may have wrongly focused on tests for soluble lead, rather than tests for total lead content, the web site now has an abundant supply of total lead content test results consistent with CPSIA requirements. As indicated in the fourth (“Result”) column, the test results show a high degree of consistency in demonstrating, within the capabilities of the test methodologies employed, just how far below the CPSIA prescribed limits the test results for finished books and their component materials really are proven to be.
- Finally, data provided under the second “Vendor/Country” column and the sixth “Documentation” column together offer substantial evidence of the consistency of the test results, regardless of the place of manufacture or the location of the testing laboratories.

**If available, provide any data from testing performed by retailers:**

**Response:** The representatives of the publishing industry do not have any data from testing performed on “ordinary books” by retailers. This, however, is understandable in light of two salient facts: Prior to enactment of the CPSIA, such children’s products were not subject to any regulatory standards that required testing for total lead content. Subsequent to the enactment of the CPSIA, retailers are still under no compulsion to conduct tests for total lead content in these children’s products because the testing requirements under the new law are imposed on the manufacturers and importers of such products, not their retail distributors.

**Provide assurances that the process used for ordinary books is “universal” among all major publishers and smaller publishers, and that these publishers all use the same pool of manufacturers and component suppliers:**

**Response:** As previously noted, the five well-known publishers of children’s books whose representatives explained the process for printing “ordinary books” to the CPSC staff at the January 22 meeting comprise over 50% of the market for such products. Together with several other leading publishers of children’s books who did not have representatives at that meeting, they are the source of many of the test results residing on

the web site established by the publishing and printing industries representatives in early December 2008 in support of their initial request to the CPSC General Counsel for an advisory opinion letter confirming that “ordinary books” are not subject to the CPSIA total lead content testing and certification requirements.

The highly specialized and competitive nature of children’s book publishing helps to explain why these publishers generally utilize the same pool of manufacturers and component suppliers, and the fact that they do so helps to explain the universal nature of the processes and materials that produce “ordinary books” which inherently do not contain lead in amounts that would violate the CPSIA’s prescribed total lead content limits.

Many of the production values common to the manufacturing of “ordinary books” are also specialized needs that cannot be met by all printers. Over the past decade or more, consolidation within the publishing industry has led to consolidation within the printing industry, and the pool of available printers has declined. Print manufacturing is a capital-intensive industry, primarily due to the high cost of the presses and binding equipment, and the added pressures of adapting to technological developments have forced many printers in the U.S. to either merge or go out of business.

According to the June 2008 issue of *Printing Impressions*, a highly influential industry journal published since 1958 by the North American Publishing Company (NAPCO), which annually lists a ranking of the 400 largest printing companies in North America that engage in the printing of advertising inserts, business forms, catalogs, directories, newspapers, magazines, packaging and other items as well as book manufacturing, fifty-one (51) of the companies listed for 2007 indicated that all or a portion of their business is book manufacturing. *Book Business* magazine, which is also published by NAPCO, annually lists the “Top 30” book manufacturers. According to its June 2008 edition, the Top 30 in 2007 accounted for 99% of the total sales for book manufacturing noted in the *Printing Impressions* listing. The “Top 5” in that list (i.e., RR Donnelley, Quebecor World Publishing Services, Courier Corporation, Visant, and Arvato Print USA) accounted for 66% of those total sales, with the top three (3) manufacturers in that group (all of whom were represented at the January 22 meeting with the CPSC staff) accounted for nearly 50% of the total book manufacturing sales, of which roughly 17% were for “children’s products” as defined in the CPSIA. See the Printing Impressions 400 at [http://www.piworld.com/article/printing-impressions-ranking-leading-printing-companies-2008-401147\\_2.html](http://www.piworld.com/article/printing-impressions-ranking-leading-printing-companies-2008-401147_2.html);

Children’s picture books (4-color story books) are generally thin books that can only be manufactured by printers who have the equipment required to bind them. While many manufacturers cannot bind a spine that is under ¼ inch wide, most picture books have a spine width measuring from 1/16<sup>th</sup> to 1/8<sup>th</sup> inch. Because quantities of these books are not usually large, this body of work is usually consolidated with one or two vendors to ensure good pricing.

Another children's book format – “board books” – require printing on heavy paperboard, which not all printers are equipped to do. Specialized presses are needed to handle this thick substrate and these books require a labor-intensive binding method that is mostly not automated. Generally, these books are produced overseas by a relatively small body of printers who are known to be properly equipped for this type of work.

Children's hardcover novels and 1-color paperbacks generally have spine widths that exceed ¼ inch, and can be printed and bound by the same printers who produce titles aimed primarily at adult readers. Still, the pool of manufacturers that produces this type of work is not large and continues to shrink.

Generally, large publishers work with suppliers who have enough capacity in their presses and binding equipment to handle a large portion of the publisher's work. Small printers typically cannot handle the volume required by large publishers.

As an industry, children's book publishers have moved to a more narrow supplier base that specializes in their product types. Much of the work is similar between publishers, allowing the printers to purchase common materials in bulk, which end up being utilized by multiple publishers producing similar products.

During the January 22 presentation to the CPSC staff, it was noted that no more than ten manufacturers produce the presses and other printing equipment currently in use around the world, while fewer than five manufacturers produce the binding equipment that is used across the global printing market. Each of these small groups of manufacturers, including Heidelberg, Man-Roland, Timson, Harris, Komori, Toshiba, and Goss for press equipment, and Harris, Mueller-Martini and Kolbus for binding equipment, respectively, is aware of the general and specialized specifications required for children's books by the leading publishers.

Similarly, input received from the American Forest & Paper Association (AF&PA) for the purpose of informing this submission about the production of “printing and writing papers,” confirms that paper is derived from natural wood, which inherently has a *de minimis* level of total lead content, and that the primary components in the production of paper are wood fiber and water, neither of which contains lead at more than *de minimis* levels. Lead-based chemicals are not introduced in the major phases of the paper manufacturing process (i.e., wood preparation/pulping; bleaching/refining; running of the paper machine; and finishing processes, including coating). AF&PA also notes that less than 5% of the total printing and writing papers produced in the United States goes into book manufacturing, and that about a dozen paper mills represent 94% of this book paper production. Independently, publishers and printers have identified AbitibiBowater, Appleton Coated, Domtar, Cascades, Fraser Papers, Glatfelter, Sappi, International Paper, Mohawk, Stora-Enso, UPM, Norpac, Tembec Spruce Falls, and NewPage as the leading sources for their purchases of book manufacturing paper.

In this environment, many children's book publishers consider the identification of their relationships with specific printers or manufacturers to be proprietary information that is

generally not made public. **However**, notwithstanding this concern, **it is possible to show that the children's book publishers comprising the overwhelming majority of that market generally utilize the same pool of printers, both in the U.S. and overseas.**

Thus, based upon the input they provided to AAP for this submission, it is clear that Random House, Simon & Schuster, Scholastic, Penguin USA, Pearson Education, Harper Collins, Harvest House Publishers, Disney Publishing, Hachette Book Group, Houghton Mifflin Harcourt, Macmillan, Thomas Nelson, and Workman each use at least two (2) and, in some cases, as many as ten (10) of the following printers or manufacturers primarily based either in the United States or overseas:

Primarily Based in the United States – Arvato Print USA (Berryville Graphics, Coral Graphics, and Offset Paperback Manufacturers); Command Web; Courier Corporation; Lake Book Manufacturing; Lehigh/Phoenix (A Visant Company); Malloy, Inc.; The Maple-Vail Book Manufacturing Group; Pint Size Productions; Quebecor World; RR Donnelley; Unimac Graphics; Universal Printing Co.; and, Worzalla Publishing Company.

Primarily Based Overseas – C&C Offset (China); Elegance Printing & Book Binding (Hong Kong); Hung Hing (China); Imago Services (China); Leo Paper (China); RRD/South China Printing (China); Sirivatana (Thailand); SNP Excel (China & Thailand); Tien Wah Press (Singapore); Toppan (Japan); Whiz/Millennium Int'l Ltd (China); and, Wing King Tong (Hong Kong);

On the other hand, many of those same printers and manufacturers also do work for small publishers of children's books. The Book Manufacturers' Institute has reported that its members do work for over 500 publishers of children's books. See spreadsheet posted on [www.rrd.com/cpsia](http://www.rrd.com/cpsia). To the extent that both large and small publishers are generally using the same pool of book printers and manufacturers, the Commission should have greater confidence that the practices discussed in the context of the total lead content issues raised by the CPSIA are similar across the industry.

It is important once again to emphasize the universal nature of the production process for children's books. As explained by the Printing Industries of America in comments provided for this submission, the manufacturing of books involves three basic steps of prepress, press and post-press, or binding and finishing. There are two methods used to actually print the books, and they are the offset lithographic printing process or digital output devices that use either dry toner or water-based inkjet inks. The prepress area involves the preparation of digital files and either sending them electronically to a digital output device or imaging an aluminum printing plate that will be mounted on a lithographic printing press.

In the press step, ink is applied via the offset lithographic printing process, which is a unique planographic printing process that takes advantage of the fact that oil and water are immiscible (i.e., they will not form a solution in any proportion). Offset inks are very thick and oil-based, and the image area of the plate is such that it is oil-loving; however,

the non-image area is treated with gum arabic (or its synthetic equivalent) to make it water-loving so that the ink adheres to the image area. The inked image is then transferred to a rubber-covered cylinder called a blanket, which then transfers the image to the paper. The ink either dries at ambient temperatures with sheet-fed presses or, in the case of web press (i.e., continuous roll of paper), with gas-fired dryers that use elevated temperatures. In some cases, inks and coatings can be cured with UV light.

With digital output devices, the toner or ink jet ink is applied directly to the paper. In toner systems, a metal drum is electronically charged to attract toner to the drum, and then the toner is transferred to the paper and fused to the surface. Ink jet devices use liquid inks that are sprayed onto the paper via small electronically controlled orifices

The post-press step involves folding, cutting and binding of collated sections into a finished product. The binding can be done either mechanically or chemically with hot-melt or cold glue adhesives, sewing them with polyester or cotton threads, saddle-stitching them with wire or stapling, or punching holes for use with spiral wires. In addition, separately made paperboard-based covers can be attached and in some cases the covers would have treated with some form of laminate or other water-based or UV cured coating.

**Verify any prohibitions against leaded ink applications coming into printing facilities used for children's books:**

**Response:** The discussion with CPSC staff regarding whether vendors safeguard against leaded ink applications coming into printing facilities used for children's books arose in connection with the question of whether a vendor's performance of non-book printing jobs using the same facilities presented any real risk of cross-contamination of the printing facilities in terms of chemicals or other materials that could subsequently introduce lead content into children's books printed by the same vendor. The primary example raised of such non-book printing jobs was the manufacturing of outdoor signage, which typically requires the use of lead-based pigments.

As a practical matter, however, the possibility of cross contamination of children's books from lead-based pigments used in the manufacturing of outdoor signage does not exist. First and foremost, the manufacturing of outdoor signage uses completely different printing processes than what is used to produce children's books and other paper-based, printed children's products. Outdoor signs are produced using either the screen printing process or the more contemporary process of large-format digital printing. Children's books and other paper-based, printed children's products are produced using either the offset lithographic printing process or digital output devices that use dry toners or inkjet inks.

The method by which the ink is applied to the substrate constitutes the key difference between printing through screen printing and large format digital press processes, on the one hand, and offset lithography and digital output devices, on the other, since the latter processes use dry toners or inkjet inks. These differences in the methods of ink

application require completely different ink systems, which make them completely incompatible. For this reason, screen inks cannot be used on an offset lithographic printing press. Furthermore, the specialization that exists within the printing industry means that companies that manufacture outdoor signs will not engage in the manufacture of books or other paper-based, printed children's products; to the extent that some may do both activities, they must use entirely different equipment and, thus, would typically have distinct facilities.

Some current pictures representative of book manufacturing facilities are available on the web site, [www.rrd.com/cpsia](http://www.rrd.com/cpsia), in order to help dispel the old image of the "machine shop" that may come to mind for those who are not familiar with the clean, modern high-technology facilities that actually perform these manufacturing tasks today.

**Explain how the industry has not used lead-containing materials or has moved away from leaded inks over the past few decades for all types of printing processes, including traditional printing and other types such as laser or toner-based printing:**

**Response:** It is possible to trace the movement away from leaded inks over the past two decades on an international basis through a series of voluntary restrictive actions taken by the printing ink manufacturing industries. Last month, for example, the National Association of Printing Ink Manufacturers (NAPIM) published an edition of the NAPIM Bulletin (No. 08-05) that focused on "Metals in Printing Ink." See posting on [www.rrd.com/cpsia](http://www.rrd.com/cpsia). While noting that "metals can be present in printing inks in the form of metal-based pigments, driers or through impurities and contaminants in the raw materials used in the formulation process," the Bulletin stated:

*"Federal health and environmental regulations enacted in the United States beginning [sic] 1970s made the usage of the known highly toxic metals (i.e., lead, arsenic, selenium, mercury, cadmium, and hexavalent chromium, or compounds based on these metals) as printing ink formulation components unattractive option and ultimately resulted in the large-scale removal of these metals from commercial usage in printing inks."*

Citing the CONEG-based standards, as well as regulations and guidelines at the EPA, OSHA, and the CPSC, the Bulletin noted that, while metallic inks (i.e., containing copper and aluminum-based pigments), inks using metal-based pigments (i.e., copper and barium-based pigments), and inks using metal-based driers (including inorganic salts and cobalt and manganese carboxylate compounds, as well as zinc, calcium, zirconium and other metal compounds or organic derivatives) continue to be manufactured, none of these printing inks nor their driers contain lead or any of the other known highly toxic metals.

Similarly, an "Exclusion List for Printing Inks and Related Products" published in October 2007 by the European Printing Ink Association (EuPIA) includes "pigment colourants based on and compounds of... lead" among the categories of raw materials excluded from the manufacture of printing inks and related products supplied to printers.

Further back, in December 2004, a document issued by the Canadian Printing Ink Manufacturers Association (CPIMA) entitled "Solving 'Heavy Metal' Compliance" cited the earlier CONEG Toxic in Packaging Legislation, the ASTM Standard Consumer Safety Specification on Toy Safety (F963-03), and the European Standard EN 71-3:1994/A1:2000 as all designed to restrict and, ultimately, phase out the use of lead and other heavy metals that it noted, in any event, "are rarely, if ever, used in printing ink formulations."

That report echoed a position statement on "Use of Lead Compounds in Printing Inks" which was published in March 2004 by the British Coatings Federation (BCF), wherein the BCF, the sole UK trade association for decorative and industrial coatings and printing inks manufacturers, representing around 85% of the total market, noted that:

*"In the case of lead compounds, and in particular lead chromate pigments, printing ink manufacturers in membership of the BCF have operated, for over thirty years, to a voluntary industry code of practice that, with quite specific exceptions [unrelated to book publishing], prohibits their use in printing inks."*

*"In addition to the industry's voluntary action, UK printing ink manufacturers and printers comply with legislative requirements, such as the Packaging (Essential Requirements) Regulations, which [similar to the CONEG model] restrict the presence, amongst other components, of lead compounds in packaging materials."*

Still another report, issued in August 1998 by the Lead Chromate Committee of the Color Pigments Manufacturers Association (CPMA), an industry trade association representing color pigments companies in Canada, Mexico and the United States accounting for 95% of the production of color pigments in North America, noted that "the use of lead chromates in ink products has almost completely been eliminated" except for certain specified uses wholly unrelated to the printing of books.

With respect to dry ink toner used in digital production presses, we would note that an October 2008 fact sheet issued by the Xerox Corporation regarding "Environmental, Health and Safety Facts About Xerox Dry Ink Toner" does not specifically mention lead, but appears to indirectly vouch for the absence of lead by stating that Xerox dry ink toner is "non-toxic" as "the result of careful selection of materials and control of the raw material ingredients," which include "plastics, colorants and small quantities of functional additives."

**Provide information on colored paper, including the dyes, inks, and other materials used, and any test data, if available:**

**Response:** Input received from the AF&PA for the purpose of informing this submission with regard to the manufacturing of papers used in book manufacturing explains that the primary components in the production of all commercial grade papers are natural resources of wood fiber and water, neither of which contains lead. AF&PA notes that at no point in the four major phases in the process of manufacturing papers typically used

in the printing of books (i.e., wood preparation/pulping; bleaching/refining; running of the paper machine; and finishing processes, including coating) is any lead-based chemical or other material introduced into the paper being made. Coated papers, which are often selected for printing children's picture books, consist of the base uncoated stock plus latex, clays and some pigments, none of which are lead-based.

Although the pulp made from wood fiber typically undergoes a bleaching process in which the naturally brownish material is whitened and brightened, neither this process nor the introduction of appropriate dyes or pigments to color the paper product involves any lead-based additives, according to the AF&PA.

## **II. NPRM Concerning Children's Products Containing Lead; Proposed Determinations Regarding Lead Content Limits on Certain Materials or Products.**

As noted at the outset, the second purpose of this submission is to provide Comments in response to the pending Notice of Proposed Rulemaking concerning "Children's Products Containing Lead; Proposed Determinations Regarding Lead Content Limits on Certain Materials or Products," 74 Federal Register 2433 (daily ed. January 15, 2009).

In proposing to exercise its authority under section 3 of the CPSIA to make preliminary determinations that certain commodities or classes of materials or products do not exceed the lead limits prescribed in the CPSIA, the Commission has taken action based on its staff's identification of "certain natural materials" that do not inherently contain lead or contain lead that does not exceed the CPSIA limits. The CPSC staff "briefing package" that presented the staff's recommendations on this matter to the Commission, see <http://www.cpsc.gov/library/foia/foia09/brief/leadlimits.pdf>, explains that the staff identified and recommended the particular "natural materials" at issue "*based on the available scientific information and the staff's best professional judgment* that such materials do not contain lead or contain lead at levels that do not exceed the CPSIA lead limits." (Emphasis added.)

The Commission notes that its preliminary determination to adopt the staff's identification of these "natural materials" as classes of products or materials that do not exceed the CPSIA lead limits is "based on materials that are untreated and unadulterated with respect to the addition of materials or chemicals, including pigments, dyes, coatings, finishes or any other substance, and that do not undergo any processing that could result in the addition of lead into the product or material." Id. at 2433.

It makes sense that "natural materials" which lack lead content in their "natural" state would have that "natural" state preserved by meeting the conditions regarding treatment, adulteration and processing. However, the Commission and its staff should also recognize that the determination that certain classes of products or materials "do not contain lead or contain lead at levels that do not exceed the CPSIA lead limits" can also be sensibly supported, even where such products or materials are subject to treatment and adulteration "with respect to the addition of materials or chemicals, including pigments, dyes coatings, finishes or any other substance," provided that such treatment and

adulteration, as well as any “processing” that the products or materials may undergo, do not “result in the addition of lead into the product or material.”

Indeed, there is no justification in limiting such determinations to so-called “natural” materials insofar as the same sound reasoning would apply to certain products or materials even if they could not be characterized with reference to their state in nature. Presumably, this is why the staff identified and recommended that “certain metals and alloys” should be subject to the same kind of determination by the Commission, even though they are not characterized as “natural” materials. *Id.* at 2434. Moreover, it is why the Commission’s NPRM, in addition to seeking public comment on the preliminary determinations on the listed items and other “natural” fibers and materials, also seeks comment on other metals and alloys and “other materials, which by their nature, would not exceed the lead content limits.” *Id.*

While understanding that certain products or materials might be more likely to not contain lead or to not contain lead at levels exceeding the CPSIA limits, when they are utilized as products or component materials in their “natural” state, the publishing and printing industries’ representatives believe it is essential that the Commission and the CPSC staff acknowledge that such determinations are equally appropriate for products and materials that may not be utilized or even exist in a “natural” state, provided that, whatever their state, and whatever treatment, adulteration or processing they may undergo, they nevertheless do not contain lead or do not contain lead at levels exceeding the CPSIA limits. *This is precisely the case the publishing and printing industries’ representatives are making for “ordinary books,” their component materials, and the process by which such books are manufactured.*

The publishing and printing industries representatives also note that, in basing their recommendations on “the available scientific information,” the CPSC staff did not indicate whether they had conducted their own tests on the materials at issue or had even looked at specific results of testing conducted by others. They did indicate, however, that they consulted “Selected References,” including the 2007 Toxicological Profile for Lead (Update) that was published by the Agency for Toxic Substances and Disease Registry (ATSDR), a component of the U.S. Department of Health and Human Services. See CPSC Staff “Briefing Package,” p.1, footnote 2.

The Toxicological Profiles prepared at ATSDR are described in those materials as reflecting “a comprehensive and extensive evaluation, summary, and interpretation of available toxicologic and epidemiologic information” on a given hazardous substance. In short, they are precisely the kind of “available scientific information” upon which the CPSC staff should base the exercise of its “best professional judgment” in identifying other products and materials that do not inherently contain lead or contain lead that does not exceed the CPSIA limits.

To that end, it should be noted that the updated Toxicological Profile on Lead, which runs to nearly 600 pages, contains four sections addressing lead and pediatric health. The publishing and printing industries representatives’ strongly urge the CPSC staff to once

again consult this critical work, so that they may review the sections entitled “How Can Lead Affect Children?” (Section 1.6); “How Can Families Reduce the Risk of Exposure to Lead?” (Section 1.7); “Children’s Susceptibility” (Section 3.5); and, “Exposures of Children” (Section 6.6). In those sections, they will find no mention of paper-based children’s books among the lengthy discussions of sources of children’s toxic exposure to lead. The absence of any reference to “ordinary books” as a possible source of children’s toxic exposure to lead in this important, comprehensive and official Federal Government source of “available scientific information” on the toxic nature of lead should certainly be given significant weight in the CPSC staff’s consideration of this submission. The ATSDR Toxicological Profile on Lead (August 2007) may be found online at <http://www.atsdr.cdc.gov/toxprofiles/tp13.pdf>.

### **III. Request for the Commission to Exercise its General Regulatory Authority under Section 3 of the CPSIA.**

In light of the factual and scientific information available to the Commission and its staff, including information provided in this submission and through the referenced website established in early December 2008, the publishing and printing industries’ representatives request the Commission to exercise its general regulatory authority under Section 3 of the CPSIA to determine that “ordinary books” and their component materials do not inherently contain lead or contain lead that exceeds the CPSIA limits.

The publishing and printing industries’ representatives recognize that the Commission has a pending rulemaking regarding the procedures and requirements for its exercise of this authority in the manner requested, see “Children’s Products Containing Lead; Notice of Proposed Procedures and Requirements for a Commission Determination or Exclusion,” Vol. 74 Federal Register 2428 (daily ed. January 15, 2009). However, they believe that the Commission already has the authority to propose such a determination, and that the materials at issue are of the type that in the pending rulemaking “the Commission considers may fall within the class for priority evaluation” (i.e., paper, inks, adhesives and the like). *Id.* at 2430.

The publishing and printing industries’ representatives respectfully urge that their request meets the proposed requirement of being “supported by objectively reasonable and representative test results or other scientific evidence showing that the product or material does not, and would not, exceed the lead limit specified in the request.” *Id.* at 2430.

They, therefore, urge the Commission to act affirmatively on the request to determine that “ordinary books” and their component materials do not inherently contain lead or contain lead that exceeds the CPSIA limits.

At this point, it should be noted that many children’s and educational book publishers and manufacturers also produce other paper-based, printed materials besides books that constitute “children’s products” under the CPSIA. Examples of such materials include bookmarks, posters, flash cards, school tests, and loose sheets of coloring paper. There is no difference in the manufacturing process used for the production of these other paper-

based materials. Moreover, it is the same raw materials – ink and paper – and the same group of publishers, manufacturers, and suppliers that are involved in the production of these paper-based, printed materials. In that light, the Commission should consider the request regarding “ordinary books” and their component materials as similarly applicable to these other paper-based, printed materials that use the same raw materials and manufacturing processes.

Although the publishing and printing industries’ representatives are keenly aware that the provisions of the CPSIA concerning phthalates may be relevant to some of the products they produce other than “ordinary books” and other paper-based, printed materials, they recognize that those issues are beyond the scope of this document and will address them with the Commission at another time.

On behalf of the publishing and printing industries’ representatives, thank you for giving this matter your prompt attention and careful consideration.

Sincerely,

A handwritten signature in black ink that reads "Allan R. Adler". The signature is written in a cursive, flowing style.

Allan R. Adler  
Vice President for Legal & Government Affairs  
Association of American Publishers  
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Phone: 202/220-4544  
Fax: 202/347-3690  
Email: [adler@publishers.org](mailto:adler@publishers.org)

Attachment: List of Organizations Endorsing this Submission

**List of Organizations Endorsing this Submission**  
**(February 12, 2009)**

American Forest and Paper Association  
Association of American Publishers  
Book Manufacturers' Institute, Inc.  
National Association of Printing Ink Manufacturers  
Printing Industries of America

Hachette Book Group  
HarperCollins Publishers  
Harvest House Publishers  
Houghton Mifflin Harcourt  
Macmillan  
Pearson Education  
Penguin Group USA, Inc.  
Random House, Inc.  
Scholastic Inc.  
Simon & Schuster, Inc.

Bridgeport National Bindery, Inc.  
CJK  
Command Web Offset Co.  
Courier Corporation  
Hess Print Solutions  
Lake Book Manufacturing, Inc.  
LeHigh Phoenix, A Visant Company  
Malloy Incorporated  
McNaughton & Gunn, Inc.  
Quebecor World  
R.R. Donnelley  
Reindl Bindery Co., Inc.  
Sheridan Books, Inc.  
Stromberg Allen and Company  
The Maple-Vail Book Manufacturing Group  
Webcom, Inc.  
Webcrafters, Inc.  
Worzalla Publishing Company

## Stevenson, Todd

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**From:** Allan R. Adler [aadler@publishers.org]  
**Sent:** Thursday, February 12, 2009 5:47 PM  
**To:** Lead Determinations; CPSC-OS  
**Subject:** Request for Commission Determination  
**Attachments:** NPRM Comments - Request for Determination 2-12-09final.pdf

Attached are Comments submitted in a timely fashion to the pending rulemaking referenced on their front page.

The document should is also submitted to the Office of the Secretary as a request for the Commission to exercise its regulatory authority under Section 3 of the CPSIA to determine that ordinary books and other paper-based printed materials designed and intended primarily for children inherently do not contain lead or contain lead at levels safely below those prescribed by the CPSIA.

Please confirm receipt by reply email.

Thank you

*Allan Robert Adler*

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211

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February 12 , 2009

Office of the Secretary  
Consumer Product Safety Commission, Room 502  
4330 East-West Highway  
Bethesda, MD 20814

### **Section 101 Determinations of Certain Materials or Products NPR:**

### **REQUEST TO ADD SOCKS OF TEXTILE FABRIC TO EXEMPTION PROPOSAL LIST or in the alternative, DYED OR UNDYED TEXTILES AS DESCRIBED IN THE STATEMENT OF COMMISSION ENFORCEMENT POLICY ON SECTION 101 LEAD LIMITS**

This public comment submission on - Section 101 Determinations of Certain Products NPR under the CPSIA of 2008 is made on behalf of the Domestic Sock Maker's Coalition (DSMC) by Made in USA Strategies. Made in USA Strategies is the Washington Representative of the DSMC, a group of 25 domestic sock manufacturers. This NPR was published in the Federal Register, Volume 74, No. 10/ January 15, 2009 on page 2433.

### **REQUEST**

The DSMC requests that socks of plain textile fabric, or the broader class of dyed or undyed textile fabrics as described below, be added to the list of products or materials proposed for a determination that these products or materials inherently do not contain lead in excess of the CPSIA lead limits of 600 ppm or 300 ppm, and as such are granted a permanent exclusion or exemption from the CPSIA requirements of testing and certification.

Time is of the essence to relieve the hard pressed sock industry of the extremely burdensome and continuing demands for certification and testing of all socks shipments. This proposed rule making for exempting certain products from lead content testing and certification is already in progress. By adding socks, or in the alternative, dyed and undyed textile fabric to the existing list of products already proposed by Commission staff for exemption, relief may come in time to relieve extreme hardship and prevent sock mill closings.

### **COMMISSION ACTION TO DATE**

The Commission staff has already begun to identify classes of children's products whose lead content falls consistently below the prescribed limits in the CPSIA, and socks clearly

fall into the second of the classes listed below. In the Statement of Commission Enforcement Policy on Section 101 Lead Limits, the Commission states that “The staff is not aware of a single documented case in which a product falling within one of the following classes contained lead above 300 ppm:

- Ordinary Children’s books printed after 1985
- Dyed or undyed textiles (not including leather, vinyl or PVC) and non-metallic thread or trim used in children’s apparel and other children’s fabric products such as baby blankets. This class does not include such products if: (1) they have undergone further treatment that may impart lead; (2) they are ornamented with metal, rhinestones, or other objects; or (3) they have plastic or metal fasteners with possible lead content (such as snaps, grommets, zippers, or buttons)

### **SOCK INDUSTRY BACKGROUND**

The domestic sock industry has had an unblemished record for over a hundred years without a consumer product safety issue. Yet these sock mills were tasked by the CPSIA with extremely expensive requirements with little or no justification for unique and specifically detailed certificates of compliance with the new CPSIA standards for every product shipment, as well as third party testing for lead and possibly other consumer safety standards.

On January 22, 2008, the CPSC heard testimony and received evidence from textile and apparel industry representatives, and apparel retailers regarding technical and scientific information to establish that all the components used in apparel fabric production, the fiber, yarn, dye and other processing chemicals, are inherently free of lead content that would violate the limits promulgated by the CPSIA of 2008. **After thousands of** leading retailer and other industry lead tests using both wet chemistry and XRF spectrometry analysis, only a few children’s apparel products with hard parts such as zippers, rivets, buttons or snaps failed the lead content tests.

Over 100 sock companies were surveyed by the Hosiery Technology Center of Hickory, NC for the January 22 presentation, to determine if any sock mills detected lead in their product testing, or had lead detected in their sock mill effluent which is monitored on a regular basis according to EPA and state regulations by local sewage treatment authorities. **No instances of lead detection were reported. In addition, the commercial** dyeing industry was surveyed to ascertain if any commercially available apparel dyes contained lead in violation of the CPSIA standards, and no dyes containing lead were found. Since socks are the product of a consistent production process using lead free inputs, with an impeccable consumer product safety record, socks should be exempted from the 3<sup>rd</sup> party testing and shipment certification requirements of the CPSIA. The certification requirement could be replaced with the requirement of a guarantee of compliance with the provisions and standards of the CPSIA of 2008 which could be placed on each invoice or packing list for each shipment if necessary.

Socks are by their nature, pure knit apparel products with no hard parts. Unusual socks which bear metal or non-textile decorations would not qualify for this exemption. In any case, third party testing of component parts would focus the testing on any unusual non fabric sock ornaments which might reveal lead content. Domestic sock manufacturers are already subjected to a variety of hazardous materials tests including strict regulation by the EPA and OSHA for lead and other hazardous substances.

Because of the nature of the domestic sock industry's many small shipments, the costs and complexity of the CPSIA requirement for a unique, detailed certificate of compliance to accompany each sock shipment would alone put most of these companies and their thousands of employees out of business, for no apparent consumer protection reason, at this time of extreme economic difficulty for the nation.

Most of the domestic sock companies are smaller mills that have very SKU-intensive orders and shipments, rather than the gigantic commodity socks shipments that go from China to big-box retailers. Some domestic sock mills can receive hundreds of orders a day from one of their clients, to ship socks directly to various chain store locations around the country to replenish inventory on a daily basis. Each of these shipments would require a unique certificate of compliance, to comply with the current interpretation of CPSIA. Even if these invoices are done electronically, an expensive software system would have to be rewritten to cross reference the invoice with the packing slip to render the shipment trackable. If reduced to paper, each invoice would cost \$0.09. In addition, some socks are shipped and sold in packs that contain ten colors or more, each one of which could conceivably require a separate test and certificate.

One sock mill estimates that compliance with the certification and testing requirements as originally proposed by the CPSIA would cost them over \$600,000 in the first year. Several new employees would have to be hired just to administer compliance with the certification requirements. This would drive a very successful sock mill, family owned and founded in 1920, out of business, along with several hundred employees.

There are still over 100 domestic sock mills operating in the U.S.A. There are over 10,000 sock mill employees in the U.S. and another 5,000 employees in their supply chain. Most of these domestic sock mills are located in economically distressed rural areas where the jobs and revenue streams they provide are the life blood of their communities. Exemption from the certification process for compliance with lead content testing, the Flammable Fabrics Act, and any other certification requirement flowing from the CPSIA is also absolutely necessary.

## **CONCLUSION**

The CPSC has recently taken steps announced in the Federal Register on February 9, 2009, to provide a temporary 12 month stay of enforcement, for manufacturers of certain products including the sock industry, of all CPSIA certification and testing requirements. However, a permanent remedy for the sock industry is sorely needed to alleviate continuing demands from retailers and others customers for compliance certifications despite these temporary CPSC measures.

We would also request that you give serious consideration to issuing a rule which not only grants and exemption for socks from the lead testing and certification requirements, but would also transform the CPSC's current 12 month stay of enforcement of **all** testing and certification requirements for socks of plain textile fabric to a **permanent** exemption from all these testing and certification requirements imposed by the CPSIA. Such a rule would obviate the possible need to issue a unique certification of compliance for every product shipment with the Flammable Fabrics Act (FFA), as is still demanded by some retailers even though socks are already exempt from the testing and guarantee requirements of the FFA.

Our domestic sock industry will continue to adhere to the highest standards of consumer product safety and we appreciate and support the mission of the Consumer Product Safety Commission. We also appreciate the difficulty that the language of the CPSIA of 2008 as hastily passed by Congress presents to the Commission. If you have any further questions about this submission, or the domestic sock industry, please contact Jim Schollaert, at 703-524-7197, cell-202-380-5039, e-mail address [jim.schollaert@verizon.net](mailto:jim.schollaert@verizon.net). Thank you for your attention.

Sincerely,

Jim Schollaert, Executive Director  
Made in USA Strategies

**Stevenson, Todd**

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**From:** jim schollaert [jim.schollaert@verizon.net]  
**Sent:** Thursday, February 12, 2009 5:37 PM  
**To:** Lead Determinations  
**Cc:** Falvey, Cheryl; Toro, Mary; Kim, Hyun  
**Subject:** Section 101 Determinations of Certain Materials or Products NPR - Public Comments  
**Attachments:** CPSC-DSMCSockExemption2-12-09.doc

Attached above are the public comments/requests/petition on behalf of the domestic sock industry for relief from the lead testing and certification requirements of Section 102 of the CPSIA of 2008, as well as other certification requirements. This is submitted in response to the request for public comment in the NPR published in the Federal Register on January 15, 2009 cited above.



JEWELERS  
VIGILANCE  
COMMITTEE

212

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LARRY PETTINELLI  
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STEVE SHONEBARGER  
Uljan  
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Office of the Secretary  
Consumer Product Safety Commission  
Room 502  
4330 East West Highway  
Bethesda, Maryland 20814

Via regular mail and e-mail:  
[Sec101Determinations@cpsc.gov](mailto:Sec101Determinations@cpsc.gov)

Dated: February 12, 2009

Re: Section 101 Determinations of Certain Materials or  
Products NPR

The following constitutes the comments of the Jewelers Vigilance Committee ("JVC") in response to the Federal Register Notice of January 15, 2008 regarding the Consumer Product Safety Improvement Act of 2008 (the "Act"). The JVC is an association that supports compliance with all laws pertaining to the production and sale of jewelry, with the purpose of promoting consumer confidence and safety. As such, the JVC is grateful for the opportunity to endorse the Commission's proposed rule, 16 CFR 1500.91, regarding substances used in the manufacture of fine jewelry. (Federal Register, Volume 74, No. 10, page 2433).

At section 101(a), the Act sets limits on lead content in children's products, and mandates testing of certain products. The proposed rule states that several itemized materials do not exceed the 600 ppm or 300 ppm lead content limits of the Act. These materials include precious gemstones, the semi-precious gemstones

commonly used in fine jewelry, surgical steel and precious metals and alloys. <sup>1</sup>

The JVC supports the Act, and its emphasis on protecting children from exposure to lead. We also support the proposed rule, as it correctly acknowledges that the materials used in fine jewelry do not pose a risk of lead content above the mandated levels. The rule has the practical effect of exempting children's fine jewelry from the burdensome testing requirements of section 102 of the Act that might otherwise apply. Testing would impose a great expense on the industry, not warranted by the facts.

The JVC understands fully that, whether tested or not, jewelry products must meet the lead-level requirements of the Act. We stand ready to work with all in the industry, and with the Commission, to promote compliance with this, and every, law. Thank you for the opportunity to express our support of the proposed rule and for the attention that will be afforded this response.

Sincerely,

A handwritten signature in black ink that reads "Cecilia L. Gardner". The signature is written in a cursive style and is positioned above the typed name.

Cecilia L. Gardner  
President, CEO and General Counsel

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<sup>1</sup> In reaching its conclusion, the Commission relied on a memorandum issued by Kristina M. Hatelid, PhD, M.P.H., Toxicologist, Directorate for Health Sciences, *Consumer Product Safety Improvement Act of 2008 (CPSIA); Certain Materials or Products that Do Not Exceed the Limits for Lead Content*. December 2008. In her memo, Dr. Hatelid cites to the *Toxicological Profile for Lead (Update)*, August 2007, issued by the U.S. Department of Health and Human Services, Agency for Toxic Substances and Disease Registry. The *Profile* is an exhaustive description of lead, its health effects and uses.

**Stevenson, Todd**

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**From:** suzan [suzan@jvclegal.org]  
**Sent:** Thursday, February 12, 2009 3:21 PM  
**To:** Lead Determinations  
**Subject:** Section 101 Determinations of Certain Materials or Products NPR  
**Attachments:** eComments\_CPSC\_021209.doc.pdf

Attached please find the Comments of the Jewelers Vigilance Committee, submitted in response to the Federal Register Notice of January 15, 2009, Vol. 74, No. 10 page 2433. The original and four copies have also been submitted by mail.

Thank you for your attention to our submission, and for acknowledging receipt of this email and the attached document.

*Suzan Flamm*

Assistant General Counsel  
Jewelers Vigilance Committee  
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Office of the Secretary  
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Via regular mail and e-mail:  
[Sec101Determinations@cpsc.gov](mailto:Sec101Determinations@cpsc.gov)

Dated: February 12, 2009

Re: Section 101 Determinations of Certain Materials or  
Products NPR

The following constitutes the comments of the Jewelers Vigilance Committee ("JVC") in response to the Federal Register Notice of January 15, 2008 regarding the Consumer Product Safety Improvement Act of 2008 (the "Act"). The JVC is an association that supports compliance with all laws pertaining to the production and sale of jewelry, with the purpose of promoting consumer confidence and safety. As such, the JVC is grateful for the opportunity to endorse the Commission's proposed rule, 16 CFR 1500.91, regarding substances used in the manufacture of fine jewelry. (Federal Register, Volume 74, No. 10, page 2433).

At section 101(a), the Act sets limits on lead content in children's products, and mandates testing of certain products. The proposed rule states that several itemized materials do not exceed the 600 ppm or 300 ppm lead content limits of the Act. These materials include precious gemstones, the semi-precious gemstones

commonly used in fine jewelry, surgical steel and precious metals and alloys. <sup>1</sup>

The JVC supports the Act, and its emphasis on protecting children from exposure to lead. We also support the proposed rule, as it correctly acknowledges that the materials used in fine jewelry do not pose a risk of lead content above the mandated levels. The rule has the practical effect of exempting children's fine jewelry from the burdensome testing requirements of section 102 of the Act that might otherwise apply. Testing would impose a great expense on the industry, not warranted by the facts.

The JVC understands fully that, whether tested or not, jewelry products must meet the lead-level requirements of the Act. We stand ready to work with all in the industry, and with the Commission, to promote compliance with this, and every, law. Thank you for the opportunity to express our support of the proposed rule and for the attention that will be afforded this response.

Sincerely,

A handwritten signature in black ink that reads "Cecilia L. Gardner". The signature is written in a cursive style with a long horizontal flourish at the end.

Cecilia L. Gardner  
President, CEO and General Counsel

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<sup>1</sup> In reaching its conclusion, the Commission relied on a memorandum issued by Kristina M. Hatelid, PhD, M.P.H., Toxicologist, Directorate for Health Sciences, *Consumer Product Safety Improvement Act of 2008 (CPSIA); Certain Materials or Products that Do Not Exceed the Limits for Lead Content*. December 2008. In her memo, Dr. Hatelid cites to the *Toxicological Profile for Lead (Update)*, "August 2007, issued by the U.S. Department of Health and Human Services, Agency for Toxic Substances and Disease Registry. The Profile is an exhaustive description of lead, its health effects and uses.

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February 13, 2009

*Via Electronic Mail*Office of the Secretary  
Consumer Products Safety Commission  
4330 East West Highway  
Bethesda, Maryland 20814  
[Sec101Determinations@cpsc.gov](mailto:Sec101Determinations@cpsc.gov)**Re: Section 101 Determinations of Certain Materials or Products  
NPR (74 Fed. Reg. 2433)**

Dear CPSC:

On behalf of the Leather Industries of America ("LIA"),<sup>1</sup> we are pleased to provide these comments on the Consumer Product Safety Commission's ("CPSC's" or "the Commission's") Notice of Proposed Rulemaking proposing preliminary determinations on lead content for products marketed to children.<sup>2</sup> LIA supports CPSC's preliminary determination that "untreated leather" does not exceed the lead content limits in Section 101(a) of the Consumer Product Safety Improvement Act of 2008 ("CPSIA"). Lead can only be imparted to leather products through very discreet and readily identifiable pathways. However, in limiting the preliminary determination to "untreated" leather, CPSC may inadvertently exclude *all* types and grades of leather from the preliminary determination. LIA believes that additional information on the materials and processes that would impart lead to leather would help the Commission draft a preliminary determination for the large majority of leather products that contain no lead while

<sup>1</sup> LIA, formerly the Tanners' Council of America, was formed in 1917 and is one of the oldest trade associations in the United States. LIA currently represents 45 companies engaged in the tanning and/or marketing of leather, as well as 22 companies that supply the industry. Collectively, leather tanneries in the United States (SIC Code 3111) employ approximately 4,000 employees. LIA tannery members process a variety of hides and skins into leather for use in automobile and furniture upholstery, footwear, garments, luggage, bags and other fashion accessories.

<sup>2</sup> 74 Fed. Reg. 2433 (Jan. 15, 2009).

safely segregating those few types of leather that may contain small amounts of lead due to the use of pigments.

## I. LEATHER TANNING AND LEAD

Leather is made from the tanning and processing of animal skins and hides in order to preserve them, make them pliable and supple, and to impart stylistic, decorative, and/or protective benefits. While animal hides and skins are indeed naturally-occurring, leather is not.

Animal skins and hides do not contain lead, nor is lead ever used in the tanning or processing of leather. Lead is only imparted to leather when certain lead-containing pigments are used for, "painting," coating, or supplying other surface decorations.<sup>3</sup> While the use of lead-containing pigments is the only pathway for lead to be imparted to leather, the leather itself will not contain lead. Lead is insoluble and, when applied to leather, will remain on the surface and in the coating.

It is also critical for CPSC to distinguish dyes from pigments. Dyes are commonly used in leather tanning and do not contain lead. Dyes are soluble and are designed to penetrate the leather. Pigments rest on the surface of the leather. Because lead is insoluble, dyes and pigments can never be used interchangeably. Pigments are only used to paint or coat the surface of leather and lead-containing pigments constitute a small minority of all pigments used.

Despite the infrequent use of lead-containing pigments, LIA understands that CPSC needs to draw absolute distinctions between leathers that *never* contain lead and leathers where lead may be added, albeit infrequently. The following types of leather do not, and cannot, contain lead:

1. Suede
2. Nubuck
3. Aniline leather<sup>4</sup>
4. Waxy pull-up leather
5. Full oil-tanned leather
6. Chamois leather
7. Baseball leather
8. Parchment
9. Wool-on sheepskin leather<sup>5</sup>
10. Any other leather that has a transparent finish or no finish at all.

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<sup>3</sup> Yellow and orange pigments can contain lead chromate and/or lead sulfate. Red pigments can contain lead chromate, lead sulfate, lead molybdate, or a combination thereof.

<sup>4</sup> Aniline leather is a leather with a transparent (pigment-free) surface finish or an unfinished leather.

<sup>5</sup> If unfinished on the back (non-wool) side.

## II. RECOMMENDED CLARIFICATIONS

CPSC has developed a proposed category of preliminary determinations for “Certain Natural Materials,” which requires that these materials must be “untreated and unadulterated” in any way that would lead to the addition of “materials or chemicals, including pigments, dyes coatings, finishes, or any other substance, and that do not undergo any processing that could result in the addition of lead into the product or material.” CPSC further states that this proposed preliminary determination extends only to “untreated leather.”

As drafted, these provisions would preclude use of the preliminary determination for *all leathers*. As stated above, all leather, by definition, is treated. Without treatment, hides would be nothing more than decomposing animal flesh. Treating leather requires the use of certain chemicals that do not contain lead. Leather only “contains” lead when it is coated with a lead pigment the same way *any product* would “contain” lead if coated with lead-containing pigments. As such, we recommend that CPSC clarify that the preliminary determination applies to listed “certain natural materials” so long as the material is not treated or adulterated with another lead-containing material, or by subsequent processing that results in the addition of lead. As currently drafted, the language could be interpreted as implying that all pigments, dyes, coatings, and finishes contain lead, which is not the case. Accordingly, we suggest rephrasing the provision in §1500.91(c) to read as follows (clarifying language in italics):

. . . provided that these materials have neither been treated or adulterated with the addition of *lead-containing* materials or chemicals, such as *certain* pigments, nor undergone any processing that could result in the addition of lead into the product or material. . . .

Similarly, we request that CPSC omit the reference to “untreated leather” and replace it with “leather.” Such a clarification would ensure that the determination properly extends to leather that is not treated with any lead-containing substances or processes – and would not inadvertently result in limiting the determination to raw animal hides.

We believe these clarifications would identify the “natural products” subject to the determination as intended by CPSC’s proposed language and would be superior to listing each type or grade of leather that is not coated with a pigment (and therefore does not contain lead). However, if CPSC prefers to list specific leathers, we would appreciate the opportunity to provide CPSC with a full list of leathers that do not contain lead.

## III. CONCLUSION

LIA appreciates CPSC’s proposal to create a preliminary determination of leather for purposes of CPSIA regulation. Leather is a versatile and lead-free product that has many useful applications in children’s products and other products. While we believe that CPSC intended to provide this preliminary determination to all positively-identifiable lead-free leathers, the language of the proposal would, in fact, exclude all leathers. We believe that CPSC can safely address all lead exposure concerns with modest amendments that recognize that all leathers are

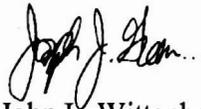
Office of the Secretary  
February 13, 2009  
Page Four

KELLEY DRYE & WARREN LLP

“treated” and that leather’s lead pathways can be readily identified, safely segregated, and fully regulated.

We appreciate the opportunity to provide CPSC with these commends and recommendations. If you have any questions, please feel free to contact John Wittenborn at 202.342.8514 or [jwittenborn@kelleydrye.com](mailto:jwittenborn@kelleydrye.com) or Joe Green at 202.342.8849 or [jgreen@kelleydrye.com](mailto:jgreen@kelleydrye.com).

Respectfully submitted,



John L. Wittenborn

Joseph J. Green

Counsel to the Leather Industries of America

## Stevenson, Todd

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**From:** Green, Joseph J. [JGreen@KelleyDrye.com]  
**Sent:** Friday, February 13, 2009 4:15 PM  
**To:** Lead Determinations  
**Cc:** Wittenborn, John  
**Subject:** Section 101 Determinations of Certain Materials or Products NPR (74 Fed. Reg. 2433) - Comments of the Leather Industries of America  
**Attachments:** CPSC Section 101 Determinations - LIA Comments.pdf

Attached please find comments submitted on behalf of the Leather Industries of America.

Please let us know if you have any questions.

Regards,

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February 13, 2009

*Via Electronic Mail*

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**Re: Section 101 Determinations of Certain Materials or Products  
NPR (74 Fed. Reg. 2433)**

Dear CPSC:

On behalf of the Specialty Steel Industry of North America (“SSINA”),<sup>1</sup> we are pleased to provide these comments on the Consumer Product Safety Commission’s (“CPSC’s” or “the Commission’s”) proposed rulemaking to establish preliminary determinations on lead content for products marketed to children.<sup>2</sup> SSINA supports the Commission’s preliminary determination that surgical steel does not exceed the lead content limits in Section 101(a) of the Consumer Product Safety Improvement Act of 2008 (“CPSIA”). In addition, these comments provide background on the stainless steelmaking process and additional information to assist CPSC in identifying the numerous grades of stainless steel that do not contain lead above CPSIA limits. Specifically, we request CPSC to determine that stainless steels classified as 200, 300, 400 or Duplex series (according to industry standards) do not contain lead at levels that exceed CPSIA limits.

<sup>1</sup> SSINA is a voluntary trade association representing virtually all of the North American production of specialty steel products, including stainless, electrical, tool, magnetic, and other alloy steels.

<sup>2</sup> 74 Fed. Reg. 2433 (Jan. 15, 2009)

## I. THE STAINLESS STEELMAKING PROCESS

Manufacturing stainless steel requires precise mixtures of metals and alloying elements, and the feedstock must be free of contaminants or tramp metals, such as lead. The primary feedstock for the stainless steelmaking process is scrap metal. At the end of their useful life, metal and metal-containing products such as automobiles, appliances, and construction and demolition scraps, are shredded into fist-sized pieces so that they can be melted into new products. Stainless steel mills often utilize several scrap suppliers and require all suppliers to adhere to exacting production specifications. The product specifications typically require extremely strict lead content limits (typically 0.005 percent or less).

The culled scrap metal is introduced into an electric arc furnace (“EAF”) (or similar furnace) in order to reduce the solids into a molten mixture. Various alloys and metals are added into the mixture depending on the type of stainless steel being produced. **Lead is not used as an intentional alloying element in any standardized stainless steel grade.**<sup>3</sup> Lead is used in certain free-machining carbon steels (“lead steels”) for which the low melting temperature of lead serves as a lubricant (a useful property, for example, for certain cutting tool steels). In contrast, with stainless steel, free-machining properties are provided by low temperature melting sulfides, rather than lead.

Lead melts at 620 degrees Fahrenheit. When melted, the molten metal picks up oxygen to form lead oxide until the metal/oxide is in balance. In the EAF (under a condition known as “oxygen partial pressure”), lead oxide will start to form gas bubbles at a temperature between 1320 and 2100 degrees (F). As soon as the stainless steel becomes liquid (at about 2600 degrees (F)) in the furnace, the gas bubbles will try to emerge to the surface. The subsequent refining stages – converter or vacuum processes – assist in purging the lead oxide “bubbles” from the molten steel.

The typical converter process is known as argon oxygen decarburization (“AOD”).<sup>4</sup> The AOD unit is unique to stainless steelmaking and is designed to purify the steel by efficiently removing impurities, including any tramp lead, that may be present in the molten steel. During the three-step AOD process, superheated gases, including oxygen, are introduced to the molten steel in order to reduce carbon and to burn off volatiles such as lead. The boiling and vaporization level of lead is substantially lower than that of steel and, in high heat environments such as the EAF and the AOD, lead is literally vaporized into the air, where it is captured by the facility’s air pollution control equipment and disposed of in accordance with waste regulations. The introduction of oxygen is used to oxidize all the carbon into carbon dioxide. Any remaining lead reacts similarly and converts to a lead oxide that vents to a baghouse from the AOD exhaust.

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<sup>3</sup> The chemical composition tables for various grades of stainless steel presented below show that lead is not a constituent of stainless steels.

<sup>4</sup> Other converter process units include CLU, K-OBM-S, MRP, ASM, SFR, and BOF converters.

SSINA obtained information on the two most commonly used AODs in the stainless steel industry. Both of these units, produced by Whiting Equipment Canada, Inc. (“Whiting”) and Praxair, guarantee lead removal to less than 0.001 percent.<sup>5</sup> Seventy-five percent of the world’s stainless steel is purified in a Praxair AOD and Whiting has produced more than 70 percent of North American AODs. If CPSC desires, SSINA can provide similar information on remaining AODs in use in the stainless steel industry. Regardless, all AODs in the stainless steel industry must operate with similar efficiency. If these units cannot remove impurities, such as lead, with the same efficiency as the Whiting or the Praxair models, then, by definition, they are not producing “stainless steel” to the required customer specifications.

The other most prominent type of refining operation involves vacuum processes. Under vacuum pressure, lead/lead oxide will start to gasify at 1320 degrees (F), *i.e.*, long before the stainless steel becomes molten (at about 2600 degrees (F)). As soon as the stainless steel is liquid, the gas bubbles will start a boil and emerge to the surface. Like the AOD process, vacuum melting and/or refining guarantees very low lead content. Typical vacuum processes include vacuum arc remelting (“VAR”), vacuum oxygen decarburization (“VOD”), and vacuum induction melting (“VIM”), as well as electron beam.

Lead removal and minimization is critical in stainless steelmaking due to the detrimental effect lead has on “hot ductility” – that is, the ability of the product to undergo subsequent forging and hot rolling into various shapes and sizes. Serious production problems arise, in forging and hot rolling, if lead levels exceed 5 ppm. Accordingly, stainless steel manufacturers keep a close watch to ensure that lead levels are minimized in not only the EAF and secondary refining processes, but also in subsequent stages (such as transport in the tundish and during casting operations).

In sum, stainless steel manufacture involves secondary refining processes, such as the AOD and vacuum processes described above, intended to fine tune the alloy chemistry and, most importantly for CPSIA purposes, remove any remaining impurities from the molten steel alloy. This is necessary in order to produce the product to the exacting specifications for the particular alloy. As shown in the comments below, each type of stainless steel has very detailed specifications which prescribe the chemical composition necessary for the intended application of the particular alloy.

## II. LEAD AND STAINLESS STEEL

Lead is not an intentionally added ingredient of stainless steel. When present, it is as an impurity that can be carried into the molten steel bath from the scrap feedstock. However, even when lead finds its way into the feedstock, the EAF and AOD volatilize and oxidize nearly all the lead so that the finished stainless steel product is virtually lead free and below even the strictest CPSIA limits (0.01 % or 100 ppm).

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<sup>5</sup> Please see attached data sheets from manufacturers.

Stainless steel is set apart from all other steels because of its strength and anticorrosive properties. Those anticorrosive properties do not occur in nature or by accident, but are the result of precise chemistry and exacting purification. The reality of scrap-based stainless steelmaking is that, while small amounts of lead can find its way into the feedstock, the fundamental chemistry of the production process requires an intense purification environment in which, through evaporation, vaporization, and oxidation, lead simply cannot be carried through to the final product above CPSIA limits.

We have attached a compendium of several thousand data points collected by one SSINA member from 1998-2008 showing the lead content analysis of various grades of stainless steels (including representative samples from each of the four major types of stainless steel – 200, 300, 400, and Duplex series).<sup>6</sup> The data show that lead may be present at levels around 1 ppm, with the highest detected level at 9.1 ppm (or 0.00091%).

### III. CLARIFICATION OF “SURGICAL STEEL”

“Surgical steel,” for which CPSC has appropriately proposed to make a preliminary determination of compliance with the CPSIA limits, is a form of “stainless steel” that is especially well-suited to surgical applications. The same properties which make it popular in the operating room have also led to demand for surgical steel in body piercing jewelry and body modification implants. Several steel manufacturers produce surgical steel, with numerous companies making a range of surgical steel products of varying degrees of hardness and tensile strength, depending on the precise ingredients in the alloy needed.

Most surgical instruments are made from martensitic steel, a grade of stainless steel that is known for its exceptional hardness, as well as corrosion resistance. Softer austenetic surgical steel typically is used for surgical implants, as well as body piercings and similar jewelry. The most common austenetic grades used for these purposes are 316L and 316 LVM.

“Surgical steel” grades also are commonly employed in food preparation, pharmaceutical manufacturing, and similar environments where strength and corrosion resistance are important.

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<sup>6</sup> The attached summary of data points uses a unique “grade code” to identify the type of stainless steel. Generally, those codes correspond as follows to the traditional 200, 300, 400, and Duplex Series steels discussed below:

. 1xxx: 300 series

2xxx: 300 series

5xxx: 400 series

6xxx: Duplex series

7xxx: 200 series

In short, “surgical steel” is a generic name that encompasses a variety of possible grades of stainless steel. Further explanation of these grades is provided below.

#### **IV. REQUEST FOR EXTENSION OF PRELIMINARY DETERMINATION TO ADDITIONAL STAINLESS STEELS**

The same intense purification processes that produce surgical steels with lead contents well below CPSIA limits is used in all stainless steel production. Stated simply, lead will not be present in amounts close to or above even the strictest CPSIA limit (0.01 percent or 100 ppm) in stainless steel products where lead is not an intentionally added ingredient. As noted above, only small amounts of lead enter the feedstock as a contaminant and intense purification processes guarantee lead removal to less than 0.001 percent. The attached data show that, in practice, lead may only be present at trace levels (~1 ppm) in stainless steels.

Accordingly, SSINA requests CPSC to make a preliminary determination that the common grades of stainless steels identified below – 200, 300, 400 and Duplex series stainless steels – do not contain lead in amounts that exceed CPSIA limits (the 100 ppm limit, as well as the interim 600 ppm and 300 ppm limits).<sup>7</sup>

Stainless steels are iron-based alloys that contain at least 10.5 percent chromium. There are over 100 grades of “stainless steel.” Several methods are commonly used to identify stainless steels, including classification by:

1. Metallurgical structure — austenitic, ferritic, martensitic, precipitation hardening, or duplex;
2. The American Iron and Steel Institute (“AISI”) and The Society of Automotive Engineers (“SAE”) joint numbering system — namely 200, 300, and 400 series numbers (AISI stopped issuing numbers in 1995 but their numbers live on as common names);
3. The Unified Numbering System, which ASTM and SAE developed to apply to all commercial metals and alloys; and
4. Trade names — generally used with proprietary or special analysis stainless steels.

For purposes of these comments, we are focused on the four major stainless steel grades – austenitic, ferritic, martensitic, and duplex – and, for purposes of issuing a preliminary determination of compliance with CPSIA limits, recommend identifying the stainless steels according to their AISI/SAE numbering system series and the Duplex numbering system.

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<sup>7</sup> As specified in the proposed rule, the preliminary determination for stainless steels would assume that no lead is intentionally added, which, as described above, is the case with stainless steels.

Following is a characterization of each group and identification of the four major grades of stainless steels noted above. Following each description is a chart with the chemical composition of the common types of stainless steel under each category (with SAE, UNS and AISI numbering codes). The specifications make clear that lead is not an intentionally added element for any of these types of stainless steel. Moreover, as detailed above, the stainless steel manufacturing process ensures that tramp lead from the scrap supply is minimized to a content level of no more than 0.001%.

**Austenitic** stainless steels are those containing chromium, nickel and, sometimes, manganese as the principal alloying elements. They are identified as 200 series or 300 series types. Type 304 is the most commonly specified austenitic stainless steel, accounting for more than half of the stainless steel produced in the world. Type 304 is available in virtually all product forms and finishes. It is often known as “18-8 stainless steel” (which refers to 18 percent chromium, 8 percent nickel). There are numerous variations of Type 304, involving the adjustment or addition of various alloying elements to impart specific desired properties. For example, (1) the chromium/nickel ratio is modified to create Types 301 and 305; (2) the carbon content is decreased for Types 304L and 316L; (3) columbium or titanium are added for Types 347 and 321; (4) molybdenum is added or the chromium and nickel contents increased in Types 309, 310, 316 and 317; (5) sulfur is adjusted in Type 303; and (6) nitrogen content is increased in Types 304N and 316N.

Type 316, including 316L, is another of the most common types of austenitic stainless steels, and typically contains 2-3% molybdenum. The inclusion of molybdenum gives 316 greater resistance to various forms of deterioration.

The 200 series stainless steels typically involve the replacement of some of the nickel content with manganese. In other respects, the 200 series, particularly Types 201, 202, 203, and 205, are counterparts to the 300 series Types 301, 302, 303, and 305.

**Standard 200 series austenitic grades**

Designation			Chemical composition % by mass max unless stated								
UNS No	SAE No	Common Name	C	Si	Mn	P	S	Cr	Mo	Ni	Others
S20100	30201	201	0.15	1.00	5.50/7.50	0.060	0.030	16.0/18.0	-	3.50/5.50	N 0.25
S20103	-	-	0.03	0.75	5.5/7.5	0.045	0.030	16.0/18.0	-	3.5/5.5	N 0.25
S20153	-	-	0.03	0.75	6.4/7.5	0.045	0.015	16.0/17.5	-	4.0/5.0	N 0.10/0.25; Cu 1.00
S20161	-	Gall-Tough	0.15	3.0/4.0	4.0/6.0	0.040	0.040	15.0/18.0	-	4.0/6.0	N 0.08/0.20
S20162	-	-	0.15	2.5/4.5	4.0/8.0	0.040	0.040	16.5/21.0	0.05/0.25	6.0/10.0	N 0.05/0.25

S20200	30202	202	0.15	1.00	7.50/10.0	0.060	0.030	17.0/19.0	-	4.00/6.00	N 0.25
S20300	-	XM-1 (203EZ)	0.08	1.00	5.0/6.5	0.045	0.18/0.35	16.0/18.0	-	5.0/6.5	Cu 1.75/2.25
S20400	-	-	0.030	1.00	7.0/9.0	0.040	0.030	15.0/17.0	-	1.50/3.00	N 0.15/0.30
S20500	-	205	0.12/0.25	1.00	14.0/15.5	0.060	0.030	16.5/18.0	-	1.0/1.7	N 0.32/0.40
S20910	-	XM-19 (Nitronic 50)	0.06	0.75	4.0/6.0	0.040	0.030	20.5/23.5	1.50/3.00	11.5/13.5	Nb 0.10/0.30; V 0.10/0.30
S21400	-	XM-31 (Tenelon)	0.12	0.30/1.00	14.0/16.0	0.045	0.030	17.0/18.5	-	1.00	-
S21460	-	XM-14	0.12	0.75	14.0/16.0	0.060	0.030	17.0/19.0	-	5.0/6.0	N 0.35/0.50
S21600	-	XM-17 (216)	0.08	0.75	7.5/9.0	0.045	0.030	17.5/22.0	2.00/3.00	5.0/7.0	N 0.25/0.50
S21603	-	XM-18 (216L)	0.03	0.75	7.5/9.0	0.045	0.030	17.5/22.0	2.00/3.00	5.0/7.0	N 0.25/0.50
S21800	-	Nitronic 60	0.10	3.5/4.5	7.0/9.0	0.060	0.030	16.0/18.0	-	8.0/9.0	N 0.08/0.18
S21900	-	21-6-9 (Nitronic 40)	0.08	1.00	8.00/10.00	0.045	0.030	19.0/21.50	-	5.50/7.50	N 0.15/0.40
S21904	-	XM-11 (Nitronic 40)	0.04	1.00	8.00/10.00	0.045	0.030	19.00/21.50	-	5.50/7.50	N 0.15/0.40
S24000	-	XM-29 (Nitronic 33)	0.08	0.75	11.5/14.5	0.060	0.030	17.0/19.0	-	2.3/3.7	N 0.20/0.40
S24100	-	18-2Mn (Nitronic 32)	0.15	1.00	11.0/14.0	0.045	0.030	16.5/19.00	-	0.50/2.50	N 0.20/0.45
S28200	-	18-18 Plus	0.15	1.00	17.00/19.00	0.045	0.030	17.00/19.00	0.75/1.25	-	N 0.40/0.60; Cu 0.75/1.25

**Standard 300 series austenitic grades**

Designation			Chemical composition % by mass max unless stated								
UNS No	SAE No	Common Name	C	Si	Mn	P	S	Cr	Mo	Ni	Others
S30100	30301	301	0.15	1.00	2.00	0.045	0.030	16.0/18.0	-	6.00/8.00	N 0.10
S30103	-	301L	0.03	1.00	2.00	0.045	0.030	16.0/18.0	-	6.00/8.00	N 0.20

S30153	-	301LN	0.03	1.00	2.00	0.045	0.030	16.0/18.0	-	6.00/8.00	N 0.07/0.20
S30200	30302	302	0.15	0.75	2.00	0.045	0.030	17.0/19.0	-	8.00/10.0	-
S30215	30302B	302B	0.15	2.00/3.00	2.00	0.045	0.030	17.0/19.0	-	8.00/10.0	-
S30300	30303	303	0.15	1.00	2.00	0.20	0.15 min	17.0/19.0	0.60	8.00/10.0	Zr 0.60
S30310	-	XM-5 (303Plus x)	0.15	1.00	2.50/4.50	0.20	0.25 min	17.00/19.00	-	7.00/9.00	-
S30323	30303Se	303Se	0.15	1.00	2.00	0.20	0.060	17.0/19.0	-	8.00/10.0	Se 0.15 min
S30330	-	303Cu	0.15	1.00	2.00	0.15	0.10 min	17.00/19.00	-	6.00/10.00	Cu 2.5/4.00; Se 0.10
S30400	30304	304	0.08	0.75	2.00	0.045	0.030	18.0/20.0	-	8.00/10.5	-
S30403	30304L	304L	0.03	0.75	2.00	0.045	0.030	18.0/20.0	-	8.00/12.0	-
S30430	-	302HQ	0.03	1.00	2.00	0.045	0.030	17.0/19.0	-	8.0/10.0	Cu 3.0/4.0
S30452	-	XM-21 (304HN)	0.08	1.00	2.00	0.045	0.030	18.0/20.0	-	8.00/10.00	N 0.16/0.30
S30453	-	304LN	0.030	0.75	2.00	0.045	0.030	18.0/20.0	-	8.00/12.0	N 0.10/0.16
S30454	-	-	0.03	1.00	2.00	0.045	0.030	18.0/20.0	-	8.0/11.0	N 0.16/0.30
S30500	30305	305	0.12	1.00	2.00	0.045	0.030	17.0/19.0	-	10.5/13.0	-
S30800	30308	308	0.08	1.00	2.00	0.045	0.030	19.0/21.0	-	10.0/12.0	-
S31600	30316	316	0.08	1.00	2.00	0.045	0.030	16.0/18.0	2.00/3.00	10.0/14.0	-
S31603	30316L	316L	0.03	1.00	2.00	0.045	0.030	16.0/18.0	2.00/3.00	10.0/14.0	-
S31635	-	316Ti	0.08	0.75	2.00	0.045	0.030	16.0/18.0	2.00/3.00	10.0/14.0	Ti 5x (C+N) / 0.70
S31640	-	316Cb	0.08	0.75	2.00	0.045	0.030	16.0/18.0	2.00/3.00	10.0/14.0	Nb 10x C / 1.10
S31653	-	316LN	0.03	0.75	2.00	0.045	0.030	16.0/18.0	2.00/3.00	10.0/14.0	N 0.10/0.16
S31700	30317	317	0.08	1.00	2.00	0.045	0.030	18.0/20.0	3.00/4.00	11.0/15.0	-
S31703	-	317L	0.030	0.75	2.00	0.045	0.030	18.0/20.0	3.00/4.00	11.0/15.0	N 0.10
S31725	-	317LM	0.030	0.75	2.00	0.045	0.030	18.0/20.0	4.0/5.0	13.5/17.5	N 0.20
S31726	-	317LMN	0.030	0.75	2.00	0.045	0.030	17.0/20.0	4.0/5.0	13.5/17.5	N 0.10/0.20
S31753	-	317LN	0.030	0.75	2.00	0.045	0.030	18.0/20.0	3.0/4.0	11.0/15.0	N 0.10/0.20
S32100	30321	321	0.08	1.00	2.00	0.045	0.030	17.0/19.0	-	9.00/12.0 5	Ti 5 x C min

S34700	30347	347	0.08	1.00	2.00	0.045	0.030	17.0/19.0	-	9.00/13.0	Nb+Ta 10 x C min
S34720	-	347F	0.08	1.00	2.00	0.040	0.18/0.35	17.00/19.00	0.75	9.00/12.00	Nb 10xC / 1.10; Cu 0.75; Ta 0.05
S34723	-	347FSe	0.08	1.00	2.00	0.11/0.17	0.030	17.00/19.00	0.75	9.00/12.00	Nb 10xC / 1.10; Cu 0.75; Se 0.15/0.35; Ta 0.05
S34800	30348	348	0.08	0.75	2.00	0.045	0.030	17.0/19.0	-	9.00/13.0	Nb+Ta 10 x C / 1.00; Ta:0.10 max; Co 0.20
S38400	30384	384	0.08	1.00	2.00	0.045	0.030	15.0/17.0	-	17.0/19.0	-

**Ferritic** stainless steels are identified by 400 series numbers, and are characterized by chromium as the predominant alloying element. The base composition is Type 430, with nominally 17 percent chromium. The free-machining ferritic is Type 430F. Other ferritic stainless steels include those with about 18 percent chromium, such as Type 444, and those with more than 18 percent chromium.

Type 430 is the most widely used ferritic stainless steel, offering general-purpose corrosion resistance, often in decorative applications.

Type 409 is another common form of ferritic stainless steel suitable for high temperatures. This grade has the lowest chromium content of all stainless steels and thus is the least expensive.

**Standard ferritic grades**

Designation			Chemical composition % by mass max unless stated								
UNS No	SAE No	Common Name	C	Si	Mn	P	S	Cr	Mo	Ni	Others
S18200	-	XM-34 (18- 2FM)	0.08	1.00	2.50	0.04	0.15 min	17.5/19.5	1.50/2.50	-	-
S18235	-	-	0.025	1.00	0.50	0.030	0.15/0.35	17.5/18.5	2.00/2.50	1.00	N 0.025; (C+N) 0.035; Ti 0.30/1.00
S40300	51403	403	0.15	0.50	1.00	0.040	0.030	11.5/13.0	-	-	-
S40500	51405	405	0.08	1.00	1.00	0.040	0.030	11.5/14.5	-	-	Al 0.10/0.30

S40800	-	-	0.08	1.00	1.00	0.045	0.045	11.5/13.0	-	0.50	Ti 12xC/1.10
S40900	51409	409	0.08	1.00	1.00	0.045	0.045	10.5/11.75	-	0.50	Ti 6xC/0.75
S40910	-	-	0.030	1.00	1.00	0.040	0.020	10.5/11.7	-	0.50	N 0.030; Ti: 6x(C+N)/0.50; Nb 0.17
S40920	-	-	0.030	1.00	1.00	0.040	0.020	10.5/11.7	-	0.50	N 0.030; Ti 0.15/0.50; Nb 0.10
S40930	-	-	0.030	1.00	1.00	0.040	0.020	10.5/11.7	-	0.50	N 0.030; (Ti+Nb) [0.08+8x(C+N)]/0.75; Ti 0.05
S40945	-	-	0.030	1.00	1.00	0.040	0.030	10.5/11.7	-	0.50	N 0.030; Ti 0.05/0.20; Nb 0.18/0.40
S40975	-	-	0.030	1.00	1.00	0.040	0.030	10.5/11.7	-	0.50/1.00	N 0.030; Ti: 6x(C+N)/0.75
S40976	-	-	0.030	1.00	1.00	0.040	0.030	10.5/11.7	-	0.75/1.00	N 0.040; Nb 10x(C+N)-0.80
S40977	-	-	0.030	1.00	1.00	0.040	0.015	10.5/12.5	-	0.30/1.00	N 0.030
S41003	-	-	0.030	1.00	1.50	0.040	0.030	10.5/12.5	-	1.50	-
S41008	-	410S	0.08	1.00	1.00	0.040	0.030	11.5/13.5	-	-	-
S41045	-	-	0.030	1.00	1.00	0.040	0.030	12.0/13.0	-	0.50	N 0.030; Nb 9x(C+N)/0.60
S41050	-	-	0.04	1.00	1.00	0.040	0.030	10.5/12.5	-	0.60/1.10	N 0.10
S41500	-	-	0.05	0.60	0.50/1.00	0.030	0.030	11.5/14.0	0.50/1.00	3.5/5.5	-
S41603	-	-	0.08	1.00	1.25	0.06	0.15min	12.0/14.0	-	-	-
S42035	-	-	0.08	1.00	1.00	0.045	0.030	13.5/15.5	0.2/1.2	-	Ti 0.30/0.50
S43000	51430	430	0.12	1.00	1.00	0.040	0.030	16.0/18.0	-	-	-
S43020	51430F	430F	0.12	1.00	1.25	0.060	0.15 min	16.0/18.0	0.60	-	-
S43023	51430FSe	430FSe	0.12	1.00	1.25	0.060	0.060	16.0/18.0	-	-	Se 0.15
S43036	-	430Ti	0.10	1.00	1.00	0.040	0.030	16.00/19.50	-	0.75	Ti 5xC/0.75
S43400	51434	434	0.08	1.00	1.00	0.040	0.030	16.0/18.0	0.75/1.25	-	-
S43600	51436	436	0.08	1.00	1.00	0.040	0.030	16.0/18.0	0.75/1.25	-	Nb+Ta 5xC/0.70
S44200	51442	442	0.20	1.00	1.00	0.040	0.035	18.0/23.0	-	-	-

**Martensitic** stainless steels are chromium-based alloys bearing AISI 400 series numbers, but having a carbon-to-chromium ratio higher than the ferritic group. Type 410 is the most widely used martensitic stainless steel, featuring a high level of strength. It is a low-cost, heat-treatable grade suitable for non-severe corrosion applications. Some of the martensitics have been modified to improve machinability, such as Types 416, 420F, and 440F.

**Martensitic and precipitation hardening grades**

Designation			Chemical composition % by mass max unless stated								
UNS No	SAE No	Common Name	C	Si	Mn	P	S	Cr	Mo	Ni	Others
S13800	-	XM-13 PH13- 8Mo	0.05	0.10	0.20	0.010	0.008	12.25/13.25	2.00/2.50	7.50/8.50	N 0.01; Al 0.90/1.35
S15500	-	XM-12 15-5PH	0.07	1.00	1.00	0.040	0.030	14.0/15.5	-	3.50/5.50	Cu 2.5/4.5; Nb 0.15/0.45
S15700	-	632 15- 7PH	0.09	1.00	1.00	0.040	0.030	14.00/16.00	2.00/3.00	6.50/7.25	Al 0.75/1.50
S17400	-	630 17- 4PH	0.07	1.00	1.00	0.040	0.030	15.5/17.5	-	3.00/5.00	Cu 3.00/5.00; Al 0.15/0.45
S17600	-	635	0.08	1.00	1.00	0.040	0.030	16.00/17.50	-	6.00/7.50	Al 0.40; Ti 0.40/1.20
S17700	-	631 17- 7PH	0.09	1.00	1.00	0.040	0.040	16.00/18.00	-	6.50/7.75	Al 0.75/1.50
S35000	-	633	0.07/0.11	0.50	0.50/1.25	0.040	0.030	16.00/17.00	2.50/3.25	4.00/5.00	N 0.07/0.13
S35500	-	634	0.10/0.15	0.50	0.50/1.25	0.040	0.030	15.00/16.00	2.50/3.25	4.00/5.00	N 0.07/0.13
S41000	51410	410	0.15	1.00	1.00	0.040	0.030	11.5/13.5	-	-	-
S41040	-	XM-30	0.18	1.00	1.00	0.040	0.030	11.0/13.0	-	-	Nb 0.05/0.30
S41400	51414	414	0.15	1.00	1.00	0.040	0.030	11.5/13.5	-	1.25/2.50	-
S41500	-	-	0.05	0.60	0.50/1.00	0.030	0.030	11.5/14.0	0.50/1.00	3.5/5.5	-
S41600	51416	416	0.15	1.00	1.25	0.060	0.15 min	12.0/14.0	0.60	-	Zr 0.60
S41610	-	XM-6 (416 Plus X)	0.15	1.00	1.50/2.50	0.06	0.15 min	12.0/14.0	-	-	-
S41623	51416Se	416Se	0.15	1.00	1.25	0.060	0.060	12.0/14.0	-	-	Se 0.15 min
S42000	51420	420	0.15 min	1.00	1.00	0.040	0.030	12.0/14.0	-	-	-
S42010	-	Trim Rite	0.15/0.30	1.00	1.00	0.040	0.030	13.5/15.00	0.40/0.85	0.35/0.85	-
S42020	51420F	420F	0.15 min	1.00	1.25	0.060	0.15 min	12.0/14.0	0.60	-	-
S42023	51420FSe	420FSe	0.30/0.40	1.00	1.25	0.060	0.060	12.0/14.0	-	-	Se 0.15 min
S42900	51429	429	0.12	1.00	1.00	0.040	0.030	14.0/16.0	-	-	-
S43100	51431	431	0.20	1.00	1.00	0.040	0.030	15.0/17.0	-	1.25/2.50	-
S44002	51440A	440A	0.60/0.75	1.00	1.00	0.040	0.030	16.0/18.0	0.75	-	-
S44003	51440B	440B	0.75/0.95	1.00	1.00	0.040	0.030	16.0/18.0	0.75	-	-
S44004	51440C	440C	0.95/1.20	1.00	1.00	0.040	0.030	16.0/18.0	0.75	-	-
S44020	51440F	440F	0.95/1.20	1.00	1.25	0.060	0.15 min	16.0/18.0	0.75	-	Zr 0.75

S44023	51440FSe	440FSe	0.95/1.20	1.00	1.25	0.060	0.060	16.0/18.0	-	-	Se 0.15 min
S45000	-	XM-25 Custom 450	0.05	1.00	1.00	0.030	0.030	14.00/16.00	0.50/1.00	5.00/7.00	Cu 1.25/1.75; Nb 8xC min
S45500	-	XM-16 Custom 455	0.05	0.50	0.50	0.040	0.030	11.00/12.50	0.50	7.50/9.50	Cu 1.50/2.50; Nb 0.10/0.50
S45503	-	-	0.010	0.20	0.50	0.010	0.010	11.00/12.50	0.50	7.50/9.50	Cu 1.50/2.50; Ti 1.00/1.35
S46500	-	-	0.02	0.25	0.25	0.015	0.010	11.00/12.50	0.75/1.25	10.75/11.25	Mo 0.75/1.25; Ti 1.50/1.80
S66286	-	A286	0.08	1.00	2.00	0.040	0.030	13.50/16.00	1.00/1.50	24.0/27.0	Al 0.35; B 0.0010/0.010; Ti 1.90/2.35; V 0.10/0.50

**Duplex** stainless steels are a mixture of the 300 and 400 series. They have a structure that is roughly 50% austenite and 50% ferrite. The duplex grades typically involve replacement of some of the nickel with nitrogen. The duplex structure provides some unique properties, including added strength and increased resistance to corrosion. The most commonly used alloy the duplex series is 2205, UNS S32205, which has been widely used for more than 30 years.

The duplex grades are produced in the same metallurgical equipment as previously described. Due to their duplex structure, these alloys have an inherent "hot ductility" problem. For this reason it is extremely important that lead content be minimized (for the reasons discussed above); thus the lead content of these alloys is always below 0.0005%.

Designation			Chemical composition % by mass max unless stated								
UNS No	SAE No	Common Name	C	Si	Mn	P	S	Cr	Mo	Ni	Others
S31803		2205	0.030	1.0	2.0	0.035	0.020	21.0/23.0	2.5/3.5	4.5/6.5	N 0.08/0.20
S32001		Nitronic 19 D	0.030	1.0	4.0/6.0	0.040	0.030	19.5/21.5	0.60	1.0/3.0	N 0.05/0.17 Cu 1.0
S32003		ATI 2003	0.030	1.0	2.0	0.030	0.020	19.5/22.5	1.50/2.0	3.0/4.0	N 0.14/0.20
S32101		LDX 2101	0.040	1.0	4.0/6.0	0.040	0.030	21.0/22.0	0.1/0.8	1.25/1.7	N 0.20/0.25 Cu 0.10/0.8
		ATI 2102									
S32202		2202									
S32205		2205	0.030	1.0	2.0	0.035	0.020	22.0/23.0	3.0/3.5	4.5/6.5	N 0.14/0.20
S32304		2304	0.030	1.0	2.5	0.040	0.030	21.5/24.5	0.05/0.6	3/5.5	N 0.05/0.20 Cu 0.05/0.60
S32750		2705	0.030	0.8	1.2	0.035	0.02	24.0/26.0	3.0/5.0	6.0/8.0	N 0.24/0.32 Cu 0.5

**V. CONCLUSION**

For the foregoing reasons, SSINA requests the CPSC to issue a preliminary determination that 200, 300, 400 and Duplex series stainless steels will not contain lead at levels above the CPSIA limits. If you have any questions or would like additional information, please do not hesitate to contact Joe Green at (202) 342-8849 or [JGreen@KelleyDrye.com](mailto:JGreen@KelleyDrye.com).

Sincerely,



Joseph J. Green  
Wayne D'Angelo  
Counsel to the Specialty Steel Industry of North  
America

Attachments



## Improving Quality and Productivity with AOD

Invented in 1954, Praxair's proprietary argon oxygen decarburization (AOD) process was first used exclusively for stainless steel production; now it is used to produce military grades, tool steels, carbon and low-alloy steels, nickel grades, cobalt grades, and superalloys.

Today, well over 75 percent of the world's stainless is made using the Praxair AOD process, and this number continues to increase.

### The Process

AOD is part of a duplex process in which scrap or virgin raw materials are melted in an electric arc furnace (EAF) or induction furnace and subsequently decarburized and refined in a special AOD vessel. Controlled injection of oxygen mixed with argon or nitrogen decarburizes the molten metal with a minimum of unwanted metallic oxidation.

Deoxidation, desulfurization (and, in the case of low-alloy steels, dephosphorization), and recovery of desirable metals from the slag are carried out in the AOD vessel. Degassing, homogenization, and inclusion flotation proceed during various stages of the process to produce a clean and uniform product.

Praxair's AOD process uses a trunnion-mounted, open-mouthed vessel lined with refractory brick. Oxygen and an inert gas (argon or nitrogen) are injected through underbath tuyeres located in the vessel's side wall and a top lance. Heat generation results from controlled oxidation of the bath components, and no external heat source is used or required.

The molten metal is initially blown with a high ratio of oxygen-to-inert gas. As the carbon content of the bath decreases, the oxygen-to-inert gas ratio is lowered. Dilution of the oxygen by the inert gas lowers the partial pressure of carbon monoxide in the bubbles within the bath, which favors carbon removal. As a result, carbon removal increases while metallic oxidation diminishes.

All of the tuyere-injected oxygen reacts with the bath; none leaves the vessel unreacted. By monitoring and recording the oxygen consumption during the blow, very close control of endpoint carbon is achieved.

Because the bulk of oxygen and inert gases in the later stages of decarb and finishing are introduced below the bath surface and at high velocity, excellent bath mixing and intimate slag-metal contact occur.



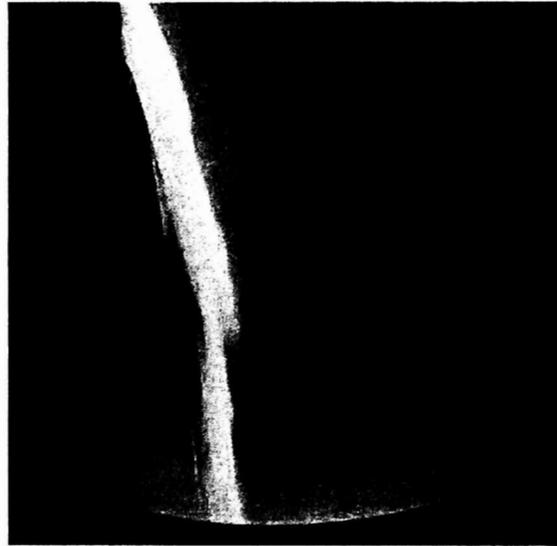
- High-metallic yields
- Considerably lower material cost due to the ability to use less expensive high-carbon ferro alloys, sulfur-bearing scrap and alloys, low-quality scrap, and returns as raw materials
- Pinpoint process control accuracy in achieving desired aim chemistries, with precise control of carbon to 0.01 percent and lower
- Rapid desulfurization to less than 0.001 percent
- Lead removal to less than 0.001 percent
- Cleaner metal, with residual oxygen, nitrogen, and hydrogen comparable to those achieved in vacuum degassing
- Increased productivity capacity from a relatively small capital investment

As a result, the rates of all chemical processes that take place within the vessel are greatly improved. These include:

- Desulfurization to very low levels (under 0.001 percent), generally in less than five minutes.
- Increased carbon removal efficiency, allowing lower carbon specifications without excessive metallic oxidation.
- Efficient slag reduction, with stoichiometric amounts of silicon or aluminum to recover 98 to 100 percent of most metallics.

A major benefit of the process is the degassing effect of the combination of the inert gas introduced through the tuyeres and the carbon monoxide generated during decarburization. Low amounts of residual oxygen, nitrogen, and hydrogen are achieved without additional processing or expensive degassing equipment.

Praxair's AOD system includes one or more unlined vessels, trunnion ring and drive system, top lance, sampling platform, and a complete auto/manual gas control system. Systems can be sized to meet nearly every need.



Currently, about 130 AOD vessels are in operation worldwide, with heat sizes ranging from one to 160 metric tons (one to 175 tons).

In addition to the equipment supplied as part of the AOD system, the foundry or mill needs a crane of proper capacity to lift an empty, fully-lined vessel, a fume control system, and facilities for supplying gas and gas and power requirements



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**Whiting Equipment Canada Inc.**  
**70/85 ton AOD Converter System**

---



On August 9, 1998, a 70/85 nominal ton AOD converter system poured its first heat at Atlas Specialty Steels, Wetland, Ontario, Canada. The system is based upon Praxair's Argon Oxygen Decarburization (AOD) process for the production of high quality stainless steel, superior castings, low alloy or specialty steels.

This turnkey project carried out by Whiting Equipment Canada Inc. proves the high degree of flexibility of the process and its success in meeting the high quality standards set out by Atlas Specialty Steels.

## Technical Specifications of the Installation

### Converter

**Number of Vessels Supplied:**

- three (3) – Figure 1

**Nominal Capacity:**

- 70 tons

**Capacity Range:**

- 55 tons to 85 tons

**Inner Diameter:**

- 14'-0"

**Vessel Height (including cover):**

- 20'-4<sup>3</sup>/<sub>8</sub>"

**Vessel Weight Excluding Lining:**

- 75,900 lbs.

**Tilting Drive:**

- two (2) 52.5 hp dc mill motors,
- two (2) nitrogen driven backup motors

**Rational Speed:**

- zero to 1 rpm variable

**Number of Tuyeres:**

- five (5)

**Trunnion Bearing Center Distance:**

- 19'-7<sup>1</sup>/<sub>2</sub>" – Figure 2

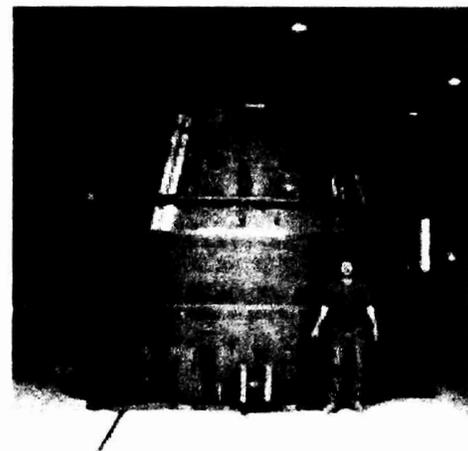


Figure 1: AOD vessel bottom section under construction in Whiting's Welland fabrication shop



Figure 2: Trunnion ring being lifted into position

### Motorized Operator's Platform

**Function:**

- a chemistry, temperature samples and preheating platform

**Drive Systems:**

- 5 hp dc

**Dimensions (approximate):**

- 27'-0" high x 16'-0" long

### Oxygen Lance

**Function:**

- rapid decarburization

**Cooling:**

- water

**Movement:**

- horizontal 21'-0"; vertical 9'-0"

**Hoist Drive:**

- 20 hp

### Motorized Fume Hood

**Function:**

- capture process fumes

**Cooling:**

- refractory lined, air cooled

**Drive:**

- 10 hp

**Movement:**

- horizontal 21'-0"

**Capture Mode:**

- an accelerator stack projected to the canopy roof

### Preheaters

**Function:**

- preheat AOD vessel and ladle linings

**Quantity:**

- three (3)

**Capacity:**

- one (1) vertical ladle preheater, 7 x 10<sup>6</sup> Btu/h,
- one (1) horizontal AOD operating vessel preheater, 10 x 10<sup>6</sup> Btu/h,
- one (1) vertical AOD standby vessel preheater, 10 x 10<sup>6</sup> Btu/h.

### Valve Rack and Gas Control System

**Function:**

- perform process gas control

**Supplier:**

- Praxair "IRS" (Intelligent Refining System)

### Transfer Cars

**Function:**

- move process slag pots and ladles

**Quantity:**

- two (2)

**Capacity:**

- ladle transfer car – 160 tons, 10 hp dc, 35 ft/min
- slag pot car – 50 tons, 5 hp dc, 30 ft/min

### Immersion Sampler

**Function:**

- remote robotic temp./chem. samples at ladle post treatment station

**Quantity:**

- one (1)



Figure 3: Overall Installation

### Alloy Additions System

**Function:**

- delivery of accurately weight alloy additions to the AD vessel while operating, using full automation

**Bin Quantity:**

- twenty-two (22), one (1) operating floor hand additions weight hopper

**Overall Dimensions (approximate):**

- 39'-0" high x 26'-9" wide x 80'-0" long

### Miscellaneous Auxiliary Equipment

- Ladle post treatment station
- Melt shop building expansion
- 5 ton jib service crane
- Installation of a 50 ton service crane
- Cooling water system
- AOD vessel bricking platforms
- Vessel lifting beams
- Training and commissioning programs

### System Description

The AOD plant had to be integrated into the existing melt shop, downstream of two (2) operating electric arc furnaces, a VAD all originally supplied by Whiting and a VOD. Pits were not allowed. All equipment had to be built on a mezzanine basis, 24 feet above the plant operating floor. This accounts for the unusual elevation of the tapper's platform necessary to reach the vessel mouth area and the high piers needed to support the vessel.

The tilt drive system is - helical gear, dual-input, single-output, double-quadruple reduction parallel shaft gear drive, connected through a gear coupling and driven by two (2) 52.5 hp dc mill motors. In addition, two (2) nitrogen motors were installed as a backup in case of power failure.



Figure 4: AOD blowing a heat

Provision of three (3) AOD vessels ensures that a completely bricked backup vessel will always be available while the AOD is operating and a vessel is being relined. The design of the vessel locking arrangement to the trunnion ring allows one hour or less exchange time. The vessel has a removable top cone section to ease relining. The fume hood (which has the water cooled lance installed through it) is designed to move horizontally out of the way, allowing the melt shop crane to lift the vessel vertically from the trunnion for relining and relined vessel replacement.

All alloys, fluxes, cooling scrap, etc., are automatically pre-calculated, weighed on weigh belt feeders and fed from the bin storage system into the mouth of the converter vessel by the automated alloy additions system. The charge is transferred via a retractable feed chute. The alloy additions system is complete with its own integrated dust control system to reduce dust generation through loading in the melt shop to a minimum.

The process gases are fed to the AOD heat through the Praxair proprietary "Intelligent Refining System" (IRS) via the five (5) vessel tuyeres and top blowing oxygen lance, all gas compositions are precalculated through custom designed programs to suit final product requirements.

The water-cooled oxygen top lance moves vertically into and out of the vessel's mouth by a hoisting mechanism situated above the fume hood. The same mechanism that moves the fume hood also moves the lance horizontally.

A new 160-ton capacity Whiting ladle transfer car was supplied to move the tapping ladle between the post treatment station and the AOD vessel thus freeing up the existing melt shop cranes for other duties.

A new 50-ton capacity Whiting slag pot transfer car was supplied to receive and remove the slag generated by the process without the use of the existing melts shop cranes.

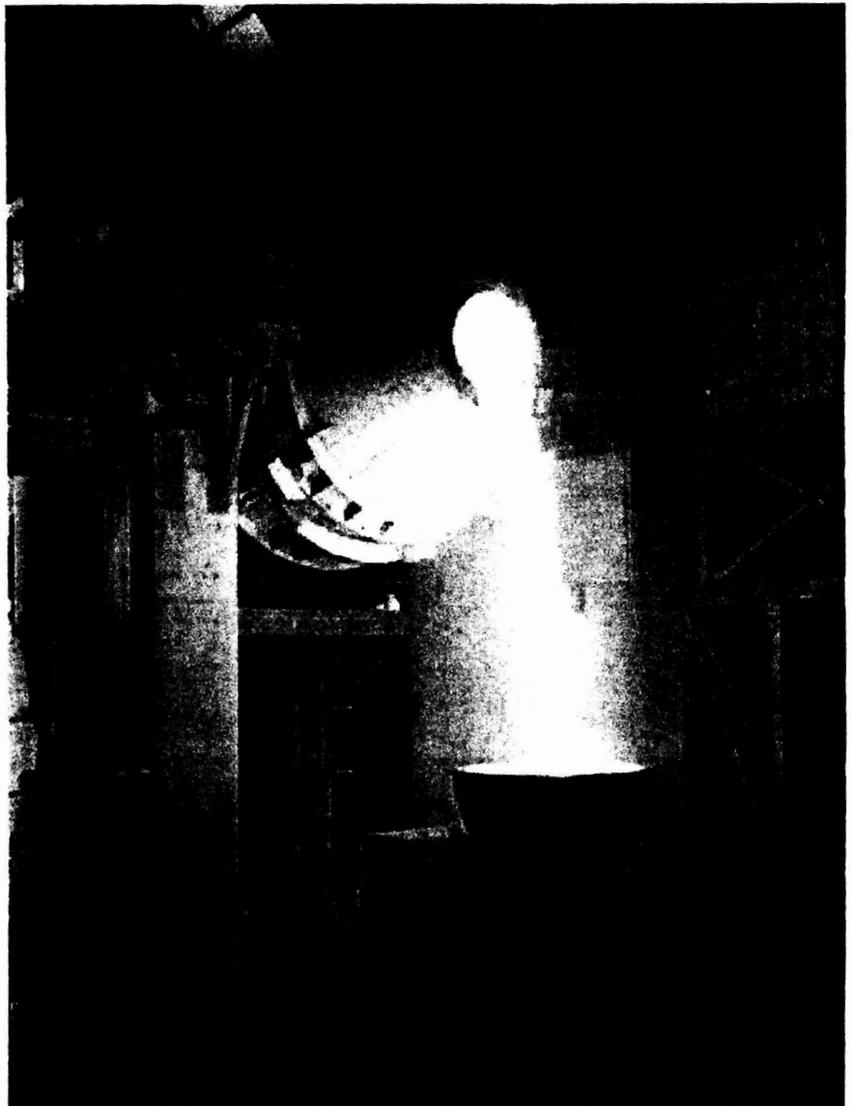


Figure 5: AOD tapping slag

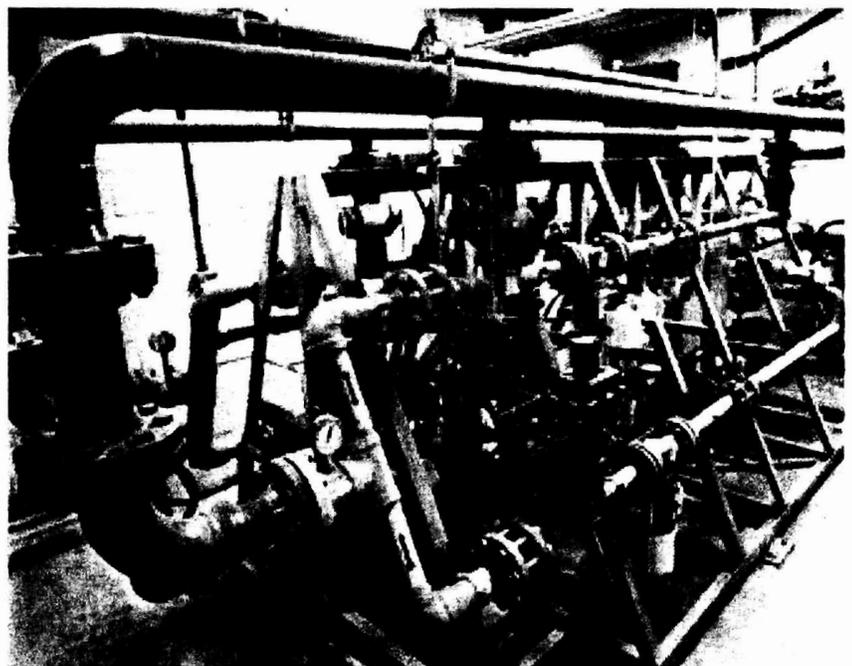


Figure 6: AOD valve rack

Three (3) pre-heaters were installed to make certain that either a ladle or AOD vessel is always ready for use. The vertical ladle pre-heater keeps the receiving ladle lining at the temperature required to receive the AOD tap. The vertical AOD vessel pre-heater ensures the standby AOD vessel lining is ready for immediate operation in the vessel trunnion ring. The horizontal AOD vessel pre-heater keeps the vessel lining at operating temperature during periods of downtime while the vessel is in the trunnion ring.

A new control room was constructed on the melt shop-charging floor to house the control equipment for the total AOD operation and all its ancillaries. A single control console using the latest in "touch screen" industrial software and computer hardware was installed. This requires only one (1) operator to remotely monitor and control the system.

The process control is managed by an Allen-Bradley PLC-5 that interfaces with the customer's DEC mainframe and Praxair Moore APACS hardware. The man machine interface is a large operator's console complete with two (2) monitors and a printer.

This system uses touch screen technology in the graphic Windows environment, making the system exceptionally easy to use and understand. A remote operator's station was installed beside the pouring area of the AOD vessel, providing local control of the AOD and its auxiliary equipment when required.

A post treatment station was installed next to the AOD pouring area to enable supplementary wire feed alloy additions and stirring of the heat before casting.

Molten metal is poured into the AOD vessel via the melt shop crane. The ladle transfer car or the melt shop cranes are used to receive the molten metal from the AOD vessel for final casting.

## Summary

This project takes advantage of the many benefits the AOD process can provide to a steelmaker, they include:

- High metallic yields
- Flexibility in low cost raw materials selection
- Pinpoint accuracy in achieving desired aim chemistries
- Precise control of carbon to 0.01% and lower
- Rapid desulfurization to less than 0.001%
- Lead removal to less than 0.001%
- Cleaner metal, with low residual oxygen, nitrogen and hydrogen
- Increased production capacity

The Whiting AOD vessel design provides an all-welded, stress-relieved treatment vessel with heavy steel horizontal reinforcing ribs and gusset plates to retain the vessel shape after many heats. The locking arrangement provides a quick changeover and allows for expansion between the vessel and trunnion ring. Whiting has previously supplied AOD Equipment to hold different sized vessels in the same trunnion ring thereby achieving heats of various sizes at an optimum cost.

Whiting designed and manufactured more than 70% of North America's operating AODs.

Contact a Whiting Sales Engineer to find out more about our AOD equipment.



Figure 7: Control console using "touch screen" technology



**Whiting Equipment Canada Inc.**



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LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
2008				2004			
981108	684080	1563	1.4	040102	840020	1563	0.46
981109	684100	1942	0.9	040105	840060	658919	0.98
981111	684120	6586	0.5	040106	840080	1358	0.6
981111	862598	1818	6.3	040107	840100	658919	1.08
981111	862758	1818	8.1	040107	840105	1818	1.71
981112	884127	1818	1.6	040109	840120	127933	0.42
981112	684140	2325	0.2	040110	840140	1358	0.38
981113	784160	1528	0.2	040111	840160	1358	0.36
981114	684180	3588	0.1	040112	840180	658919	0.54
981116	784200	1521	1.1	040113	840200	1358	0.3
981117	784220	1528	0.2	040114	840220	1358	0.44
981122	684260	134502	0.2	040115	840226	6992	0.59
981123	884280	2395	0.3	040115	840227	6992	0.61
981124	684300	2546	<0.1	040116	840240	1358	0.26
981125	784320	1396	<0.1	040117	840260	2546	0.33
981201	884380	3594	<0.1	040118	840280	2323	<0.1
981202	684400	1528	0.3	040119	840300	1358	0.16
981203	784420	1525	0.1	040120	840314	1818	0.39
981205	784440	127907	0.6	040120	840320	1358	0.26
981206	784460	2546	0.3	040121	840340	2323	0.13
981207	684480	134502	0.2	040123	840360	1358	0.23
981209	784500	1563	0.1	040127	840420	1961	0.38
981210	684520	1563	<0.1	040128	840440	2323	0.26
981212	684540	6586	0.7	040129	840460	3393	0.17
981215	784580	2325	0.4	040130	840480	2395	0.36
981216	784600	1528	0.1	040202	840520	1552	0.17
981217	684620	134502	0.2	040203	840540	2323	0.23
981218	684640	1563	0.1	040204	840560	194201	0.22
981220	884660	3328	0.4	040207	840580	1358	0.24
981221	684680	1528	0.2	040209	840620	1563	<0.1
981222	884700	1561	<0.1	040211	840640	194201	0.67
981223	784720	6586	1	040212	840660	1358	0.19
981227	684740	1396	0.3	040213	840680	1358	0.18
981228	784760	1563	0.2	040214	840700	1358	0.14
981229	884780	1391	0.3	040215	840720	134502	0.23
				040217	840740	1357	0.22

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
1999							
990104	790040	2325	0.6	040218	840760	658919	0.13
990105	690060	1528	0.4	040219	840780	1358	0.2
990107	790080	3588	0.5	040221	840800	2323	0.21
990110	690100	2342	0.4	040222	840820	6216	0.38
990112	890120	1521	0.3	040223	840840	1391	<0.1
990113	790140	1528	0.4	040224	840860	2546	0.13
990115	890160	1561	0.3	040225	840880	1358	<0.1
990116	790180	1563	0.3	040226	840900	1961	0.13
990117	890200	2325	0.6	040227	840920	1358	0.27
990119	890220	1528	0.3	040228	840940	1358	<0.1
990120	790240	1528	0.2	040303	841000	658919	1.46
990121	790260	134502	0.6	040304	841020	7224	0.57
990125	890300	1913	<0.1	040305	841040	2323	0.13
990126	690320	1396	0.2	040306	841060	1358	0.34
990129	790360	1358	0.3	040308	841080	3383	0.24
990130	790380	1511	0.3	040309	841100	1552	0.18
990201	890400	1561	0.1	040310	841120	2323	<0.1
990202	690420	1521	0.4	040311	841140	1335	<0.1
990203	790440	2325	0.5	040312	841160	1358	0.25
990205	790460	1358	0.3	040313	841180	1358	0.21
990210	890520	1921	0.3	040314	841200	1358	<0.1
990212	690540	1325	0.5	040316	841220	1961	1.92
990214	890560	1396	0.4	040319	841260	2553	0.26
990215	690580	2325	0.3	040320	841280	1358	0.36
990216	790600	1513	0.4	040321	841300	6216	0.21
990218	790620	2546	0.6	040322	841320	1563	0.11
990220	790640	1358	0.6	040324	841360	658919	0.26
990221	790660	1537	0.5	040325	841380	2323	0.14
990224	790700	2325	0.4	040326	841400	1358	0.12
990226	790720	2325	0.3	040327	841420	1358	0.16
990301	790780	1513	0.1	040328	841440	231920	0.18
990303	790800	1552	0.2	040329	841460	1563	0.21
990304	790820	2325	0.1	040402	841520	1358	0.16
990306	790840	2325	0.9	040405	841540	2323	<0.1
990307	790860	2342	0.6	040409	841600	5412	0.33
990307	790880	1537	0.5	040410	841620	1358	<0.1
				040411	841640	1335	<0.1

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
990310	790900	6586	0.8	040413	841660	2342	0.26
990312	890920	7224	0.4	040417	841700	338908	0.17
990313	690940	1537	0.4	040419	841740	1358	0.22
990316	690960	1563	0.4	040422	841780	1358	<0,1
990317	690980	1563	0.3	040423	841800	1358	0.16
990317	890987	181902	3.4	040425	841820	2323	<0,1
990318	891000	1395	0.1	040427	841840	1358	0.1
990321	791040	1325	0.3	040428	841860	2323	<0,1
990322	891060	2342	0.2	040429	841880	1358	0.11
990323	891080	3443	0.2	040501	841900	2323	0.17
990325	791100	2325	0.4	040503	841940	3417	0.3
990326	891120	1358	0.5	040504	841960	1358	<0,1
990328	791140	1325	0.1	040506	841980	2323	0.32
990330	791160	2325	0.1	040508	842020	1563	0.12
990401	891180	2546	0.1	040512	842040	231921	0.2
990402	791200	1325	0.6	040513	842060	3393	0.84
990404	791220	2325	0.2	040514	842080	1358	0.22
990406	891240	1364	0.5	040515	842100	135801	<0,1
990410	891280	6586	0.7	040517	842120	1911	0.56
990410	891286	1818	5.5	040518	842140	1563	<0,1
990411	791300	2325	0.3	040520	842160	127933	0.21
990412	691320	1358	<0,1	040521	842180	1358	0.27
990416	891360	3382	0.1	040522	842200	2323	0.16
990418	791380	6586	0.7	040523	842220	194201	0.55
990418	891385	1818	3.7	040525	842240	134502	0.18
990420	791400	1358	0.2	040526	842260	658919	0.31
990421	891420	2325	0.3	040527	842280	1358	<0,1
990423	791440	2342	0.2	040528	842300	1335	<0,1
990425	791460	6586	0.6	040530	842320	2546	0.14
990426	691480	2325	0.2	040530	842340	1563	<0,1
990427	891500	7224	0.3	040601	842360	5855	0.51
990430	891520	3382	0.3	040602	842380	1358	0.17
990503	891540	135901	0.3	040603	842400	1358	0.13
990504	791560	2325	0.4	040605	842420	2323	0.185
990505	691580	1358	0.1	040606	842440	127933	0.18
990506	791600	1358	0.6	040607	842460	1358	0.1
990508	691620	2542	0.5	040609	842480	194201	0.26

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
990511	791660	2325	0.5	040610	842500	1358	<0,1
990512	891680	1563	0.2	040612	842520	1358	<0,1
990514	891700	2543	0.5	040613	842540	1961	0.22
990517	691740	1358	0.2	040615	842580	3393	0.39
990520	691760	134502	0.4	040617	842600	2395	0.35
990522	691780	6586	0.6	040618	842620	2323	0.22
990523	791800	3525	0.4	040620	842640	2323	0.17
990525	791820	1358	0.6	040621	842660	1942	0.76
990527	691840	2342	0.4	040623	842680	1357	0.13
990529	891860	5855	2.7	040625	842700	2323	0.24
990530	691880	2325	0.5	040626	842720	194201	0.39
990601	891900	1358	0.1	040629	842760	3383	0.71
990604	791940	1537	<0,0	040701	842780	1552	0.19
990605	691960	151904	0.1	040702	842800	7224	0.11
990608	792000	1358	0.3	040703	842820	1911	0.19
990609	692020	2325	0.2	040706	842860	2553	0.27
990610	792040	2325	0.2	040707	842880	1358	0.35
990612	692060	1395	<0,2	040708	842900	1335	0.22
990614	792100	1358	<0,2	040727	842920	1358	0.26
990615	792120	1537	0.2	040729	842940	2546	0.3
990617	692140	2546	0.3	040801	842980	231921	0.38
990620	892160	2546	0.2	040803	843000	1563	0.26
990622	692180	1358	<0,2	040806	843040	2323	0.3
990623	892200	1561	<0,2	040809	843080	1358	0.38
990625	892220	1358	0.5	040810	843100	1563	<0,1
990627	792240	1396	0.2	040811	843120	231920	0.14
990629	892260	6211	0.8	040812	843140	1358	<0,1
990630	692280	5973	1.3	040814	843160	2323	0.15
990702	792300	5855	1.5	040815	843180	1358	0.12
990704	792320	2325	0.2	040816	843200	1358	0.1
990803	892340	1358	0.3	040817	843220	3393	0.33
990805	892360	1961	1.0	040819	843240	658919	0.19
990807	692380	6586	1.3	040820	843260	2323	1.36
990808	792400	1358	<0,2	040821	843280	2323	0.27
990809	792420	134502	<0,2	040822	843300	6216	
990811	892440	1563	<0,2	040824	843320	1552	<0,1
990812	892460	194201	0.5	040825	843340	2323	0.3

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
990814	892480	7224	0.3	040826	843360	6216	0.21
990816	792500	1358	0.2	040827	843380	1358	0.13
990820	692540	1561	0.3	040830	843420	134502	<0.1
990822	792560	6586	0.8	040901	843440	6112	<0.1
990823	792580	2325	0.2	040904	843460	2323	0.15
990825	692600	1382	0.2	040905	843480	1358	<0.1
990826	692620	1563	0.2	040909	843520	1942	0.14
990828	792640	2325	0.3	040910	843540	6112	0.45
990828	792660	1358	0.2	040912	843580	1358	0.16
990830	792680	1358	0.2	040914	843600	1357	0.31
990831	792700	1563	0.3	040916	843620	135801	<0.2
990903	892720	1588	0.2	040918	843640	2395	0.28
990904	792740	2325	0.3	040919	843660	1358	<0.1
990606	892760	1932	0.5	040921	843680	658919	0.5
990907	792780	1358	<0.2	040922	843700	6211	0.32
990911	792840	1358	<0.2	040924	843720	3393	0.3
990913	892860	194201	0.3	040924	843740	1358	<0.1
990914	792880	3382	0.5	040926	843760	1563	<0.1
990915	892900	3382	<0.2	040927	843780	1555	0.16
990918	892920	1556	0.1	040928	843800	6112	0.47
990919	692940	2325	0.2	040929	843820	1358	0.11
990920	892960	1358	<0.1	041001	843840	1358	0.14
990922	892980	1358	0.3	041002	843860	2323	<0.1
990924	793000	2342	0.2	041004	843880	1278	<0.1
990925	893020	1911	<0.1	041005	843900	1961	0.22
990928	693060	2325	<0.1	041006	843920	1358	<0.1
990929	793080	1358	0.3	041008	843940	3393	0.38
991001	793100	1563	<0.1	041009	843960	1358	<0.1
991002	893120	2351	<0.1	041010	843975	181902	0.23
991003	793140	1325	<0.1	041010	843980	2553	0.26
991005	693160	2325	0.1	041011	844000	1942	0.47
991006	693180	1325	0.36	041012	844020	1358	<0.1
991007	693200	1563	0.13	041014	844040	127933	0.25
991009	893220	1961	0.2	041015	844060	1358	<0.1
991011	793240	2325	0.46	041017	844080	1358	0.11
991012	793260	6586	0.44	041018	844100	658919	0.13
991013	693280	2325	<0.1	041019	844120	2323	0.30

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
991015	793300	1563	<0,1	041021	844140	597905	0.51
991017	893320	2395	0.32	041022	844160	1358	0.36
991018	793340	1358	0.31	041023	844180	3393	0.66
991020	793360	6586	0.73	041024	844200	1961	0.37
991022	793380	1358	0.93	041029	844260	2323	0.38
991023	693400	1563	0.27	041030	844280	1911	0.54
991025	893420	1278	<0,1	041031	844300	1358	0.24
991026	793440	334204	0.63	041101	844320	1563	<0,1
991027	693460	1358	0.17	041102	844340	6112	<0,1
991029	793480	2342	0.3	041104	844360	1358	0.18
991031	893500	1932	0.37	041105	844380	2323	0.1
991104	693560	1396	<0,1	041106	844400	1358	<0,1
991105	693580	597905	1.15	041108	844440	6216	0.18
991106	693600	1563	0.29	041109	844460	1358	<0,1
991108	793620	3525	<0,1	041114	844520	2323	<0,1
991109	793640	1358	<0,1	041116	844540	1358	<0,1
991112	793660	1358	0.31	041117	844560	135901	1.26
991114	793700	2325	0.27	041120	844600	1358	0.18
991116	893720	1961	0.31	041121	844620	3393	0.27
991119	893760	1563	0.21	041123	844660	1563	1.58
991120	793780	1358	0.13	041124	844680	6216	<0,1
991122	793800	1358	0.10	041126	844700	2323	<0,1
991123	893820	5973	1.05	041127	844720	1358	<0,1
991124	793840	1358	0.22	041128	844740	1358	<0,1
991126	793860	1391	0.27	041129	844760	1358	<0,1
991128	793880	134502	0.22	041203	844820	2323	<0,2
991129	893900	151904	0.52	041205	844840	1358	<0,1
991201	893920	5855	0.73	041206	844860	1358	<0,1
991202	793940	2325	0.08	041207	844880	1358	<0,1
991203	793960	3588	0.39	041209	844900	1358	<0,1
991204	693980	597905	1.57	041210	844920	1358	<0,1
991206	794000	6586	1.08	041212	844940	1911	<0,1
991207	694020	1358	0.11	041213	844960	1358	<0,1
991208	694040	2342	0.35	041214	844980	1276	<0,1
991209	794060	2325	0.89	041215	845000	1961	0.82
991210	794080	6586	0.58	041217	845020	1358	<0,1
991213	894120	2546	0.277	041218	845040	1358	<0,1

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
991215	794140	1358	0.184	041219	845060	3412	<0,1
991220	694200	6586	0.615	041220	845080	1391	<0,1
991221	794220	2325	0.629	041221	845100	1358	<0,1
991222	694240	1358	0.291	041225	845140	1358	<0,1
991223	694260	1358	0.154	041226	845160	2323	0.25
991227	794300	2325	0.42	041227	845180	1358	<0,1
991228	694320	658919	0.48	041228	845200	1358	<0,1
991230	694340	2342	0.096	041230	845240	1358	<0,1
991231	894360	1265	0.27	041231	845260	1358	<0,1
<b>2000</b>				<b>2005</b>			
000103	700020	2325	0.32	050102	450020	658919	6.3
000105	800040	1358	0.23	050103	450040	3383	<0,1
000106	800060	1391	0.14	050104	450060	1358	0.46
000107	800080	1961	0.38	050105	450080	2323	<0,1
000108	600100	3525	0.02	050107	450100	2323	<0,1
000110	700120	1358	0.28	050107	450111	181902	<0,1
000111	700140	1358	0.16	050108	450120	1921	<0,1
000112	700160	1563	0.12	050109	450140	1961	0.81
000113	700180	2342	0.1	050111	450160	658919	<0,1
000114	800200	1921	0.11	050112	450180	1358	<0,1
000115	600220	2325	0.18	050113	450200	1358	<0,1
000116	700240	2543	0.13	050115	450240	1563	<0,1
000117	700260	1563	<0,1	050117	450260	1358	2.78
000118	600280	2325	0.38	050118	450280	1358	0.21
000119	700300	1358	0.29	050119	450300	2323	0.24
000121	600320	2393	<0,1	050120	450320	135801	<0,1
000123	600340	1563	<0,1	050121	450340	1358	<0,1
000124	700360	1563	0.19	050123	450360	127933	<0,1
000125	700380	1563	0.239	050125	450380	597905	0.75
000128	600420	2325	0.0172	050126	450400	658919	0.13
000430	700440	1358	0.198	050128	450420	2323	0.19
000431	600460	2325	0.038	050129	450440	1358	0.18
000201	800480	1554	0.237	050131	450480	231920	<0,1
000203	600500	1358	0.438	050201	450500	2323	2.26
000204	800520	3594	0.413	050203	450520	2323	0.29
000207	700560	2325	0.383	050204	450540	6631	0.52

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
000208	600580	134502	0.337	050207	450580	658913	0.17
000212	600620	658919	0.393	050208	450600	1358	<0.1
000213	600640	2325	<0.1	050210	450620	1358	<0.1
000214	700660	1561	0.131	050211	450640	1358	<0.1
000216	600680	6211	0.615	050212	450660	1358	<0.1
000219	800720	2546	0.223	050218	450740	194201	<0.1
000220	700740	1358	0.12	050220	450760	1358	<0.1
000221	700760	1563	<0.1	050221	450780	5973	0.73
000223	800780	194201	0.399	050222	450800	1358	0.1
000224	600800	2325	<0.1	050223	450820	1911	<0.1
000225	700820	2325	0.237	050225	450840	231920	<0.1
000226	700840	1358	<0.1	050227	450860	1358	<0.1
000228	600860	2342	0.248	050228	450880	1358	<0.1
000229	800880	1921	0.188	050304	450920	127933	<0.1
000303	800920	1396	0.133	050305	450940	194201	<0.1
000306	600960	334204	0.322	050307	450960	1358	<0.1
000307	700980	1537	<0.1	050308	450980	3393	0.25
000309	701020	1358	<0.1	050309	451000	1358	<0.1
000311	801040	2335	<0.1	050311	451020	658919	0.19
000314	701100	1537	0.23	050312	451040	1358	<0.1
000318	801140	1961	0.14	050314	451060	2395	0.26
000320	601160	1358	<0.1	050315	451080	2553	<0.1
000321	801180	1396	0.24	050317	451100	6611	0.24
000322	701200	2325	0.32	050318	451120	658913	0.21
000323	701220	2342	0.42	050320	451140	1358	0.33
000325	601240	1563	0.12	050322	451160	1593	0.11
000326	701260	1358	0.21	050323	451180	2323	<0.1
000328	701280	1395	<0.1	050324	451200	1921	<0.1
000329	701300	1391	0.18	050327	451240	194201	0.12
000330	701320	3588	<0.1	050329	451260	1358	<0.1
000331	601340	4317	<0.1	050330	451280	3371	0.32
000402	701360	1358	0.15	050331	451300	3393	0.11
000403	801380	597905	0.8	050402	451320	2323	<0.1
000407	801440	1345	0.11	050403	451340	1358	<0.1
000408	601460	2325	0.27	050404	451360	1358	<0.1
000410	701480	6586	0.82	050406	451400	134502	<0.1
000411	701500	1358	0.17	050408	451420	1358	<0.1

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
000412	801520	3588	0.29	050411	451460	1358	<0,1
000415	701540	1563	0.2	050412	451480	194201	<0,1
000416	801560	1552	<0,1	050414	451500	658919	<0,1
000417	801580	1325	<0,1	050415	451520	1358	<0,1
000419	801620	7224	0.59	050417	451540	1358	<0,1
000420	701640	1561	<0,1	050418	451560	2542	<0,1
000423	801680	1552	<0,1	050420	451580	1563	<0,1
000424	701700	2325	1.12	050423	451620	2553	<0,1
000425	701720	1396	0.2	050424	451640	1358	0.74
000427	801740	1358	0.2	050425	451660	2323	0.32
000428	701760	1563	0.2	050427	451680	1357	0.23
000429	701780	3588	0.3	050429	451700	4317	0.16
000430	801800	1395	0.4	050430	451720	1358	<0,1
000501	701820	1358	0.4	050501	451740	1358	0.73
000502	601840	1358	0.4	050502	451760	1358	3.01
000507	801880	1961	4.3	050503	451771	181902	0.16
000509	701900	1358	<0,2	050503	451780	658919	<0,1
000511	601920	2325	0.3	050505	451800	1358	0.3
000513	701960	2543	0.2	050506	451820	3393	0.37
000516	602000	1942	1.1	050507	451840	1358	0.27
000520	702060	2342	0.3	050509	451860	1781	1.33
000521	602080	2342	0.5	050510	451880	1961	1.08
000523	702100	6586	0.57	050512	451900	1358	0.47
000523	802105	1818	<0,1	050513	451920	2323	0.43
000526	702140	1358	0.22	050514	451940	6631	<0,1
000527	702160	1358	0.29	050518	451980	1961	1.15
000528	802180	658919	0.76	050521	452000	5413	0.32
000529	802200	1552	0.34	050522	452020	2323	0.17
000601	702240	1358	0.21	050525	452060	231920	0.42
000602	702260	1358	0.27	050526	452080	5855	1.55
000603	602280	1563	0.35	050528	452100	3371	<0,1
000605	702300	2546	0.27	050529	452120	231921	<0,1
000606	802320	1961	7.0	050530	452140	2323	<0,1
000608	702340	1358	0.15	050601	452160	2546	<0,1
000609	802360	1358	0.13	050602	452180	2323	1.31
000610	702380	1391	0.24	050604	452200	1552	0.76
000611	602400	3525	0.37	050605	452220	2323	0.37

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
000613	602420	3594	0.19	050607	452240	6211	0.27
000615	702440	1358	0.46	050609	452260	1335	0.56
000616	702460	2324	0.62	050610	452280	2323	0.22
000619	802500	3551	0.13	050611	452300	1276	<0.1
000621	802520	2325	0.33	050613	452320	1563	<0.1
000622	702540	2325	0.37	050614	452340	1358	<0.1
000623	602560	1358	0.55	050616	452360	1335	<0.1
000624	702580	1358	0.74	050617	452380	2323	0.33
000627	802620	1932	9.1	050619	452400	6216	0.19
000628	702640	2325	0.36	050620	452420	5855	1.22
000630	702660	1358	0.37	050621	452440	1358	0.83
000703	702700	194201	0.57	050622	452460	1358	0.34
000704	702720	2325	0.29	050624	452480	231928	0.29
000705	802740	1961	0.66	050625	452500	1921	<0.1
000708	602780	1358	0.11	050626	452520	1563	<0.1
000709	702800	2325	0.13	050628	452540	1358	0.17
000710	702820	1325	0.13	050701	452560	2323	0.37
000712	702840	194201	1.1	050703	452580	1913	0.59
000713	702860	1358	<0.1	050704	452600	1358	0.41
000801	802880	2342	0.2	050706	452620	1358	0.29
800802	802900	1452	<0.1	050708	452640	597905	0.46
000804	802920	1358	0.33	050709	452660	1358	<0.1
000805	802940	2325	0.19	050713	452700	1358	<0.1
000808	802980	1276	0.11	050714	452720	1961	1.16
000810	803000	1358	0.12	050810	452780	6216	0.62
000815	803040	3588	0.2	050811	452800	2323	0.68
000816	803060	1961	1.02	050813	452820	1911	0.63
000818	803080	2323	<0.1	050816	452840	1961	1.53
000819	803100	6586	0.18	050817	452860	1358	0.35
000820	803120	2342	0.35	050819	452880	2323	0.71
000824	803160	1358	0.16	050820	452900	658919	0.8
000825	803180	1396	0.32	050821	452920	1335	<0.1
000826	803200	2546	0.16	050823	452940	2323	<0.1
000830	803260	2546	0.26	050824	452960	1358	0.38
000901	803280	1358	0.37	050826	452980	127933	0.1
000902	803300	6586	0.42	050827	453000	1358	0.22
000903	803320	1358	0.2	050830	453020	1358	0.24

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
000905	803340	1563	0.27	050831	453040	1961	0.78
000906	803360	1555	0.23	050902	453060	2546	<0.1
000909	803400	1961	0.59	050905	453100	1358	<0.1
000911	803420	6586	0.84	050906	453120	2323	0.16
000912	803440	1563	<0.1	050907	453140	1358	0.45
000913	803460	2546	<0.1	050910	453160	1552	0.69
000916	803480	1358	0.33	050911	453180	231920	0.35
000918	803500	6586	0.33	050912	453200	1358	0.62
000920	803520	658919	0.61	050913	453220	1358	0.25
000923	803560	127933	0.49	050915	453240	1358	0.15
000924	803580	1358	0.5	050916	453260	2542	0.33
000925	803600	1358	0.19	050917	453280	1358	<0.1
000927	803620	127915	0.34	050919	453300	1358	<0.1
000928	803640	2323	<0.1	050920	453320	1358	0.52
000929	803660	2325	<0.1	050921	453340	1552	<0.1
000930	803680	658919	0.22	050923	453360	1358	0.41
001004	803720	134502	0.38	050925	453380	1358	0.54
001006	803740	2342	<0.1	050926	453400	1358	0.47
001007	803760	597905	1.0072	050927	453420	127933	<0.1
001009	803780	2546	0.27	050929	453440	1358	0.29
001010	803800	2325	0.3	050930	453460	2323	0.72
001011	803820	1563	0.18	051001	453480	1358	0.46
001013	803840	1552	0.18	051002	453500	2323	0.4
001014	803860	1358	<0.1	051004	453520	6216	1.09
001015	803880	1961	0.34	051005	453540	1921	1.46
001016	803900	1358	0.1	051006	453560	2323	2.41
001020	803960	3525	<0.1	051007	453580	2323	0.39
001021	803980	5855	0.52	051009	453600	1276	0.38
001024	804020	2542	0.52	051010	453620	2542	0.36
001025	804040	597905	0.97	051011	453640	6112	<0.1
001027	804060	1358	0.29	051013	453660	1358	0.33
001028	804080	2325	0.2	051014	453680	1358	0.32
001029	804100	2543	0.21	051015	453700	1932	0.12
001030	804120	2325	<0.1	051016	453720	6216	0.49
001031	804140	3525	0.4	051018	453740	231920	0.33
001102	804160	1358	0.2	051019	453760	5855	1.43
001103	804180	2325	0.14	051023	453800	2323	0.46



LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
010119	810180	3382	0.39	051220	454680	597905	0.74
010121	810200	2325	0.24	051222	454700	1358	<0,1
010122	810220	2325	0.76	051223	454720	1358	<0,1
010123	810240	1358	0.13	051224	454740	1358	<0,1
010124	810260	2342	0.17	051227	454780	231920	0.16
010126	810280	1563	0.26	051228	454800	1358	0.14
010127	810300	658919	0.22	051229	454820	1358	<0,1
010128	810320	1358	0.15	051230	454840	2323	0.27
010429	810340	1276	0.42	051231	454860	1358	0.2
010131	810360	6211	0.95				
010201	810380	1942	0.57	2006			
010203	810400	1358	<0,1	060102	460020	1358	<0,1
010204	810420	1396	0.27	060104	460040	2323	0.95
010205	810440	2325	0.37	060104	460060	1358	<0,1
010207	810460	1358	0.32	060106	460080	1358	0.1
010208	810480	3588	0.26	060107	460100	2323	0.34
010210	810500	2325	0.38	060108	460120	127933	0.25
010211	810520	1358	0.27	060109	460140	1563	<0,1
010212	810540	1526	0.24	060110	460160	1358	<0,1
010214	810560	1358	0.3	060113	460200	1358	<0,1
010216	810600	1563	<0,1	060114	460220	1921	0.18
010218	810620	7224	0.26	060116	460240	1357	<0,1
010220	810660	6586	0.55	060117	460260	231920	<0,1
010222	810680	1563	0.29	060118	460280	1358	<0,1
010223	810700	2341	0.18	060119	460300	658924	<0,1
010225	810720	3525	0.17	060120	460320	2395	<0,1
010226	810740	1358	<0,1	060121	460340	621701	0.14
010227	810760	1961	2.46	060123	460360	1563	0.11
010301	810780	1391	<0,1	060124	460380	1358	0.11
010304	810820	1358	0.21	060125	460400	2323	0.85
010305	810840	2325	<0,1	060127	460420	1358	0.52
010306	810860	2325	<0,1	060128	460440	1358	0.37
0103	810860	2325	<0,1	060129	460460	1276	0.21
0103	810861	1818	0.16	060130	460480	2542	0.12
010308	810880	1391	0.11	060131	460500	1358	0.53
010309	810900	2341	0.26	060201	460520	1358	<0,1
010311	810920	2325	<0,1	060203	460540	3393	0.35

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
010507	811780	597905	0.5	060327	461360	6112	0.26
010508	811800	1526	<0.2	060329	461380	1358	0.18
010509	811820	2342	0.3	060330	461400	1357	0.48
010513	811860	1391	<0.2	060331	461420	621701	0.49
010515	811880	1358	0.25	060402	461440	2323	0.27
010516	811900	2546	0.24	060403	461460	1358	0.18
010519	811920	1382	0.27	060405	461480	181902	0.99
010520	811940	1513	0.27	060407	461500	4311	0.47
010522	811960	1276	<0.2	060408	461520	3594	0.55
010522	811966	1818	1.4	060409	461540	1358	0.46
010523	811980	2323	0.2	060412	461580	1932	0.88
010524	812000	1358	0.2	060413	461600	1358	0.7
010525	812020	2395	1.5	060415	461620	1358	0.11
010526	812040	1358	0.3	060416	461640	6611	0.57
010528	812060	2542	0.2	060418	461660	1961	0.36
010529	812080	1394	0.2	060420	461680	194201	0.42
010529	812083	1818	1.0	060423	461700	2323	0.11
010530	812100	2325	0.3	060424	461720	1358	0.27
010601	812120	1358	2.0	060425	461740	2395	<0.1
010604	812160	1358	<0.2	060426	461760	2323	0.18
010605	812180	1394	0.2	060427	461780	2323	<0.1
010606	812200	2325	<0.2	060429	461800	1358	0.31
010608	812220	1358	0.2	060430	461820	2546	0.4
010609	812240	1358	0.4	060501	461840	2553	0.16
010610	812260	597905	1.5	060503	461860	1961	0.22
010611	812280	2325	0.4	060504	461880	658924	0.28
010613	812300	1358	0.3	060507	461920	2546	0.17
010614	812320	1358	0.2	060509	461940	1358	0.12
010616	812340	2546	0.4	060510	461960	127933	<0.1
010617	812360	2325	0.4	060512	461980	2323	0.33
010618	812380	1358	0.3	060514	462000	3363	1.03
010620	812400	1358	0.2	060517	462020	2323	0.32
010621	812420	1358	0.2	060518	462040	3393	0.55
010622	812440	658919	1.2	060521	462080	1921	1.52
010623	812460	2325	0.2	060523	462100	658919	0.66
010625	812480	2546	0.2	060524	462120	2323	0.21
010626	812500	1358	<0.2	060525	462140	658924	0.85

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
010628	812520	1335	<0,2	060526	462160	1358	0.21
010629	812540	2325	0.2	060527	462180	1358	0.12
010701	812560	2323	0.2	060529	462200	2546	0.51
010702	812580	1391		060531	462220	2323	0.16
010703	812600	1552		060601	462240	2323	0.31
010705	812620	1358		060603	462260	1358	0.38
010706	812640	1358		060604	462280	658924	0.67
010708	812660	1358		060605	462300	135801	0.14
010709	812680	1563		060606	462320	1358	0.19
010711	812700	2325		060608	462340	2546	0.21
010803	812760	1395	0.32	060609	462360	3393	0.4
010804	812780	2325	<0,1	060610	462380	1358	0.22
010805	812800	1358	0.44	060611	462400	6992	<0,1
010807	812820	1563	0.14	060612	462420	2546	0.23
010809	812840	1358	0.37	060614	462440	1358	0.27
010809	812860	5857	1.41	060615	462460	1358	0.16
010813	812880	1395	0.42	060617	462480	3371	0.39
010814	812900	1388	0.21	060618	462500	131977	0.11
010815	812920	658919	0.63	060619	462520	1358	0.16
010817	812940	2325	<0,1	060621	462540	6112	0.28
010818	812960	2325	<0,1	060622	462560	658919	1.49
010819	812980	1358	<0,1	060623	462580	1552	0.2
010821	813020	1358	0.43	060624	462600	2323	<0,1
010823	813040	1358	0.23	060627	462620	5412	<0,1
010824	813060	1358	0.22	060630	462640	194201	0.84
010826	813080	2325	<0,1	060702	462660	658924	0.29
010828	813100	1358	0.79	060704	462680	6211	0.8
010829	813120	1563	0.55	060706	462700	1358	<0,1
010830	813140	1961	1.00	060708	462720	135801	0.2
010831	813160	1358	0.40	060709	462740	6112	0.96
010902	813180	1358	0.80	060710	462760	6112	1.17
010903	813200	1563	0.48	060712	462780	658919	0.91
010904	813220	1563	0.14	060713	462800	1358	<0,1
010905	813240	1961	1.37	060731	462820	2323	0.33
010906	813260	1358	0.42	060802	462840	658919	0.93
010908	813280	2395	<0,1	060804	462860	658919	1.09
010910	813320	3588	0.39	060805	462880	2323	0.26

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
010911	813340	7412	0.42	060807	462900	1358	<0,1
010913	813360	1942	1.35	060809	462920	1961	<0,1
010914	813380	194201	0.81	060810	462940	1358	0.33
010915	813400	2325	0.41	060812	462960	1358	0.2
010916	813420	2342	0.52	060813	462980	658919	0.46
010918	813440	7412	0.33	060814	463000	1358	0.12
010919	813460	2325	0.16	060815	463020	621701	0.27
010921	813480	658919	0.76	060817	463040	131977	<0,1
010923	813520	1512	0.25	060818	463060	1358	0.12
010926	813560	1913	<0,1	060819	463080	658919	0.29
010927	813580	1358	<0,1	060821	463100	1358	<0,1
010930	813620	2342	0.24	060823	463140	2323	0.32
011001	813640	135901	0.52	060825	463160	1358	0.22
011002	813660	2325	0.33	060828	463200	6216	0.72
011005	813700	1358	0.24	060829	463220	658924	0.96
011006	813720	1563	<0,1	060830	463240	1358	0.22
011007	813740	6611	<0,1	060901	463260	1358	<0,1
011009	813780	1358	0.19	060902	463279	1818	1.14
011010	813800	1396	<0,1	060902	463280	6617	0.67
011012	813820	1396	0.2	060906	463340	194201	0.39
011014	813840	1358	0.55	060908	463360	6216	<0,1
011015	813860	2341	0.44	060909	463380	1358	<0,1
011016	813880	1358	0.17	060910	463400	1358	0.21
011018	813900	2323	0.21	060911	463420	6112	0.49
011020	813920	6586	0.87	060913	463440	1358	0.19
011021	813940	1358	<0,1	060915	463460	2323	0.41
011023	813960	1563	<0,1	060915	463480	2323	0.11
011024	813980	1512	0.21	060917	463500	130601	0.12
011026	814000	2325	0.37	060918	463520	1358	0.4
011027	814020	1781	0.64	060920	463540	658919	1.08
011028	814040	3594	0.15	060921	463560	1358	<0,1
011029	814060	1358	0.14	060922	463580	597905	0.29
011030	814080	1563	0.32	060924	463600	658919	<0,1
011102	814120	1358	0.25	060925	463620	1358	<0,1
011103	814140	3525	0.33	060926	463640	1358	<0,1
011104	814160	1395	0.16	060927	463660	2323	0.33
011106	814200	1563	0.15	060929	463680	1358	0.1

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
011107	814214	181902	0.72	060930	463700	1358	0.27
011108	814220	3525	0.62	061001	463720	1358	0.2
011109	814240	2325	0.4	061002	463740	1358	0.21
011111	814260	3444	0.45	061004	463760	1911	0.12
011112	814280	1396	0.23	061005	463780	1358	0.21
011113	814300	1382	<0,1	061006	463800	1358	<0,1
011114	814320	3525	0.23	061007	463820	6216	0.26
011117	814340	194201	2.27	061008	463840	127933	0.1
011118	814360	658919	1.02	061009	463860	2323	0.36
011121	814400	658919	1.46	061011	463880	1358	0.12
011124	814440	1961	0.56	061012	463900	1358	0.12
011125	814460	658919	0.67	061013	463920	127933	0.22
011127	814480	1358	0.1	061014	463940	2323	<0,1
011127	814500	1264	0.55	061016	463960	1358	0.25
011129	814520	1358	0.27	061017	463980	658919	0.63
011201	814540	1961	0.71	061019	464000	1358	0.16
011203	814580	1358	0.16	061020	464020	1358	0.44
011206	814620	151904	0.23	061021	464040	2546	<0,1
011208	814660	1358	0.17	061023	464060	5855	0.59
011211	814680	658919	0.47	061024	464080	2323	0.1
011212	814700	1512	0.45	061025	464100	1358	<0,1
011214	814720	1563	0.17	061026	464120	1921	0.1
011215	814740	127933	0.34	061028	464140	2546	<0,1
011217	814760	7224	0.38	061029	464160	194201	<0,1
011218	814780	3588	0.15	061031	464180	658924	0.35
011221	814800	1563	0.11	061101	464200	1358	<0,1
011221	814820	2325	0.16	061103	464220	6112	<0,1
011222	814840	1358	0.61	061105	464240	5855	0.45
011223	814860	2325	0.28	061105	964240	5855	0.45
011226	814880	2342	0.37	061106	464260	658919	0.13
011227	814900	1563	0.15	061107	464280	658919	0.37
011228	814920	1358	0.27	061108	464300	1358	0.15
011229	814940	1395	0.33	061110	464320	127933	0.24
2002				061111	464340	334204	0.35
020102	820020	1276	0.36	061112	464360	194201	0.62
020103	820040	1913	<0,1	061113	464380	6611	0.27
				061115	464400	1358	<0,1

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1988

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
020104	820060	135801	0.34	061116	464420	1961	0.58
020107	820100	1563	0.32	061118	464440	1358	0.13
020108	820120	1563	0.38	061119	464460	1358	0.31
020109	820140	2325	0.34	061121	464500	597905	0.43
020111	820160	1358	0.14	061123	464520	6112	0.26
020112	820180	5857	1.50	061125	464560	1358	<0,1
020113	820200	1395	<0,1	061127	464580	597905	0.26
020114	820201	191601	0.52	061128	464600	1358	0.16
020115	820220	6211	0.59	061129	464620	1961	<0,1
020116	820240	1512	0.34	061201	464640	131981	<0,1
020117	820260	127901	0.26	061202	464660	1358	<0,1
020118	820280	1325	0.24	061205	464700	1358	<0,1
020120	820300	5855	<0,1	061206	464720	2546	<0,1
020121	820320	658919	0.57	061207	464740	194201	0.34
020122	820340	1358	0.34	061210	464760	597905	0.82
020123	820360	2325	0.27	061211	464780	1358	<0,1
020125	820380	1563	0.25	061214	464820	1358	0.76
020126	820400	1358	0.49	061217	464860	1358	0.12
020127	820420	2323	0.32	061217	464874	131981	0.83
020128	820440	1526	0.49	061218	464880	2355	<0,1
020129	820460	1358	0.34	061219	464900	658919	0.56
020130	820480	2342	0.15	061221	464920	6112	<0,1
020201	820500	2342	0.34	061223	464960	1358	0.38
020202	820520	5857	<0,1	061224	464980	1921	0.19
020203	820540	1358	0.2	061225	465000	6112	0.5
020204	820560	3594	<0,1	061227	465020	6112	0.77
020205	820580	1358	0.2	061228	465040	2323	0.75
020208	820620	1358	0.22	061229	465060	1358	0.2
020209	820640	2341	0.14	061231	465080	194201	0.49
020210	820660	658919	0.13				
020211	820665	1818	0.88	<b>2007</b>			
020212	820680	5855	1.23	070101	465100	2857	0.67
020213	820700	194201	0.71	070102	470020	6992	0.12
020214	820720	2546	0.2	070103	470040	2323	0.17
020215	820740	1358	<0,1	070104	470060	6112	<0,1
020217	820760	1563	0.12	070106	470080	131977	<0,1
020219	820800	2553	0.13	070107	470100	658919	0.27

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
020222	820840	1358	0.21	070108	470120	1358	0.15
020223	820860	1563	0.25	070109	470140	127933	0.3
020225	820880	658919	0.85	070112	470180	1358	0.23
020226	820900	1394	0.24	070113	470200	597905	0.42
020228	820920	1358	0.23	070114	470220	1358	<0,1
020301	820940	597905	1.22	070116	470240	1358	3.32
020303	820960	2323	0.94	070117	470260	2323	0.4
020304	820980	2415	0.22	070119	470280	1358	7.43
020305	821000	1563	0.27	070120	470300	6112	0.19
020306	821020	1358	0.28	070121	470320	1276	<0,1
020308	821040	3393	0.47	070122	470340	1358	0.97
020309	821060	1358	0.26	070124	470360	231920	<0,1
020310	821080	135901	0.36	070135	470380	1358	<0,1
020311	821100	1358	0.14	070127	470400	4312	0.53
020312	821120	1391	0.34	070130	470440	1358	0.4
020313	821140	1358	0.5	070201	470460	597905	0.42
020315	821160	1416	0.35	070202	470480	1358	3.23
020316	821180	1358	0.55	070203	470500	621701	0.73
020317	821200	1552	0.28	070204	470520	2546	3.09
020319	821220	658919	0.4	070207	470540	5855	5.46
020322	821260	2323	0.5	070209	470560	621701	1.35
020323	821280	1358	0.2	070210	470580	1358	0.77
020324	821300	658919	0.4	070211	470600	1358	1.83
020326	821320	3393	0.7	070213	470620	6112	<0,1
020327	821340	1563	0.2	070213	470625	181902	2.12
020328	821360	5855	1	070214	470640	1276	2.48
020330	821380	5855	1.2	070215	470660	1358	0.7
020401	821400	658919	1.1	070217	470680	658919	0.18
020403	821420	1358	0.5	070218	470700	1358	0.15
020404	821440	1358	0.4	070220	470720	1358	<0,1
020405	821460	2325	0.2	070221	470740	1918	<0,1
020408	821480	2546	0.9	070223	470760	1358	<0,1
020409	821500	658919	0.6	070224	470780	1358	<0,1
020410	821520	2325	0.2	070225	470800	1358	<0,1
020412	821540	1561	<0,2	070226	470820	2355	<0,1
020413	821560	2342	<0,2	070228	470840	2323	0.4
020414	821580	2325	<0,2	070301	470860	6112	0.45

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
020416	821600	1358	0.3	070302	470880	597905	0.54
020417	821620	1358	<0.2	070303	470900	2323	0.73
020418	821640	1358	<0.2	070305	470920	1358	0.44
020419	821660	1358	0.4	070307	470940	658924	0.48
020420	821680	1358	0.6	070308	470960	1911	0.32
020422	821700	1961	6.0	070310	470980	658919	0.82
020423	821716	181902	0.96	070311	471000	658919	0.99
020423	821720	1358	0.11	070312	471020	1358	0.32
020424	821740	1563	0.52	070314	471040	1921	1.21
020426	821760	1358	0.67	070315	471060	658919	0.75
020426	821780	2325	0.49	070316	471080	658924	0.65
020428	821800	1545	<0.1	070317	471100	2323	<0.1
020429	821820	1961	6.55	070318	471120	1358	<0.2
020501	821840	1357	0.21	070319	471140	6112	<0.1
020502	821860	1563	0.58	070321	471160	4311	<0.1
020503	821880	1358	0.38	070322	471180	6112	<0.1
020504	821900	2325	0.4	070324	471200	658919	0.95
020506	821920	1358	0.38	070327	471220	1358	<0.1
020507	821940	2325	0.53	070328	471240	6216	<0.1
020508	821960	1391	0.48	070329	471260	1358	<0.1
020509	821980	1563	0.37	070330	471280	621701	<0.1
020512	822020	1358	0.85	070331	471300	2323	<0.1
020513	822040	1276	0.51	070401	471320	1358	<0.1
020514	822060	2323	1.26	070403	471340	2323	<0.1
020515	822080	1563	0.57	070404	471360	6216	<0.1
020517	822100	1358	0.7	070406	471380	1358	0.19
020518	822120	2325	0.74	070407	471400	6112	<0.1
020519	822140	151904	0.29	070408	471420	658919	0.64
020520	822160	1358	0.45	070410	471440	621701	1.2
020521	822180	2325	0.48	070411	471460	2355	<0.1
020522	822200	1563	0.41	070413	471480	6216	<0.1
020524	822220	2325	0.28	070414	471500	1358	<0.1
020525	822240	2323	0.4	070415	471520	621701	<0.1
020527	822260	2553	1.0	070417	471540	1921	<0.1
020530	822300	1391	0.12	070418	471560	2395	0.12
020531	822320	2342	0.64	070420	471580	658919	<0.1
020601	822340	1358	0.48	070421	471600	1358	0.17

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
020603	822360	2325	0.61	070422	471620	6112	0.42
020604	822380	597905	1.31	070424	471640	621701	0.63
020606	822400	2323	0.5	070425	471660	1358	0.69
020607	822420	1391	0.61	070426	471680	6112	1.17
020610	822460	1358	0.69	070428	471700	658919	0.1
020610	822464	1818	1.06	070429	471720	1358	0.27
020612	822480	2325	0.48	070430	471740	1358	0.25
020614	822500	5857	1.16	070502	471760	6216	0.81
020616	822520	2323	0.47	070503	471780	621701	0.96
020617	822540	2342	0.41	070505	471800	2323	<0,1
020619	822560	1563	0.17	070506	471820	1358	0.23
020621	822600	1358	0.45	070507	471840	658924	1.03
020623	822620	658919	0.54	070509	471860	1921	0.34
020624	822640	1358	0.55	070510	471880	1358	0.28
020626	822660	2342	0.4	070512	471900	658919	0.51
020627	822680	338908	0.49	070513	471920	621701	0.16
020629	822700	1358	0.36	070516	471960	2395	0.25
020630	822720	597905	0.82	070518	471980	621701	0.15
020701	822740	3371	0.49	070519	472000	135801	0.24
020702	822760	2323	0.58	070521	472020	658919	<0,1
020703	822780	2342	0.98	070522	472040	658924	<0,1
020704	822800	1396	0.4	070523	472060	1921	0.53
020705	822820	1961	4.14	070524	472080	658919	0.64
020707	822840	1358	0.37	070526	472100	2323	0.36
020707	822860	1358	0.34	070527	472120	6112	1.06
020709	822880	5857	1.15	070528	472140	1358	0.2
020710	822900	1358	0.59	070530	472160	194201	0.56
020711	822920	2325	0.53	070531	472180	621701	0.45
020731	822940	1563	0.5	070602	472200	6611	<0,1
020803	822980	1358	0.38	070603	472220	6112	0.39
020805	823000	1552	0.24	070604	472240	1358	<0,1
020807	823020	2325	0.21	070607	472260	194201	0.51
020809	823040	2325	<0,1	070608	472280	5855	1.00
020812	823060	1358	0.45	070609	472300	658919	0.34
020813	823080	1358	0.32	070611	472320	6112	0.37
020815	823100	2325	1.18	070613	472340	1356	0.53
020817	823120	3551	0.77	070616	472380	1358	<0,1

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
020818	823140	2325	0.9	070617	472400	1358	<0,1
020819	823160	194201	0.66	070618	472420	1276	<0,1
020821	823180	3393	1.56	070620	472440	1961	0.58
020822	823200	1563	0.14	070623	472460	6112	0.69
020823	823220	2341	1	070625	472480	6112	0.39
020825	823240	1961	2.08	070626	472500	1358	0.3
020826	823260	2323	0.46	070628	472520	1276	0.16
020827	823280	1358	0.75	070630	472540	1358	<0,1
020829	823300	1358	0.58	070702	472560	658924	0.26
020830	823320	338908	0.5	070703	472580	6611	0.23
020901	823340	1335	0.43	070705	472600	722601	<0,1
020903	823360	5855	1.95	070706	472620	621701	0.39
020904	823380	2325	0.46	070708	472640	6112	<0,1
020906	823400	3383	0.68	070709	472660	621701	0.51
020908	823440	2393	0.35	070710	472680	1358	0.22
020910	823460	722401	0.23	070712	472700	1276	0.26
020911	823480	658919	0.66	070730	472720	1358	<0,1
020912	823500	658919	0.69	070801	472740	2546	<0,1
020913	823520	1563	0.33	070802	472760	2323	<0,1
020916	823560	2553	0.28	070804	472780	3393	<0,1
020917	823580	1358	0.35	070807	472820	658919	0.43
020920	823620	1358	0.52	070808	472840	1358	0.16
020921	823640	1276	0.22	070810	472860	5857	1.13
020923	823660	191601	1.19	070814	472880	658919	0.41
020924	823680	1358	0.7	070814	972880	658919	0.41
020925	823700	2546	0.5	070815	472900	1358	0.4
020927	823720	1552	0.45	070817	472920	3393	0.15
020928	823740	1593	0.36	070818	472940	1325	0.13
020930	823760	2325	0.49	070819	472960	1276	0.23
021001	823780	1961	1.14	070821	472980	621701	0.44
021003	823820	1537	0.67	070822	473000	658924	0.62
021006	823860	597905	1.00	070824	473020	658924	0.27
021010	823920	1513	0.33	070826	473040	1416	<0,1
021012	823940	2325	0.85	070828	473060	6112	0.61
021013	823960	1358	0.54	070830	473080	658924	0.43
021015	824000	1357	0.8	070831	473100	1325	0.2
021017	824020	1563	0.65	070902	473120	2323	0.17

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
021018	824040	3393	0.14	070903	473140	6112	<0,1
021020	824060	1352	0.15	070904	473160	621701	0.3
021024	824120	2325	0.38	070906	473180	658919	0.17
021025	824140	2395	0.65	070907	473200	722401	0.3
021026	824160	2546	0.17	070909	473220	1921	<0,1
021028	824180	1358	<0,1	070910	473240	658924	0.18
021029	824200	1391	0.82	070912	473260	6112	0.26
021030	824220	658919	0.82	070913	473280	1358	0.18
021031	824240	1358	0.48	070914	473300	2323	<0,1
021102	824260	6211	0.23	070916	473320	2355	<0,1
021103	824280	2323	0.38	070918	473340	6112	0.27
021105	824320	2341	0.28	070919	473360	1593	0.11
021107	824340	5857	0.56	070921	473380	1358	0.12
021108	824360	1358	0.22	070922	473400	6112	0.58
021109	824380	1358	<0,1	070924	473420	1552	0.1
021112	824420	1356	0.49	070925	473440	597905	0.43
021113	824440	1358	0.33	070927	473460	1358	0.11
021114	824460	1358	0.27	070928	473480	658924	0.48
021115	824480	2325	<0,1	070930	473500	231920	0.13
021116	824500	3393	0.87	071002	473520	6112	0.23
021119	824540	7315	<0,1	071003	473540	1358	<0,1
021120	824560	2325	0.22	071005	473560	1358	0.16
021121	824580	1358	0.17	071006	473580	1358	0.59
021123	824600	658919	<0,1	071008	473600	1358	0.21
021128	824680	1358	<0,1	071009	473620	658919	0.35
021129	824700	2395	0.16	071010	473640	658919	0.45
021130	824720	2325	<0,1	071011	473660	1358	0.16
021201	824740	1358	<0,1	071013	473680	1358	<0,1
021203	824780	1391	0.25	071014	473700	6112	0.19
021206	824820	194201	0.87	071015	473720	1358	<0,1
021209	824860	1358	0.16	071017	473740	1358	0.11
021210	824880	1357	0.3	071018	473760	1358	0.14
021211	824900	1358	0.66	071019	473780	658924	0.87
021212	824920	2325	0.25	071021	473800	1358	<0,1
021213	824940	1358	0.36	071022	473820	1358	<0,1
021214	824960	334204	0.52	071023	473840	658924	0.71
021215	824980	1358	0.25	071024	473860	2323	0.11

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
021217	825000	2546	0.15	071026	473880	658924	0.85
021217	825004	1818	1.04	071028	473920	658924	0.5
021218	825020	2323	0.49	071030	473940	621701	0.36
021220	825040	1358	0.19	071102	473980	658919	0.55
021221	825060	2342	0.18	071103	474000	1358	0.29
021222	825080	2542	0.17	071104	474020	1358	<0,1
021223	825100	1563	0.25	071106	474040	621701	0.28
021225	825120	1325	0.19	071108	474060	1358	0.15
021226	825140	1335	0.25	071109	474080	2323	0.11
021228	825160	1358	0.38	071111	474100	2323	<0,1
021230	825200	1358	0.13	071112	474120	1961	0.22
021231	825220	2342	0.11	071113	474140	2323	0.13
				071117	474180	663002	0.34
				071118	474200	1358	0.14
2003				071119	474215	181902	0.83
030102	830020	1358	0.24	071119	474220	6112	0.42
030103	830040	2325	0.37	071119	474220	6112	0.42
030104	830060	1358	0.22	071121	474240	621701	0.73
030107	830100	1537	0.22	071122	474260	1358	0.13
030108	830120	1563	0.55	071125	474300	1358	<0,1
030109	830140	2323	0.23	071126	474320	5855	1.55
030110	830160	194201	0.66	071128	474340	621701	0.33
030112	830180	2325	0.23	071129	474360	1358	<0,1
030113	830200	2323	0.25	071201	474380	2323	0.17
030115	830240	2323	0.3	071202	474400	658919	0.45
030117	830260	2325	0.48	071203	474420	1358	<0,1
030121	830320	2341	0.33	071206	474440	1358	0.36
030121	830340	1358	0.42	071207	474460	5855	1.9
030123	830360	1358	0.62	071208	474480	1358	0.13
030125	830380	658919	0.45	071210	474500	658919	1.19
030126	830400	1358	<0,1	071211	474520	127948	0.24
030127	830420	3383	0.45	071214	474540	621701	0.2
030128	830440	722401	<0,1	071215	474560	1358	0.22
030129	830460	1358	<0,1	071217	474580	663002	0.3
030131	830480	1358	<0,1	071218	474600	6112	0.33
030201	830500	1913	<0,1	071220	474620	658919	0.43
030202	830520	1358	<0,1	071221	474640	6112	0.47
030203	830540	3383	<0,1	071222	474660	1358	0.23

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
030204	830560	2323	<0,1	071223	474680	1358	0.45
030206	830580	194201	0.59	071224	474700	1358	0.4
030208	830620	2323	<0,1	071226	474720	621701	0.74
030209	830640	2323	0.17	071227	474740	621701	0.8
030210	830660	1358	<0,1	071228	474760	2323	0.61
030211	830680	597905	0.83	070130	474780	194201	0.51
030213	830700	2323	0.26	071231	474800	6112	0.66
030214	830720	1358	<0,1				
030215	830740	1358	0.11	2008			
030216	830760	1358	0.15	080103	480040	621701	0.86
030217	830780	1358	0.25	080104	480060	1358	0.35
030219	830800	1358	<0,1	080105	480080	2323	0.21
030220	830820	1358	<0,1	080107	480100	6112	0.25
030221	830840	2342	<0,1	080108	480120	597905	0.67
030222	830860	2323	0.17	080109	480140	1358	<0,1
030223	830880	1358	<0,1	080111	480160	135801	0.11
030224	830900	1358	<0,1	080112	480180	1358	<0,1
030302	830940	2546	<0,1	080114	480200	1358	0.16
030303	830960	658919	0.43	080115	480220	6112	0.5
030304	830980	1358	<0,1	080116	480240	2323	0.37
030305	831000	2395	0.36	080118	480260	6112	0.27
030308	831040	1563	0.33	080119	480280	1358	<0,1
030309	831060	1552	0.27	080120	480300	6112	0.37
030310	831080	2323	<0,1	080121	480320	6112	0.52
030311	831100	1358	<0,1	080123	480340	6112	0.54
030312	831120	1358	<0,1	080124	480360	1961	0.87
030315	831160	1918	0.6	080126	480380	658919	0.32
030316	831180	1358	0.19	080127	480400	597905	0.75
030317	831200	194201	0.24	080128	480420	658919	0.53
030319	831220	6216	0.46	080129	480429	181902	0.96
030320	831240	2323	<0,1	080130	480440	194201	0.46
030321	831260	1358	0.34	080201	480460	1918	0.11
030322	831280	1552	0.14	080202	480480	658919	0.52
030323	831300	2323	<0,10	080203	480500	6112	0.42
030325	831320	658919	0.4	080206	480520	6216	0.7
030326	831340	1358	<0,1	080209	480560	6112	0.86
030328	831380	1357	0.15	080210	480580	6112	0.63

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1988

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
030329	831400	1358	<0,1	080213	480620	194201	0.47
030330	831420	2323	0.27	080214	480640	1276	<0,1
030331	831440	5416	0.78	080215	480660	1358	<0,1
030401	831460	1358	0.27	080218	480700	597905	1.48
030402	831480	1358	0.76	080219	480720	2323	<0,1
030404	831500	3393	0.48	080223	480760	597905	1.07
030405	831520	1358	0.26	080224	480780	658926	0.91
030407	831540	1911	0.35	080226	480800	2323	<0,1
030408	831560	1358	0.37	080227	480820	3383	<0,1
030409	831580	1358	<0,1	080228	480840	2546	0.13
030411	831600	2323	<0,1	080301	480860	1358	0.23
030414	831640	1358	<0,1	080302	480880	658924	0.55
030415	831660	2323	0.26	080303	480900	1358	0.37
030415	831669	1818	1.58	080306	480940	127933	0.27
030416	831680	597905	1.72	080308	480960	658919	0.88
030417	831700	1358	0.14	080309	480980	1358	0.12
030419	831720	2342	0.2	080310	481000	2537	0.43
030420	831740	1358	0.44	080312	481020	2323	<0,1
030422	831780	5992	2.36	080313	481040	1358	0.13
030426	831820	2342	<0,1	080314	481060	1276	0.3
030428	831840	597905	0.62	080316	481080	1358	0.26
030429	831860	1358	<0,1	080318	481100	658919	0.31
030430	831880	2323	0.45	080319	481120	1358	0.27
030503	831920	2323	0.11	080321	481140	658919	0.23
030504	831940	2323	<0,1	080322	481160	6631	0.4
030505	831960	1563	0.12	080323	481180	1358	0.16
030507	831980	658919	0.6	080324	481200	658924	0.6
030509	832000	1358	0.37	080326	481220	3383	0.17
030510	832020	2323	<0,1	080327	481240	6992	<0,10
030511	832040	2341	0.25	080328	481260	2323	0.32
030513	832060	2323	<0,1	080330	481280	1358	0.24
030514	832080	1358	<0,1	080403	481320	127933	<0,10
030518	832160	194201	0.56	080405	481340	1358	<0,10
030520	832180	135801	0.11	080606	481360	1358	0.13
030521	832200	1358	0.53	080409	481390	181902	1.23
030522	832220	2323	0.34	080409	481400	6216	0.54
030524	832240	1563	0.11	080411	481420	658924	0.75

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
030525	832260	135901	0.35	080412	481440	1358	0.42
030526	832280	1358	0.33	080413	481460	1358	0.31
030528	832300	2323	0.72	080414	481480	1358	0.18
030529	832320	1357	0.33	080416	481500	2323	0.24
030530	832340	1513	0.7	080418	481520	6112	0.46
030531	832360	1961	0.95	080419	481540	1358	<0,1
030602	832380	658919	0.21	080420	481560	1358	0.2
030603	832400	1358	0.3	080422	481580	621701	0.54
030605	832420	2553	0.54	080423	481600	194201	0.49
030607	832440	658919	0.41	080424	481620	1358	0.16
030608	832460	1563	0.23	080426	481640	2323	<0,1
030610	832480	127933	0.24	080427	481660	1358	<0,1
030610	832489	1818	0.81	080428	481680	621701	0.53
030611	832500	1358	0.37	080430	481700	1276	<0,1
030613	832520	2323	0.27	080502	481720	6112	0.12
030614	832540	1358	0.33	080503	481740	2323	0.16
030615	832560	1357	0.43	080504	481760	658919	0.29
030616	832580	2355	0.31	080505	481780	194201	0.9
030618	832600	2325	0.71	080506	481800	6112	0.33
030619	832620	1358	0.25	080508	481820	2323	<0,1
030620	832640	3393	0.33	080509	481840	1358	<0,1
030622	832660	1921	0.16	080511	481860	1358	<0,1
030623	832680	2342	0.61	080513	481880	191903	1.67
030624	832700	2546	0.35	080514	481900	5855	1.07
030627	832720	6617	0.34	080516	481920	1358	0.46
030628	832740	2323	0.37	080518	481940	2872	1.52
030630	832760	1358	0.21	080519	481960	6112	0.4
030702	832780	2323	<0,1	080520	481980	658919	0.52
030703	832800	1563	<0,1	080522	482000	1358	0.16
030705	832820	3393	0.34	080523	482020	1911	0.2
030706	832840	1358	0.26	080525	482040	658924	0.17
030707	832860	2323	<0,1	080526	482060	658919	0.39
030709	832900	1416	0.58	080528	482080	658919	0.31
030710	832920	3342	<0,1	080529	482100	1358	0.2
030731	832940	1358	0.4	080531	482120	1921	0.15
030802	832960	658919	0.49	080603	482160	621701	0.21
030806	833000	1358	0.34	080604	482180	658919	0.2

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
030808	833020	658919	0.3	080606	482200	1358	0.16
030812	833040	2323	<0.1	080608	482220	1358	0.23
030813	833060	3393	0.36	080608	482224	181902	0.63
030815	933080	2323	0.11	080610	482240	621701	0.38
030816	833100	1358	0.19	080611	482260	6112	0.34
030817	833120	597905	1.32	080613	482280	2323	0.27
030821	833160	127933	0.21	080614	482300	2395	0.2
030822	833165	181902	0.11	080615	482320	1913	0.2
030823	833180	1358	0.28	080617	482340	5855	1.37
030824	833200	3393	0.8	080619	482380	1358	0.41
030825	833220	2323	0.13	080620	482400	1358	0.48
030826	833226	1818	1.55	080622	482420	658919	0.75
030828	833260	1921	0.17	080624	482440	6112	0.77
030830	833280	2323	0.22	080625	482460	1358	0.2
030831	833300	1358	0.21	080627	482480	1358	0.3
030901	833320	431910	0.18	080628	482500	1358	0.25
030902	833340	1961	1.06	080701	482520	658924	0.85
030904	833360	231921	0.19	080703	482540	658919	0.32
030906	833380	2553	0.16	080704	482560	1358	<0.1
030909	833440	2325	0.41	080705	482580	1358	<0.1
030910	833460	2323	0.52	080706	482586	181902	0.81
030912	833480	2546	0.97	080707	482600	6216	0.93
030913	833500	2323	0.27	080708	482620	6112	0.44
030914	833520	1358	0.2	080729	482660	2546	0.43
030916	833540	2323	0.22	080730	482680	2323	0.2
030919	833580	2546	2.33	080802	482720	1961	0.5
030921	833600	1358	0.23	080803	482740	1358	0.36
030921	833620	2323	0.26	080805	482760	621701	0.73
030922	833640	5855	2.63	080806	482780	2323	0.2
030924	833660	334205	2.06	080807	482800	1358	0.19
030925	833680	1563	<0.1	080811	482820	2323	0.1
030927	833700	1358	0.13	080812	482840	194201	0.26
030928	833720	2323	0.11	080814	482860	1358	<0.1
031001	833760	1358	0.31	080815	482880	6112	0.54
031004	833780	597905	3.58	080816	482900	3393	0.55
031005	833800	2323	<0.1	080818	482920	2323	<0.1
031006	833820	2395	0.97	080819	482940	194201	0.63

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
031007	833831	1818	1.13	080823	482980	658919	0.48
031008	833840	2542	0.13	080824	483000	597905	0.51
031009	833860	1358	<0.2	080825	483020	6112	<0.1
031011	833880	1921	0.12	080826	483040	1961	0.42
031013	833900	2323	0,6/0,57*	080828	483060	2323	1.34
031014	833920	1358	<0.2	080830	483080	621701	0.32
031018	833960	1358	<0.2	080901	483120	1358	<0.1
031024	834040	1358	<0.2	080903	483140	621701	0.75
031025	834060	2341	0,8/0,95*	080905	483160	1358	<0.1
031025	834066	181902	0.6	080906	483180	2553	<0.1
031027	834100	1961	1.88	080908	483200	658927	0.1
031028	834120	658919	1.05	080909	483220	1358	<0.1
031031	834160	1552	0.21	080910	483240	621701	0.14
031102	834180	2323	0.29	080911	483260	1563	<0.1
031103	834200	1358	0.31	080913	483280	127933	<0.1
031105	834220	6216	0.95	080915	483300	658927	0.43
031106	834240	231920	0.95	080916	483320	1358	<0.1
031108	834260	1556	0.24	080917	483340	1358	<0.1
031109	834280	1961	1.64	080918	483360	1358	<0.1
031110	834300	3412	0.77	080920	483380	127933	<0.1
031111	834320	2323	0.48	080923	483420	6112	0.26
031114	834360	1358	0.47	080924	483440	1358	<0.1
031115	834380	2323	0.59	080926	483460	1358	<0.1
031116	834400	1358	0.52	080927	483480	1358	<0.1
031119	834440	1552	0.34	080929	483500	131977	<0.1
031121	834460	2323	0.73	080930	483520	1911	<0.1
031122	834480	1358	0.59	081001	483540	3393	0.34
031123	834500	658919	0.94	081003	483560	2355	<0.1
031124	834520	1358	0.31	081004	483580	6112	0.49
031126	834540	597905	0.68	081006	483600	658919	<0.1
031129	834560	1358	0.41	081009	483640	1276	<0.1
031130	834580	231921	0.44	081010	483660	1358	<0.1
031203	834620	1358	0.33	081011	483680	1961	<0.1
031204	834640	1961	1.98	081014	483720	1358	<0.1
031206	834660	1358	0.34	081015	483740	2323	<0.1
031207	834680	1358	0.42	081017	483760	658919	0.67
031208	834700	1358	0.58	081019	483780	6112	0.53

LEAD CONTENT OF REPRESENTATIVE STEELS SINCE 1998

DATE	HEAT #	GRADE Code	Pb ppm	DATE	HEAT #	GRADE Code	Pb ppm
031209	834720	1961	1.91	081020	483800	1961	0.07
031210	834740	658919	1.08	081022	483820	1961	0.31
031211	834760	1358	0.9	081025	483840	621701	0.72
031212	834780	1358	0.57	081027	483860	127933	0.6
031223	834820	127933	0.24	081101	483880	1358	0.53
031224	834840	1537	0.26	081102	483900	597905	0.58
031225	834860	658919	0.48	081108	483940	1961	0.3
031229	834900	1358	0.32	081110	483960	231920	<0,1
031230	834920	2323	0.4	081115	483980	621701	0.73
031231	834940	2323	0.31	081116	484000	6216	<0,1
				081120	484020	2323	0.12
<b>2009</b>				081122	484040	1358	<0,1
090104	490020	6617	0.67	081123	484060	3371	<0,1
090108	490040	2553	<0,1	081129	484100	658927	0.85
090109	490060	1358	<0,1	081130	484120	131985	0.23
090113	490080	2323	0.12	081205	484140	1358	0.18
090116	490120	2323	0.41	081206	484160	194201	<0,1
090117	490140	597905	0.84	081209	484180	1358	<0,1
090118	490160	1358	0.31	081215	484200	231920	0.49
090120	490180	6112	<0,1	081218	484240	2323	0.23
090123	490220	658919	0.5	081220	484260	1358	<0,1
090128	490240	1358	0.1	081221	484280	658924	0.61
090129	490260	6112	0.24	081223	484300	1358	0.12
090131	490280	658919	0.31				
090201	490300	1358	0.1				
090202	490320	1358	<0,1				
090203	490340	1358	0.15				
090205	490360	6992	0.57				
090206	490380	163927	<0,1				

## Stevenson, Todd

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**From:** Green, Joseph J. [JGreen@KelleyDrye.com]  
**Sent:** Friday, February 13, 2009 12:41 PM  
**To:** Lead Determinations  
**Subject:** Section 101 Determinations of Certain Materials or Products NPR (74 Fed. Reg. 2433) - Comments of the Specialty Steel Industry of North America  
**Attachments:** CPSC Section 101 Determinations - SSINA Comments.pdf; TRACE-AOD-Praxair.pdf; TRACE-AOD-Whiting brochure.pdf; Lead Sampling Data.pdf

Attached please find comments submitted on behalf of the Specialty Steel Industry of North America. A letter detailing the comments and 3 accompanying attachments are included.

Please let us know if you have any questions.  
Regards,

Joseph J. Green  
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Counsel to the Specialty Steel Industry of North America

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**Stevenson, Todd**

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**From:** Pellegrini, John B. [jpellegrini@mcguirewoods.com]  
**Sent:** Monday, February 16, 2009 5:33 PM  
**To:** Lead Determinations  
**Subject:** USA-ITA Comments  
**Attachments:** USA-ITA Comments.pdf

Mr. Stevenson:

Please see the attached comments of USA-ITA.

Regards,

John B. Pellegrini

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February 16, 2009

Mr. Todd Stevenson  
Office of the Secretary  
U.S. Consumer Product Safety Commission  
4330 East West Highway  
Bethesda, MD 20814

Comments in Response to 1) Proposed Rulemaking, 74 Fed. Reg. 2433 (January 15, 2009), 2) Proposed Procedures and Requirements, 74 Fed. Reg. 2428 (January 15, 2009), and Proposed Interpretation, 74 Fed. Reg. 2439 (January 15, 2009)

Dear Mr. Stevenson:

The following comments are submitted by the United States Association of Importers of Textile and Apparel ("USA-ITA") and in response to the proposed rulemaking under Section 101 and in particular the identity of materials that inherently satisfy the lead content limits of Section 102(a) of the Consumer Product Safety Improvement Act of 2008 ("CPSIA"). USA-ITA also comments on the proposed procedures for considering exemptions under Section 101, and on the proposed interpretation of inaccessible components.

USA-ITA is a voluntary association of some 200 importers and retailers of textile products and wearing apparel as well as related service industries such as international transportation concerns. The importer and retailer members of USA-ITA import textile and apparel products with a first cost in excess of \$60 billion.

1. Proposed determinations on certain natural, untreated and unadulterated materials

USA-ITA appreciates the Commission's preliminary determination that natural fibers inherently do not exceed the lead content limits established under the CPSIA, but respectfully urges the Commission to broaden its determination to all textiles, regardless of additional normal processing, as well as some accessory items.

Wearing apparel is produced with natural and manufactured materials that contain little or no lead or, if they do contain lead, it is in such a form that it will not be absorbed by child who might mouth or otherwise be exposed to the lead-containing material. These materials include; fabric (natural and man-made), yarns), thread, trim (lace, labels, elastics, etc.), metal (snaps, zippers) crystal and glass (as decorations), wood (buttons) and various form of plastic (buttons).

USA-ITA's interest lies primarily with the treatment of textile materials. This interest is addressed below. Apparel also contains the other materials mentioned above. The Commission has recognized that wood does not require testing. Some apparel will incorporate glass and crystal in the form of ornamentation. USA-ITA fully concurs with the submission filed by the Fashion Jewelry Trade Association ("FJTA") on February 2. That submission provides a

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detailed, documented analysis of why lead in glass and crystal does not pose a danger to children. USA-ITA adopts the position taken in FJTA's submission. Other materials used in apparel such as plastic may require testing. USA-ITA does not address those materials here.

A January 22, 2009, meeting between Commission staff and members of the textile, apparel and retail industries addressed the level of lead in apparel. The industry participants presented independent laboratory tests demonstrating that a wide range of natural and man-made fabrics, trim and thread, including materials that were dyed as well as printed, do not present a risk. These independent laboratory tests established that while some textile materials incorporate lead, the levels are 100 ppm or less. The majority of the tests failed to detect any lead or found only trace amounts.

The Commission has recognized that natural fibers are inherently lead free and has proposed that they be exempt from lead testing requirements, at least when they have not been treated. USA-ITA assumes the term treatment means dyed and/or printed.

The Commission must go further and it has been presented with the best available objective scientific evidence to support a determination that both natural and processed fibers do not pose a risk. The process of creating man made fibers whether cellulosic or synthetic does not use lead and there are no conditions or circumstances that make it likely that lead would be introduced to the fibers in the course of manufacture.

Cellulosic fibers (rayon, acetate and lyocell) are produced using purified wood pulp. No lead-containing materials are used in that process. Synthetic fibers (e.g. polyester, nylon, acrylic) are produced with the use of polymers, which are synthesized from purified petrochemicals, none of which contain lead in any form. The petrochemical base is polarized in chemical processes that are closely monitored to ensure that there is no contamination by foreign materials (such as lead) that would have an adverse effect on the polarization process.

These fibers are used to make fabric, thread and other textile materials. Nothing in the process of turning fibers to yarn and then to fabric intentionally adds lead. The conversion of thread or yarns into fabric by weaving, knitting or other processes does not introduce lead. Lubricating oils are used but in all cases they consist of various organic compounds that do not contain lead.

Once in fabric, yarn or thread form, fibers usually are subject to preparation processes and dyeing. The preparation processes include procedures such as bleaching and scouring. In all cases inorganic compounds are used; again none of the chemicals used contain lead.

Fabric, yarn and thread often are colored with the use of dyes. The dyes are not lead based. Although some dyes contain lead, it is generally the case that lead-containing dyes are found in heavier fabrics for use as carpets, in automotive applications as drapes and in similar applications where color fastness requirements are more stringent than in apparel.

Some textile materials may contain small or trace amounts of lead, well below 600 or 300 ppm. However, even this is the exception rather than the rule. The Commission should recognize that the evidence provided on January 22 and in subsequent correspondence establishes that lead in

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fabric used in apparel production is insignificant by any reasonable measure. The Commission has already proposed that natural fabrics be exempt from lead testing requirements. The Commission should expand this to include all textile materials.

USA-ITA therefore urges that the Commission exempt the materials described herein from lead testing requirements by including them under its proposed Section 1500.91, and not limiting subsection (c) of that proposed regulation to "natural materials."

## 2. Proposed procedures for a Commission determination or exclusion

USA-ITA appreciates the process that the Commission proposes to consider requests for exclusions of particular products and materials that exceed the lead limits, but which, based upon scientific evidence, will not result in absorption of lead into the body or have any other adverse impact on health or safety.

The Commission may exclude specific materials from the lead limits of Section 101 when it determines that any lead in the material will not result in the absorption of lead, taking into account normal and reasonably foreseeable use and abuse conditions, and otherwise will not have an adverse impact on public health or safety. The CPSIA recognizes that eliminating lead is not achievable, and that children might be exposed to lead in handling or mouthing products that meet total lead limits but in amounts that would not pose a health risk.

The CPSIA clearly contemplates that the Commission will exercise its authority to exclude materials that would not expose children to accessible lead in amounts that would create a health hazard.

While USA-ITA firmly believes that textile products should be recognized under the exemption regulation discussed in the first section of these comments, rather than the proposed process for exclusion, USA-ITA supports the proposed procedures for other inputs that may be included in apparel, such as rhinestone beads. Because the Commission has already been presented with substantial scientific evidence through the February 2 request by the FJTA et al., USA-ITA expects that the Commission can move expeditiously to make the necessary determinations that these non-textile inputs do not present a risk of absorption of lead into the body and do not create any other adverse impact on health or safety.

## 3. Proposed interpretative rule

The Commission seeks comments on whether fabric coverings could be used as a barrier that would render lead within the product inaccessible to a child. USA-ITA believes strongly that the answer is yes. A fabric covered button or a base of a zipper encased in fabric would be inaccessible to a child, through normal use and abuse. The fabric used for this purpose must withstand wear to remain whole throughout the life of the garment. A child could cut the fabric exposing the substrate but under proposed section 1500.87(g) the use of scissors or knives may not be a factor in assessing accessibility. In normal use and abuse these fabrics will not wear away to expose the button or zipper base.

February 16, 2009

Page 4

Conclusion

USA-ITA appreciates the opportunity to comment on these important matters and urges that its views be adopted by the Commission.

Sincerely,



Laura E. Jones  
Executive Director

Of counsel:

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Kristina Hetlelid, Ph.D., MPH  
Directorate for Health Sciences  
Consumer Product Safety Commission  
4330 East Wst Highway  
Bethesda, MD 20814

February 17, 2009

**RE: Section 101(b) Exclusions**  
To: [Sec101Exclusions@cpsc.gov](mailto:Sec101Exclusions@cpsc.gov)

VIA ELECTRONIC MAIL

OTA appreciates the opportunity to comment on the CPSC's notice of proposed procedures and requirements for Commission determinations that specific materials or products do not exceed the lead content limits. We also welcome the recent publication of the CPSC's "Guidance on the Consumer Product Safety Improvement Act (CPSIA) for Small Businesses, Resellers, Crafters and Charities," which clarifies that the Commission is considering exempting materials or components that include yarns and textiles, either dyed or undyed, from the testing requirement.

Our members are highly motivated by the concern for protecting children from exposure to toxic substances, and the increasing popularity of organically produced textile products is concentrated in infants' and childrens' clothing and bedding. The FTC has ruled that in order to label the fiber content of a textile product as "organic," it must be produced in compliance with the USDA National Organic Program (NOP) regulations.

However, neither the FTC nor the NOP covers textile processing or manufacturing methods, so neither recognizes the organic label on a textile product. OTA has helped to develop a textile processing standard for organic fiber products, now established as the voluntary program known as the Global Organic Textile Standard (GOTS)<sup>1</sup>. GOTS certification, like the NOP, requires third party verification and auditing of any operation that manufactures or handles products identified as "organic" under GOTS.

All dyes, auxiliaries, and other inputs and components used in a GOTS certified product must be free of heavy metals as defined by Ecological and Toxicological Association of Dyes and Organic Pigments Manufacturers (ETAD). The ETAD limit for lead is 100ppm. Accordingly, OTA requests that GOTS certified products, as well as component parts (e.g., fabrics, yarns) that are GOTS certified, be excluded from the CPSIA lead testing requirement.

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<sup>1</sup> Please see [www.global-standard.org](http://www.global-standard.org) for more information on GOTS.

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OTA supports the approach of requiring that manufacturers of childrens' products obtain documentation that suppliers of component parts do not contain lead. We believe that third party verification and auditing of the manufacturing process for any product, including component parts, is sufficient to prevent the introduction of lead or other hazards into the content of the final product.

OTA urges the CPSC to allow the possibility of third-party certification of the *manufacturing process* in addition to third-party testing of component parts. The approach of process certification rather than product testing is a primary feature of organic label claims, and is successfully implemented throughout the world as, among other things, a means of preventing the introduction of prohibited substances into the supply chain.

Third-party certification or testing of component parts must be accompanied by a fully traceable audit trail to ensure that only those components with appropriate certification documentation are used to produce a given retail article. GOTS certification, like all organic and process-based certifications, requires operators to undergo annual audits to verify that their manufacturing processes and inputs are compliant with the standards.

Third party certification bodies should be accredited by a recognized accreditation body under ISO 65 (or ISO/IEC 17021:2006), and include periodic testing of a significant sample of product as part of the verification regime.

A fully traceable supply chain must be included with any third-party certification or testing procedure, such that the lot code identification and source of all components and inputs used in producing a finished textile product can be identified. Quality management systems must therefore be in place to establish the procedures necessary to document every transformation and movement of inputs, components, works in progress, and finished goods.

GOTS certification of organic textile products and component parts, such as dyed fabrics and yarns, should be sufficient to demonstrate that no lead-containing inputs have been used in their manufacture, and therefore considered equivalent to third-party testing. This is demonstrated by a well documented third party verification of the manufacturing and handling system, including a fully traceable audit trail that allows all inputs to be traced through the supply chain.

OTA appreciates the opportunity to provide input to this important rule making process, and we will be happy to respond to requests for additional information about organic textile manufacturing and GOTS certification.

Thank you very much for your consideration.

Yours truly,



Tom Hutcheson  
Regulatory and Policy Manager

**Stevenson, Todd**

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**From:** Tom Hutcheson [THutcheson@ota.com]  
**Sent:** Tuesday, February 17, 2009 3:32 PM  
**To:** Lead Exclusions  
**Subject:** Section 101(b) Exclusions  
**Attachments:** comment 02-17-09 leadexcl.doc

Dear Ms. Hatlelid,

Please find attached the comments of the Organic Trade Association.

--Tom Hutcheson  
Regulatory and Policy Manager  
Organic Trade Association  
60 Wells Street 01302  
P.O. Box 547  
Greenfield, MA 01301  
413-376-1214; fax 413-774-6432  
[thutcheson@ota.com](mailto:thutcheson@ota.com); [www.ota.com](http://www.ota.com)

**Stevenson, Todd**

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**From:** Bmaggard28@aol.com  
**Sent:** Wednesday, February 18, 2009 3:29 AM  
**To:** Lead Determinations; Hatlelid, Kristina  
**Subject:** section 101 determinations of certain materials  
**Attachments:** Picture%20421.jpg; Picture%20423.jpg; Picture%20426.jpg

I am a freelance children's clothing designer. I design embellished applique designs. I have questions about specific items I use the most. I am listing below the materials I use consistently every season. Could you please let me know if they are acceptable to use on children's clothing under the section 101 law. Thanks so much for your help.

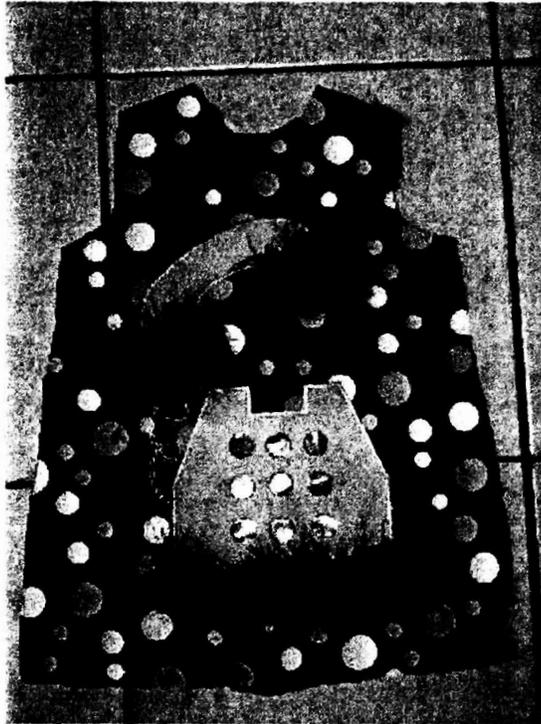
Feather boa  
maraboa  
crazy or googly eyes  
jeweled buttons  
hair gimp  
silky fleece

I have included a picture of the type clothing I design. It is generally the simplest design, but what makes it so appealing to girls are the embellishment. I need to know if I will run into problems with the specific items I use. Thanks again!

feather boa and jewel buttons



maraboa and jewel buttons



beaded necklace and crazy eyes



*Becky Maggard*  
Freelance Children's Clothing Design  
Monogram & Embroidery

Wetumpka, Alabama

334-391-0182

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You can't always choose whom you love, but you can choose how to find them. Start with AOL Personals.

February 17, 2009

Mr. Todd Stevenson  
Office of the Secretary  
Consumer Product Safety Commission  
Room 502  
4330 East-West Highway,  
Bethesda, Maryland, 20814

Via Email: [Sec101Determinations@cpsc.gov](mailto:Sec101Determinations@cpsc.gov)

Dear Mr. Stevenson:

We the following companies request that the Consumer Product Safety Commission (CPSC), following its proposed rulemaking, confirms its intention to exclude unembellished dyed or undyed textiles from the lead testing regime established by Section 101 of the CPSIA. It has been amply demonstrated, and particularly here in the case of legwear products, that negligible levels of lead exist in our products. Moreover, in fact, we have demonstrated that it would be almost technically impossible to construct a "leaded" product.

We were pleased to participate in the CPSC hearing on January 22, 2009 to discuss lead content of apparel and its treatment under the Consumer Product Safety Improvement Act (CPSIA). As Dan St. Louis of the Hosiery Technology Center (HTC) - an independent laboratory associated with the Catawba Valley Community College - explained, the HTC has been searching for lead in textile fibers, waxes, oils, dyes and finishing chemicals used in hosiery processing.

#### **Tests for Lead in Commercial Products**

As part of their effort the HTC contacted stakeholders within the entire industry supply chain. To date, the survey has included 3,594 Material Safety Data Sheets, out of which no products with lead have been identified. Additionally, the HTC conducted EPA Wastewater Inspections - 154 tests with zero lead content. Finally, 959 sock tests were performed and none tested positive for lead. The conclusion that HTC reached was that no embellished commercial products in the supply chain contained lead.

**Table 1**

<b>Commercial Socks</b>	
Coolmax Polyester/A.M.Y/Spandex	< 63 ppm
Polyester/Nylon/Silver/Spandex	< 63 ppm
Rayon/Cotton/Nylon/Spandex	< 63 ppm
Wool/Nylon/Spandex	< 63 ppm
Cotton/Nylon/Spandex	< 63 ppm
Cotton/Acrylic/Nylon/Spandex	< 63 ppm

Multi-fiber strips were agitated vigorously in a solution of 2% nitric acid for 2 hours. The extract was then analyzed using atomic absorption spectrometry (AAS). (The same tests performed using commercially available socks with different fiber contents to determine any lead levels present).

As illustrated above, in all the samples, using all of the different combination of materials, the lead level was consistently measured at less than 63 ppm.

To put this amount in perspective, one can compare it to potential everyday exposure to lead through other vectors. For example, the EPA action level for drinking water consumed is 0.015 milligrams/liter or .015 milligrams/day, assuming a child drinks 1 liter of water daily. Federal EPA inhalation action level is 0.00015 milligrams of lead per cubic meter. Based on California EPA research a child sitting inhales approximately 10 cubic meters of air per day. Consequently, the airborne lead limit for children is .0015 milligrams/day. The FDA limits for food consumption of lead is 0.006 milligrams per day.

**Table 2**

	<b>Lead limit Milligrams/day</b>
Water (EPA)	.015
Air (CA EPA)	.0015
Food (FDA)	.006
<b>TOTAL</b>	<b>.0225</b>

A 2 gram sample of sock (<63 ppm Pb) contains less than 0.125 milligrams of lead. To reach a lead level equivalent to the limit allowed through the consumption of water, air and food combined, a child would have to consume approximately 2 grams of socks every 5-6 days.

Furthermore, the HTC concluded that although “leaded fabric” can be created, it cannot be produced with commercially available dyes and finishes. The simple reason is that the leaded fabrics would not be commercially acceptable for colorfastness. Leaded fabrics would require more expensive dyes – up to 3 times the cost for currently available dyes - and yield poorer dyeing quality versus industry standard (lead free) commercially available dyes and finishes.

As mentioned previously, we are very pleased to share this information with you and your staff. We hope that this data will help you make a final determination that unembellished legwear products should be excluded from the lead testing and certification requirements under the CPSIA.

We believe that this position is consistent with the stated position of the Congressional authors of the CPSIA. In their letter of January 16, 2009, Chairmen Waxman and Rockefeller, and Subcommittee Chairmen Rush and Pryor supported the Commission’s efforts to determine that some products which do not contain lead should be excluded from third-party testing requirements under the CPSIA. These products included “children’s apparel that consist entirely of dyed or undyed fabric that is unlikely to contain excess amounts of lead and does not include metal, plastic, or painted component that may contain amounts of lead in excess of the law’s limits.” This exclusion would not overrule the application of the lead limits to these products, rather “[it] would serve only to relieve the manufacturers of such material or products from the testing and certification requirements established by the law.” The Congressional letter, moreover, encouraged the Commission “to expedite consideration of such a determination for children’s books and children’s apparel that contain no painted, plastic, or metal components.”

The CPSC’s “Statement of Enforcement” lent additional support of this position by clearly articulating that “dyed or undyed textiles (not including leather, vinyl or PVC) and non-metallic thread and trim used in children’s apparel and other children’s fabric products such as baby blankets” consistently have under the required lead level limit of 300 ppm.<sup>1</sup>

The members of the Legwear Coalition, strongly encourage the Commission to complete its final ruling on the exclusion of unembellished legwear. We believe, as does Congress, that children’s apparel, in particular children’s legwear products, conform with the guidelines established, and should therefore be immediately excluded from CPSIA testing and certification requirements.

We look forward to remaining in close communication with you in the future. If you have any questions or comments regarding any aspect of the application of the CPSIA on the legwear industry, please contact Sally Kay of The Hosiery Association at 704.365.0913, ext. 212 or via email [sally.kay@hosieryassociation.com](mailto:sally.kay@hosieryassociation.com). Thank you in advance for your attention and assistance.

---

<sup>1</sup> <http://cpsc.gov/about/cpsia/101lead.pdf>

Sincerely,

The Hosiery Association  
Acme-McCrary Corporation  
Crescent Inc.  
Hanesbrands Inc.  
Kayser-Roth Corporation  
Knit-Rite Inc.  
Renfro Corporation

**Stevenson, Todd**

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**From:** Ned Steiner [esteiner@strtrade.com]  
**Sent:** Tuesday, February 17, 2009 4:58 PM  
**To:** Lead Determinations  
**Subject:** Request for final determination regarding the exclusion of unembellished legwear from Section 101(b) lead testing and Section 102 certification requirements  
**Attachments:** exclusion from lead testing comments.pdf

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February 17, 2009

Todd A. Stevenson  
Office of the Secretary  
Consumer Product Safety Commission  
4330 East-West Highway  
Bethesda, Maryland 20814

Via Email: Sec101Determinations@cpsc.gov

**RE: Section 101 Determinations of Certain Materials or Products NPR  
Section 101 Request for Lead Content Determination**

Dear Mr. Stevenson:

I am writing on behalf of Scholastic Inc. (Scholastic) - the world's largest publisher and distributor of children's books - to comment on the proposed rulemaking of the Consumer Product Safety Commission (CPSC) pertaining to determinations of lead content in children's products pursuant to Section 101 of the Consumer Product Safety Improvement Act of 2008 (CPSIA). In particular, Scholastic wishes to provide comments as such rules would relate to "ordinary books"<sup>1</sup> designed or intended primarily for children ages twelve and younger.

Scholastic was founded in 1920 with a mission of bringing "today's" world into the classroom. Eighty-nine years later, Scholastic is the world's largest publisher and distributor of children's books; in fiscal 2008, Scholastic published or distributed approximately 350 million children's books in the United States alone. Scholastic is also a global leader in children's education and media, dedicated to its mission of helping children around the world to read and learn. We maintain approximately 6,000 children's and young adult titles for trade distribution, and Scholastic reading materials are found in 97% of schools across all fifty states. Scholastic also has a longstanding commitment to quality children's literature, as evidenced by the fact that many of its children's books have received awards for excellence in children's literature, including Newbery Honors and the Caldecott Medal (the latter awarded most recently in 2008, for *The Invention of Hugo Cabret*).

Scholastic strongly supports the general goals of the CPSIA: protecting children from lead exposure and promoting policies aimed at significantly reducing the amount of allowable lead contained in children's products. Indeed, as a company whose products are designed primarily for use by children, Scholastic has always placed product safety among its highest priorities. Scholastic has routinely safety tested its toy and novelty products through independent testing laboratories to insure that such products meet or exceed applicable federal and industry

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<sup>1</sup> Scholastic uses the term "ordinary books" in this comment to mean paper-based, printed books that are designed or intended primarily for children 12 years of age or younger. Scholastic does not include in this term so-called "novelty" products such as, for example, plastic-based bath toys or teething products that are made to resemble books in shape and form, or books that have plastic, metal or electronic parts that are not part of the binding and with which children may be expected to interact.

safety standards, and we look forward to continuing this commitment to providing safe children's products under the new standards set forth in the CPSIA.

However, Scholastic is greatly concerned that the CPSIA—and the related NPR—will also require “ordinary books” for children to undergo extensive and costly independent lead testing, despite any evidence at all that such products can or do expose children to dangerous levels of lead - or indeed, any lead at all. It is our firm belief that Congress, in enacting the CPSIA, did not intend to sweep children's ordinary books within the scope of this law. Nor do we believe that Congress intended, by passing the CPSIA, to cause parents, teachers, librarians, and children's booksellers to falsely perceive that children's books currently on their shelves could pose a safety threat to children, in the absence of any scientific evidence to support this belief. Scholastic therefore respectfully requests the CPSC to exercise its general rulemaking authority pursuant to Section 3 of the CPSIA to make a determination (and to adopt findings and/or regulations) that “ordinary books” and their component materials do not contain lead in amounts exceeding the prescribed limits for total lead content in children's products, and are thus to be categorically excluded from the CPSIA.

In particular, Scholastic urges CPSC to consider two steps during its rulemaking process that would clarify the status of ordinary children's books.

First, in relation to the NPR on Determinations of Certain Materials or Products, Scholastic recognizes that the main thrust of this proposed rule is to identify “commodities or classes of materials that do not inherently contain lead or contain lead that does not exceed the CPSIA lead limits of 600 ppm or 300 ppm.” However, while the preliminary listing of materials provided in the proposed rule is limited to “natural materials” and “certain metals and alloys,” Scholastic notes that the CPSC has also requested comments on “other materials, which by their nature, would not exceed the lead content limits.” Scholastic believes that there is no inherent reason for the CPSC to limit its preliminary determinations to “natural” products alone, particularly where the process of creating a composite product from non-lead containing sources (such as an ordinary book) does not introduce any lead into either the production process or the final product (see pp. 3, 10-11, 13 of the comments and responses submitted by the Association of American Publishers (“AAP”) dated February 12, 2009). As the CPSC already interprets its authority under Section 3 of the CPSIA to allow it to make a finding that a “specific material or product does not exceed the lead content limits,” Scholastic believes that based on the evidence already presented to CPSC by the publishing industry, such a finding is eminently proper and well within the CPSC's authority and within the legislative intent of the Act (see below).

Second, with respect to the NPR outlining the procedures pertaining to the process of establishing categorical exclusions (if a product does not receive a preliminary exclusion), Scholastic strongly believes that the publishing industry has provided CPSC with sufficient data on “ordinary books”—both in scope and detail—to comply with the procedures as outlined in the proposed rule. Scholastic would thus urge the CPSC to adopt a finding, in accordance with the procedures outlined in the proposed rule, that would exclude “ordinary books” from the CPSIA.

As the CPSC is aware, there is significant evidence from the publishing community, including the printers that Scholastic relies upon for the totality of its printing of ordinary children's books, that neither the raw materials for ordinary books nor the production process itself contains or introduces any appreciable amount of lead into ordinary books (see generally the AAP's February 12 comments and responses). Indeed, in over 150 tests on the inks, papers, adhesives, laminates, coatings, and other component materials used in such "ordinary books," conducted by accredited laboratories using generally accepted standards for determining lead content, the lead content in every test was either non-detectable or detectable at approximately 10 ppm. As the CPSC is aware, this is far below even the strictest limit (100ppm) envisioned by the CPSIA.<sup>2</sup>

Scholastic recognizes the desire of the CPSC to ensure that these results are representative of the children's publishing industry as a whole. Scholastic believes that the nature and structure of the children's publishing industry indicates that they are representative and Scholastic is not aware of any cited results to the contrary. The children's publishing industry is limited to a very small number of major publishers - the ten largest publishers of children's books in the United States comprise over eighty percent of the domestic market. In terms of the manufacturing process for ordinary books, all of these children's publishers rely on an even smaller set of equipment suppliers; no more than ten manufacturers produce the presses and equipment utilized by today's ordinary book publishers, and fewer than five manufacturers produce the binding equipment. Furthermore, neither the commercial ink nor commercial paper and paperboard industries who supply these publishers utilize lead-based chemicals in either their production processes or finished products, due in part to their own industry standards, EPA, OSHA, and CPSC standards, and the fact that nineteen states have adopted laws intended to reduce and, eventually, eliminate lead and certain other heavy metals from packaging and packaging materials (based on standards promulgated in 1989 by the Coalition of Northeastern Governors (CONEG)). Given the highly concentrated nature of the supplier network for the children's ordinary book publishing industry, Scholastic believes that the test results made available to the CPSC are indeed representative of the children's ordinary book publishing industry as a whole.

It is important to also recognize that, were ordinary children's books nevertheless required to undergo lead testing pursuant to the CPSIA, the economic consequences would be devastating to Scholastic and other children's publishers, as well as to all resellers and the parents, teachers and other end customers who purchase children's ordinary books. In 2008 alone, Scholastic issued nearly 18,000 purchase orders for the production of individual book products. Although several thousand of those book products were "book-plus" items (i.e., books containing materials other than paper and ink) that would undergo Scholastic's strict independent safety testing as a matter of course, the vast majority of those items—approximately 15,000—were "ordinary books." Obtaining independent safety testing for each of these products at current rates would cost Scholastic between \$300 and \$500 per item, resulting in total annual

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<sup>2</sup> Scholastic would point the CPSC to the data compiled by the publishing industry and available at [www.rrd.com/cpsia](http://www.rrd.com/cpsia) and the AAP's February 12 comments and responses for a more detailed presentation of this evidence.

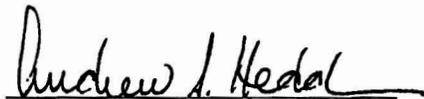
testing costs of between \$4.5 and \$6.0 million. Thus, in addition to suffering unpredictable and unmanageable manufacturing and publishing delays in awaiting test results, Scholastic would also be forced to either cover these costs by raising its book prices, or decrease the number of children's book titles published. Under either scenario, the availability of affordable quality reading material would be significantly reduced, despite the fact that there is no evidence that such books pose any health hazards to children whatsoever. Scholastic does not believe that this was the outcome contemplated by Congress in passing CPSIA, and urges the CPSC to clarify that the provisions of the CPSIA were not intended to apply to ordinary children's books.

Finally, Scholastic would be remiss if it did not acknowledge its appreciation for the willingness of the CPSC staff to engage with publishing and related industries over the past four months in what we believe has been a constructive and valuable dialogue regarding both the existing evidence pertaining to lead and ordinary books, and the additional evidence the CPSC believes is necessary to support a categorical exclusion of ordinary books from the CPSIA. Moreover, Scholastic applauds the proactive decision of the CPSC to delay the February 10, 2009 certification and testing provisions of the CPSIA in order to have adequate time to develop thorough and evidence-based determinations on, among other things, categorical exclusions for products like ordinary books. Without such action by the CPSC, Scholastic and many other children's publishers faced the prospect of retailers discarding or returning existing inventory (at the publisher's expense) for no other reason than that this stock had not been previously certified to comply with the new lead limits of the CPSIA, despite the fact that these products had been produced in compliance with all applicable laws and regulations and standards at the time of manufacture and presented no reason to suspect that they were in any way unsafe.

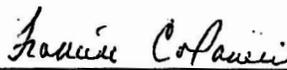
Scholastic is pleased to have the opportunity to make this submission and hopes that our comments, together with the comments of the AAP and other interested parties, will assist the CPSC in finding that "ordinary books" merit a categorical exclusion from the CPSIA. Thank you for your attention and consideration.

Sincerely,

Scholastic Inc.  
557 Broadway  
New York, New York 10012



Andrew Hedden  
E.V.P & General Counsel  
Phone: 212-343-6641  
[ahedden@scholastic.com](mailto:ahedden@scholastic.com)



Francine Colaneri  
V.P. - Manufacturing and Supply Chain  
Phone: 212-343-4430  
[fcolaneri@scholastic.com](mailto:fcolaneri@scholastic.com)

**Stevenson, Todd**

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**From:** Lick, Christopher [CLick@Scholastic.com]  
**Sent:** Tuesday, February 17, 2009 4:50 PM  
**To:** Lead Determinations  
**Subject:** Scholastic Inc.: Section 101 Determinations of Certain Materials or Products NPR & Section 101 Request for Lead Content Determination  
**Attachments:** Scholastic Inc. Comments.pdf

Dear Mr. Stevenson:

Please see the attached correspondence from Scholastic Inc.

Sincerely,

Christopher Lick

Christopher Lick | Scholastic Inc.  
Counsel  
557 Broadway | New York, NY 10012  
DD: 212.343.6560 | F: 212.343.6538  
[CLick@Scholastic.com](mailto:CLick@Scholastic.com) | [www.Scholastic.com](http://www.Scholastic.com)

## Stevenson, Todd

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**From:** Jennifer Hively [luloobella@yahoo.com]  
**Sent:** Tuesday, February 17, 2009 3:27 PM  
**To:** Lead Determinations  
**Subject:** cpsia exemption comment

"Thank you for the opportunity to comment on this matter. In addition to the materials exempted at present, I request that the Commission exempt the following:

Any Natural Materials Regulated as Foodstuffs by the FDA. This includes vegetable and nut oils, grain flours, medicinal-grade mineral oil, table salt, flax seed, FDA-approved food coloring, cream of tartar, dried beans, dried corn, essential plant oils, herbs, witch hazel, millet, and FDA-approved food preservatives.

Any Materials Which are Regulated as Art Materials and Meet ASTM D-4236 Standards. These should not require additional testing.

Other Natural Materials which are not otherwise regulated but are known to not contain lead that are not currently exempted: paper, cardboard, bark, rattan, beeswax, natural rubber latex, lavender, 100% pure tung oil (in its cured form), milk paint (in its cured form), flower petals, dried plants, shellac (in its cured form), bamboo, bamboo fiber, plant-based dyes, nut shells, hide glue, Candelilla wax, Carnauba wax, loofa, jute, kapok, moss, straw, and jojoba oil.

Any and all Natural Materials which have been modified by the addition of other lead-free materials or lead-free chemicals. Even if the natural textiles are processed through the addition of chemicals, including pigments, dyes, bleaches, or other substances provided those chemicals either do not contain lead or do not introduce lead to the product above the CPSIA limits.

All dyed or undyed textiles (cotton, wool, hemp, nylon, etc.), including children's fabric products, such as baby blankets, and non-metallic thread and trim.

Reclaimed Textiles: These items would have met existing standards at the time of their original manufacture and because these remanufactured items are by definition one of a kind, testing of these reclaimed textiles should not be required.

In addition to these materials, I strongly encourage the Commission to adopt rules that allow component testing for finished product in lieu of testing of the finished product and that products that have been deemed compliant under EU standards and bear the CE mark as a result are deemed compliant."

Jennifer Greensted  
[www.mamarunswithscissors.com](http://www.mamarunswithscissors.com)

**Stevenson, Todd**

**From:** Bill Creager [bcreager@cmpaula.com]  
**Sent:** Tuesday, February 17, 2009 2:32 PM  
**To:** Lead Determinations  
**Subject:** Se3ction 101 Determininations of Certain Materials or Products NPR  
**Attachments:** CPSC Letter GeoCentral.pdf



Family of Companies

6049 Hi-Tek Court, Mason, OH 45040-2603



513-336-3100



Fax: 513-336-3119

January 26, 2009

**VIA ELECTRONIC-MAIL: Sec101Determinations@cpsc.gov**

Office of the Secretary  
U.S. Consumer Product Safety Commission,  
4330 East West Highway, Room 502  
Bethesda, MD 20814

**Re: Section 101 Determinations of Certain Materials or Products NPR**

Dear Secretary of the U.S. Consumer Product Safety Commission:

We are filing comments in response to the U.S. Consumer Product Safety Commission’s request for comments (published in the Federal Register on Thursday, January 15, 2009) concerning the agency’s preliminary determinations proposing Consumer Product Safety Improvement Act of 2008 (CPSIA) Section 102 testing exemptions for certain natural materials that either do not inherently contain lead or contain lead that does not exceed the CPSIA Section 101(a) lead limits of 600 ppm or 300 ppm. (This comment letter does not address the proposed exemptions for certain metals and alloys also listed in the Federal Register notice).

GeoCentral, a division of CM Paula Company, is a manufacturer, importer and distributor of natural materials, and products produced from natural materials. The comments in this letter pertain specifically to natural materials derived primarily from the earth, such as rocks, minerals, gemstones, fossils, and similar items that GeoCentral sells in various forms, such as jewelry, collectibles (including both bulk and packaged items), or educational kits, many of which are intended for children 12 and under.

We respectfully submit that the list of natural materials published in the Federal Register notice should be expanded to include those additional materials discussed below because such materials would not exceed the lead content limits of Section 101(a). In addition, notwithstanding the proposed exemptions for natural materials, and although comments have not been solicited on this point, we respectfully submit that these materials and items should be exempt from the CPSIA Section 103 “tracking label” requirements for the reasons outlined below.

## Background on List of Natural Materials Eligible for Exemption

Based on the CPSC's authority under Section 3 of the CPSIA to issue regulations to implement the CPSIA, CPSC has published a list of certain natural products and materials for which it has proposed a preliminary determination that:

- (i) such products or materials inherently do not contain lead, or,
- (ii) such products or materials contain lead at levels that do not exceed the lead content limits under Section 101(a) of the CPSIA.

The stated purpose of this proposed determination is to relieve such products or materials from the lead testing requirements of Section 102 of the CPSIA for the purposes of supporting the required conformity certifications. We understand that the exemption from testing under Section 102 does not relieve such products or materials from the requirement to meet the lead limits under Section 101(a), but these exemptions presume compliance due to the nature of the exempt materials.

In addition to the inherent lead-free or low lead nature of the materials on the list, CPSC also requires these materials to meet the following requirements:

- (i) the materials must be untreated and unadulterated with respect to the addition of materials or chemicals such as pigments, dyes, coatings, finishes or any other substance; and,
- (ii) the materials cannot undergo any processing that could result in the addition of lead into the product or materials.

The materials listed in the proposed preliminary determination are as follows:

1. Precious Gemstones:

- a. diamond
- b. ruby
- c. sapphire
- d. emerald

2. Certain Semiprecious Gemstones:

- a. Such semiprecious gemstones must be composed of a mineral or material that is:
  - i. **not** based on lead or lead compounds, and,
  - ii. **not** associated in nature with any mineral that is based on lead or lead compound
- b. Examples of minerals that **do** contain lead or are associated in nature with minerals that **do** contain lead include (but are not limited to) the following:

Aragonite, Bayldonite, Boleite, Cerussite, Crocoite, Linarite, Mimetite, Phosgenite, Vanadinite, Wulfenite

- c. Although the language is not cited specifically in the proposed regulation, the CPSC staff memorandum used to support the proposed exemption for semiprecious gemstones states that such gemstones are defined as “any of many minerals and other materials commonly used to make jewelry and other adornments”.

3. Natural or Cultured Pearls
4. Wood
5. Natural Fibers such as cotton, silk, wool, hemp, flax, linen
6. Other natural materials including Coral, Amber, Feathers, Fur, Untreated Leather

For the reasons set forth below, we believe that this proposed list should be inclusive of additional natural materials, as well as all such materials that may have been physically, but not chemically, changed from their natural state. This would include artifacts carved from natural materials without further treatment. In addition, category 2(a) above should be expanded to include ALL minerals that are not lead-based and are not associated with lead in nature, regardless of whether or not they are commonly used for jewelry and personal adornment.

### **Recommendation to Expand List of Natural Materials Eligible for Exemption**

Consistent with the CPSC’s basis for its preliminary determinations concerning the listed natural materials, we respectfully submit that the additional natural materials listed below should be included as part of the list of exempt materials to the extent that they are untreated or unadulterated with any materials or chemicals (such as dyes, pigments, coatings, finishes, etc.), and that they do not undergo any processing that could result in the addition of lead into the product or material. We respectfully submit that these materials do not contain any lead, or if they do, the lead content is consistently below the limits set forth in section 101(a) of the CPSIA, and thus pose no risk of injury due to any potential absorption of lead into the body. Many of these natural materials are commonly found in abundance in and around households and yards, public parks, schools, etc., and have been handled by generations of children and adults without any reported injury.

#### **1. Expanded List of Natural Materials.**

Based on our experience with and knowledge of a vast number of natural materials, we are providing a list of additional natural materials that we believe should be included in the CPSC’s preliminary determination as exempt materials under proposed Section 1500.91 under Part 1500 of Title 16 of the Code of Federal Regulations because they either contain no lead or amounts of lead that are below the most restrictive limits to be imposed under Section 101(a), as follows:

*Certain rocks, minerals, fossils, artifacts and other natural materials, whether sold in bulk or packaged form, typically used in connection with jewelry and gift items, collectibles (whether individual pieces or as sets), and educational kits, provided that they are not based on (or associated in nature with) any lead or lead compounds that would result in a lead content in excess of the lead limits set forth in Section 1500.91(a). These materials include the following (using the enumeration and categories as set forth in the Federal Register NPR):*

*2(b) Semiprecious gemstones (some of which are commonly used in jewelry, and some of which are not):*

- *Agate*

- *Amazonite*
- *Angelite*
- *Apatite*
- *Aventurine*
- *Amethyst*
- *Calcite*
- *Chrysocolla*
- *Chrysoprase*
- *Citrine*
- *Epidote*
- *Fluorite*
- *Fuchsite*
- *Geodes*
- *Gypsum*
- *Hematite*
- *Jasper*
- *Kyanite*
- *Lepidolite*
- *Malachite*
- *Mica*
- *Mookalite*
- *Obsidian*
- *Ocos*
- *Onyx*
- *Petrified wood*
- *Pyrite (Fool's Gold)*
- *Quartz*
- *Rock crystal*
- *Sandstone*
- *Selenite*
- *Soapstone*
- *Sodalite*
- *Tektite*
- *Tiger's Eye*
- *Tourmaline*
- *Unakite*

### 3. *Mother of Pearl*

### 6. *Other Natural Materials*

- *Sea shells*
- *Sharks' teeth*
- *Fossils (e.g., ammonites, orthoceras, fossilized sharks' teeth)*
- *Bone*
- *Ivory (ancient, not endangered species)*

7. *Artifacts cut, carved or otherwise made from any of the materials listed or proposed for categories 2(a), 3, 4, 5, and 6, such as:*

- *Arrow heads*
- *Figurines*

2. **Natural Materials that are Physically or Mechanically Changed Should Be Exempt.**

In contrast to chemical treatments or other processing that could introduce substances or materials that may contain lead and could render these materials ineligible for the proposed testing exemption, physical or mechanical changes as a result of some type of processing, such as splitting, sculpting, carving, cutting, crushing, grinding, etc., should not preclude these natural materials from the testing exemption. (Of course, any other materials used in conjunction with these natural materials, such as metal, plastic, adhesives, etc., would continue to be subject to the Section 101 lead content restrictions and/or the lead paint restrictions under 16 C.F.R. Part 1303, and would continue to require testing under Section 102.)

For example, a natural stone material that is on the proposed list of exempt materials and that is used to create a sculpture should be exempt from testing under Section 102 to the extent that the sculpting process resulted only in a physical, not chemical, change to the natural material.

3. **Definition of Semiprecious Gemstones Should Not Be Limited to Jewelry Items.**

Although the language is not cited specifically in the proposed regulation, the CPSC staff memorandum used to support the proposed exemption for semiprecious gemstones states that such gemstones are defined as “any of many minerals and other materials commonly used to make jewelry and other adornments”. As noted above, we respectfully submit that CPSC should modify its proposed regulations such that semiprecious gemstones should not be defined simply as gemstones consisting of minerals and other materials commonly used to make jewelry and other adornments. There are many semiprecious gemstones that should be exempt and that are not necessarily commonly used in jewelry or similar items. Such a restrictive definition would unnecessarily impede the creativeness of artisans who are constantly expanding the universe of natural products that can be transformed into jewelry, personal adornment, educational material, and miniature works of art for both adults and children

**Comments on Tracking Labels for Natural Materials and Other GeoCentral Products**

Pursuant to Section 103 of the CPSIA, beginning August 14, 2009, the manufacturer of a children’s product must place permanent, distinguishing marks on the product and its packaging identifying the location and date of production of the product, the manufacturer or private labeler, cohort information (including the batch, run number, or other identifying characteristic), and any other information that would facilitate ascertaining the specific source of the product. CPSC has advised that hangtags and adhesive labels cannot be used as tracking labels.

As acknowledged by CPSC on its CPSIA website, “Congress modified the requirement for tracking labels with the phrase ‘to the extent practicable’ recognizing that it may not be practical for permanent distinguishing marks to be printed on small toys and other small products that are manufactured and shipped without individual packaging.” GeoCentral’s product line consists of numerous small geological products (e.g. rocks, fossils, sharks teeth, etc.) that are shipped without individual packaging in many cases, as well as many other small manufactured products (e.g., beads, marbles, etc.). The company has determined that it would be

virtually impossible to affix permanent marks or tracking labels to these items. Moreover, retailers that sell GeoCentral's products, such as assortments of loose rocks and minerals offered for sale in refillable bins, often mix and match packaging (as well as rocks and minerals) from other suppliers when wrapping these items at the point of purchase for consumers. Based on these facts, GeoCentral has determined that it will not be practical to print permanent marks on its small items. Accordingly, the Company respectfully requests the CPSC to create a special exemption for its small natural and manufactured items described more fully in prior sections. In fact, adding printed marks or labels increases the risk of lead-containing inks and might even trigger a testing requirement for otherwise exempt geological materials.

Thank you for your consideration of this submission.

Sincerely,

**Greg Ionna**

**President and CEO**

**CM Paula Company / GeoCentral, LLC**

**CC: The Honorable Sherrod Brown  
The Honorable George Voinovich  
The Honorable Jean Schmidt  
The Honorable Michael Turner**

**William Creager**

**Executive VP / CFO**

**C. M. Paula Company**

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January 26, 2009

**VIA ELECTRONIC-MAIL: Sec101Determinations@cpsc.gov**

Office of the Secretary  
U.S. Consumer Product Safety Commission,  
4330 East West Highway, Room 502  
Bethesda, MD 20814

**Re: Section 101 Determinations of Certain Materials or Products NPR**

Dear Secretary of the U.S. Consumer Product Safety Commission:

We are filing comments in response to the U.S. Consumer Product Safety Commission's request for comments (published in the Federal Register on Thursday, January 15, 2009) concerning the agency's preliminary determinations proposing Consumer Product Safety Improvement Act of 2008 (CPSIA) Section 102 testing exemptions for certain natural materials that either do not inherently contain lead or contain lead that does not exceed the CPSIA Section 101(a) lead limits of 600 ppm or 300 ppm. (This comment letter does not address the proposed exemptions for certain metals and alloys also listed in the Federal Register notice).

GeoCentral, a division of CM Paula Company, is a manufacturer, importer and distributor of natural materials, and products produced from natural materials. The comments in this letter pertain specifically to natural materials derived primarily from the earth, such as rocks, minerals, gemstones, fossils, and similar items that GeoCentral sells in various forms, such as jewelry, collectibles (including both bulk and packaged items), or educational kits, many of which are intended for children 12 and under.

We respectfully submit that the list of natural materials published in the Federal Register notice should be expanded to include those additional materials discussed below because such materials would not exceed the lead content limits of Section 101(a). In addition, notwithstanding the proposed exemptions for natural materials, and although comments have not been solicited on this point, we respectfully submit that these materials and items should be exempt from the CPSIA Section 103 "tracking label" requirements for the reasons outlined below.

### **Background on List of Natural Materials Eligible for Exemption**

Based on the CPSC's authority under Section 3 of the CPSIA to issue regulations to implement the CPSIA, CPSC has published a list of certain natural products and materials for which it has proposed a preliminary determination that:

- (i) such products or materials inherently do not contain lead, or,
- (ii) such products or materials contain lead at levels that do not exceed the lead content limits under Section 101(a) of the CPSIA.

The stated purpose of this proposed determination is to relieve such products or materials from the lead testing requirements of Section 102 of the CPSIA for the purposes of supporting the required conformity certifications. We understand that the exemption from testing under Section 102 does not relieve such products or materials from the requirement to meet the lead limits under Section 101(a), but these exemptions presume compliance due to the nature of the exempt materials.

In addition to the inherent lead-free or low lead nature of the materials on the list, CPSC also requires these materials to meet the following requirements:

- (i) the materials must be untreated and unadulterated with respect to the addition of materials or chemicals such as pigments, dyes, coatings, finishes or any other substance; and,
- (ii) the materials cannot undergo any processing that could result in the addition of lead into the product or materials.

The materials listed in the proposed preliminary determination are as follows:

1. **Precious Gemstones:**

- a. diamond
- b. ruby
- c. sapphire
- d. emerald

2. **Certain Semiprecious Gemstones:**

- a. Such semiprecious gemstones must be composed of a mineral or material that is:
  - i. **not** based on lead or lead compounds, and,
  - ii. **not** associated in nature with any mineral that is based on lead or lead compound
- b. Examples of minerals that **do** contain lead or are associated in nature with minerals that **do** contain lead include (but are not limited to) the following:

Aragonite, Bayldonite, Boleite, Cerussite, Crocoite, Linarite, Mimetite, Phosgenite, Vanadinite, Wulfenite

- c. Although the language is not cited specifically in the proposed regulation, the CPSC staff memorandum used to support the proposed exemption for semiprecious gemstones states that such gemstones are defined as "any of many minerals and other materials commonly used to make jewelry and other adornments".

3. Natural or Cultured Pearls

4. Wood

5. Natural Fibers such as cotton, silk, wool, hemp, flax, linen

6. Other natural materials including Coral, Amber, Feathers, Fur, Untreated Leather

For the reasons set forth below, we believe that this proposed list should be inclusive of additional natural materials, as well as all such materials that may have been physically, but not chemically, changed from their natural state. This would include artifacts carved from natural materials without further treatment. In addition, category 2(a) above should be expanded to include ALL minerals that are not lead-based and are not associated with lead in nature, regardless of whether or not they are commonly used for jewelry and personal adornment.

**Recommendation to Expand List of Natural Materials Eligible for Exemption**

Consistent with the CPSC's basis for its preliminary determinations concerning the listed natural materials, we respectfully submit that the additional natural materials listed below should be included as part of the list of exempt materials to the extent that they are untreated or unadulterated with any materials or chemicals (such as dyes, pigments, coatings, finishes, etc.), and that they do not undergo any processing that could result in the addition of lead into the product or material. We respectfully submit that these materials do not contain any lead, or if they do, the lead content is consistently below the limits set forth in section 101(a) of the CPSIA, and thus pose no risk of injury due to any potential absorption of lead into the body. Many of these natural materials are commonly found in abundance in and around households and yards, public parks, schools, etc., and have been handled by generations of children and adults without any reported injury.

**1. Expanded List of Natural Materials.**

Based on our experience with and knowledge of a vast number of natural materials, we are providing a list of additional natural materials that we believe should be included in the CPSC's preliminary determination as exempt materials under proposed Section 1500.91 under Part 1500 of Title 16 of the Code of Federal Regulations because they either contain no lead or amounts of lead that are below the most restrictive limits to be imposed under Section 101(a), as follows:

*Certain rocks, minerals, fossils, artifacts and other natural materials, whether sold in bulk or packaged form, typically used in connection with jewelry and gift items, collectibles (whether individual pieces or as sets), and educational kits, provided that they are not*

3. *Mother of Pearl*

6. *Other Natural Materials*

- *Sea shells*
- *Sharks' teeth*
- *Fossils (e.g., ammonites, orthoceras, fossilized sharks' teeth)*
- *Bone*
- *Ivory (ancient, not endangered species)*

7. *Artifacts cut, carved or otherwise made from any of the materials listed or proposed for categories 2(a), 3, 4, 5, and 6, such as:*

- *Arrow heads*
- *Figurines*

2. **Natural Materials that are Physically or Mechanically Changed Should Be Exempt.**

In contrast to chemical treatments or other processing that could introduce substances or materials that may contain lead and could render these materials ineligible for the proposed testing exemption, physical or mechanical changes as a result of some type of processing, such as splitting, sculpting, carving, cutting, crushing, grinding, etc., should not preclude these natural materials from the testing exemption. (Of course, any other materials used in conjunction with these natural materials, such as metal, plastic, adhesives, etc., would continue to be subject to the Section 101 lead content restrictions and/or the lead paint restrictions under 16 C.F.R. Part 1303, and would continue to require testing under Section 102.)

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*based on (or associated in nature with) any lead or lead compounds that would result in a lead content in excess of the lead limits set forth in Section 1500.91(a). These materials include the following (using the enumeration and categories as set forth in the Federal Register NPR):*

*2(b) Semiprecious gemstones (some of which are commonly used in jewelry, and some of which are not):*

- *Agate*
- *Amazonite*
- *Angelite*
- *Apatite*
- *Aventurine*
- *Amethyst*
- *Calcite*
- *Chrysocolla*
- *Chrysoprase*
- *Citrine*
- *Epidote*
- *Fluorite*
- *Fuchsite*
- *Geodes*
- *Gypsum*
- *Hematite*
- *Jasper*
- *Kyanite*
- *Lepidolite*
- *Malachite*
- *Mica*
- *Mookalite*
- *Obsidian*
- *Ocos*
- *Onyx*
- *Petrified wood*
- *Pyrite (Fool's Gold)*
- *Quartz*
- *Rock crystal*
- *Sandstone*
- *Selenite*
- *Soapstone*
- *Sodalite*
- *Tektite*
- *Tiger's Eye*
- *Tourmaline*
- *Unakite*

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Sincerely,



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