

The contents of this document will be discussed at the Commission Meeting (Briefing) scheduled for Wednesday, December 3, 2014.



**UNITED STATES
CONSUMER PRODUCT SAFETY COMMISSION
BETHESDA, MD 20814**

DATE: November 19, 2014

THIS MATTER IS NOT SCHEDULED FOR A BALLOT VOTE.

**A DECISIONAL MEETING FOR THIS MATTER IS SCHEDULED ON:
December 12, 2014**

This document has been electronically approved and signed.

TO: The Commission
Todd A. Stevenson, Secretary

FROM: Patricia H. Adkins, Executive Director
Stephanie Tsacoumis, General Counsel

SUBJECT: Fiscal Year 2015 Operating Plan

The Executive Director, by the attached memorandum dated November 19, 2014, requests approval of the attached Fiscal Year 2015 Operating Plan. Please indicate your vote on the following options:

I. Approve the Fiscal Year 2015 Operating Plan as recommended by the Executive Director.

(Signature)

(Date)

II. Approve the Fiscal Year 2015 Operating Plan, with changes.
(Please specify.)

(Signature)

(Date)

III. Do not approve the Fiscal Year 2015 Operating Plan.

(Signature)

(Date)

IV. Take other action. (Please specify.)

(Signature)

(Date)

Attachment: Memorandum of November 19, 2014, from Patricia H. Adkins, Executive Director, to the Commission, *Fiscal Year 2015 Operating Plan*.



United States
Consumer Product Safety Commission
4330 East-West Highway
Bethesda, MD 20814

This document has been electronically
approved and signed.

DATE: November 19, 2014
TO: The Commission
THROUGH: Todd A. Stevenson, Secretary
Stephanie Tsacoumis, General Counsel
FROM: Patricia H. Adkins, Executive Director
SUBJECT: Fiscal Year (FY) 2015 Operating Plan

This memorandum requests Commission approval of the FY 2015 Operating Plan. The proposed Operating Plan is aligned with the President's FY 2015 Budget Request currently pending before Congress. The FY 2015 Budget Request is for \$123.0 million and 567 full-time equivalent (FTE) employees, representing an increase of \$5.0 million from the FY 2014-enacted funding level of \$118.0 million. The \$5.0 million increase supports the import surveillance program expansion; a more detailed explanation of the increase can be found on page 4 of the Commission-approved FY 2015 Performance Budget Request to Congress, dated March 10, 2014.

The agency is currently operating under a short-term continuing resolution (CR) that funds the CPSC at approximately \$117.9 million through December 11, 2014. Congress has not yet decided on the agency's final full-year funding level or the import surveillance user fee authorization for FY 2015. Until a final appropriation is enacted, the FY 2015 Operating Plan is premised on the Commission-approved FY 2015 CPSC Budget Request of \$123.0 million. Although this includes \$5.0 million for the import surveillance program expansion to a full-scale national program, the CPSC will not initiate the expansion until Congress makes a final FY 2015 funding determination. If final available appropriations are different than the \$123.0 million request level, the Commission will adjust the FY 2015 Operating Plan as part of the FY 2015 Midyear Review process.

The FY 2015 Operating Plan includes:

- Organization funding and FTE levels and the corresponding strategic plan alignment
- Voluntary and mandatory standards summaries
- Complete list of resourced projects by lead organization and accompanying descriptions
- Budget key and operating performance measures by organization
- Major milestones by organization

The FY 2015 Operating Plan is largely consistent with the FY 2015 CPSC Budget Request to Congress. The substantive adjustments appear in the Voluntary and Mandatory Standards Summary Tables and consist of additions, deletions or adjustments. These changes are captured on pages 6 - 8 for voluntary standards and pages 9 -10 for mandatory standards.

The FY 2015 Operating Plan is consistent with the priorities approved in the FY 2015 Budget Request to Congress. Specifically, the staff-proposed FY 2015 Operating Plan emphasizes:

- Expanding the Import Surveillance program, implementing a full-scale Risk Assessment Methodology (RAM) system and user fee authorization, increasing CPSC's presence at ports

- of entry, and addressing the 1110 rule (Certificates of Compliance), consistent with E.O. 13659;
- Meeting the mandatory requirements under CPSIA and developing proposed rulemaking for phthalates, recreational off-road vehicles (ROVs), window coverings, and a range of high-risk products;
 - Continuing enforcement of CPSC's rules and conducting targeted surveillance through an integrated team approach to help ensure noncompliant and hazardous products are removed from the marketplace; and
 - Disseminating important safety information, using multiple outreach platforms, as a strategy to inform the general public, manufacturers, and international audiences.

Staff is available to brief the Commission before the Commission is asked to formally approve the Operating Plan. Once finalized and approved by the Commission, the FY 2015 Operating Plan will be posted on the CPSC's public website.

Attachment

FY 2015 Operating Plan

Fiscal Year 2015 Operating Plan

(To implement the FY 2015 Performance Budget Request)

CPSC Stands for Safety



United States of America
Consumer Product Safety Commission

Approved
December XX, 2014

An electronic version of this document is available at:
<http://www.CPSC.gov/Performance-and-Budget/>

U.S. Consumer Product Safety Commission

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Table 1

Funding and FTE by Major Organization

The operating budget level for each organization at the FY 2015 Request to Congress (column 1) and the corresponding full-time equivalent (FTE) levels (column 2) are shown in the table below.

(Dollars in Thousands)	FY 2015 Request	
	Column 1 Budget	Column 2 FTE
Commissioners	\$ 165.0	21
Office of Hazard Identification & Reduction		167
<i>Office of Hazard Identification</i>	\$ 2,226.0	
<i>Laboratory Sciences</i>	\$ 1,293.0	
<i>Nano</i>	\$ 2,000.0	
<i>NEISS</i>	\$ 2,150.0	
Office of Compliance & Field Operations		
<i>Compliance – HQ</i>	\$ 363.0	56
<i>Compliance – Field Operations</i>	\$ 1,646.0	103
Office of Import Surveillance	\$ 1,115.0	44
Office of International Programs	\$ 721.0	7
Office of Financial Management, Planning, & Evaluation	\$ 1,515.4	27
<i>Financial Audit</i>	\$ 150.0	
Office of Information & Technology Services		42
<i>Office of Information Technology</i>	\$ 80.0	
<i>IT Infrastructure</i>	\$ 6,255.3	
<i>Commission's Information Systems</i>	\$ 4,509.0	
<i>Risk Assessment Methodology – Import</i>	\$ 3,600.0	
Office of Human Resources Management	\$ 428.0	11
Office of Facilities Services (excludes Rent/Util/Security)	\$ 1,864.0	10
Office of the Executive Director	\$ 84.0	7
Office of the General Counsel	\$ 608.0	50
Office of the Inspector General	\$ 136.0	7
Office of Communications		10
<i>Office of Communications</i>	\$ 1,650.0	
<i>VGB PSSA Information & Education</i>	\$ 1,030.0	
Office of Legislative Affairs	\$ 15.0	3
Office of EEO & Minority Enterprise	\$ 43.0	2
Centrally Managed Costs:		
Salaries	\$ 79,989.0	
Rent/Util/Security	\$ 9,360.3	
VGB	\$ 0	
Representation Fund	\$ 4.0	
Total	\$ 123,000.0	567

Table 2

FY 2015 Plan by Strategic Goal and Program Component

(Dollars in Thousands)							
Organization	FY 2015 Op Plan	FTE	Goal 1	Goal 2	Goal 3	Goal 4	Goal 5
Chairman & Commissioners	\$ 3,120	21	\$ 3,120	\$ 0	\$ 0	\$ 0	\$ 0
Hazard Identification and Reduction	\$ 31,135	167	\$ 319	\$ 14,667	\$ 12,682	\$ 3,289	\$ 178
Compliance and Field Operations	\$ 24,349	159	\$ 650	\$ 678	\$ 5,301	\$ 17,418	\$ 302
Import Surveillance	\$ 7,297	44	\$ 0	\$ 0	\$ 6,951	\$ 346	\$ 0
International Programs	\$ 1,704	7	\$ 1,704	\$ 0	\$ 0	\$ 0	\$ 0
General Counsel	\$ 7,634	50	\$ 0	\$ 3,316	\$ 472	\$ 2,293	\$ 1,553
Office of Communications	\$ 4,085	10	\$ 0	\$ 0	\$ 815	\$ 0	\$ 3,270
Information Technology	\$ 20,347	42	\$ 1,631	\$ 2,768	\$ 10,776	\$ 4,259	\$ 913
Agency Management	\$ 22,209	60	\$ 1,736	\$ 4,810	\$ 7,593	\$ 6,580	\$ 1,490
Inspector General	\$ 1,120	7	\$ 224	\$ 224	\$ 224	\$ 224	\$ 224
Total	\$ 123,000	567	\$ 9,384	\$ 26,463	\$ 44,814	\$ 34,409	\$ 7,930

Note: The Operating Plan funding allocation in this table includes the associated salary, rent, utilities, and other overhead budgeted costs.

Budget Key Performance Measures Summary

The Budget Key Performance Measures are the measures the agency submits with its Congressional Budget submission.

ID	Office	Performance Measure Statement	FY 2015 Target
Strategic Goal 1: Leadership in Safety			
1.2.1	International	Number of training or outreach seminars for foreign manufacturers conducted by CPSC staff	6
1.2.2	International	Number of staff exchanges with foreign counterparts undertaken as part of the Extended Training Exchange Program	3
1.2.4	Executive	Number of collaborations undertaken with domestic nongovernment organizations such as trade associations, universities, or federations	2
1.6.1	Personnel	Employee retention rate	85%
1.6.2	Personnel	Average hiring time (recruitment time using OPM's End-to-End hiring process) (days)	80
1.6.3	Personnel	Training participation rate	88%
Strategic Goal 2: Commitment to Prevention			
2.1.2	Hazard	Number of collaborations established or maintained with other organizations to work on nanotechnology research or issues affecting consumer products	5
2.1.3	Hazard	Number of reports produced on the results of collaboration on nanotechnology issues affecting consumer products	5
2.1.4	Hazard	Number of voluntary standards activities that are actively participated in by CPSC staff	80
2.2.1	Hazard	Number of candidates for rulemaking prepared for Commission consideration	19
2.3.1	Executive	Number of domestic training activities made available to industry stakeholders	11
Strategic Goal 3: Rigorous Hazard Identification			
3.1.1	Hazard	Percentage of National Electronic Injury Surveillance System (NEISS) member hospitals evaluated at least once a year	98%
3.1.2	Hazard	Percentage of consumer product-related injury cases correctly captured at NEISS hospitals	90%
3.2.1	Hazard	Time from incident received to integrated team adjudication of incident report (business days)	10
3.2.2	Hazard	Percentage of priority import regulated samples (excluding fireworks) tested within 30 days of collection	85%
3.2.3	Hazard	Percentage of priority import fireworks samples tested within 60 days of collection	90%
3.2.4	Hazard	Percentage of all regulated non-import product samples that are tested within 90 days of receipt at NPTEC	Baseline
3.2.5	Hazard	Percentage of section 15 Product Safety Assessment requests that are completed within the Hazard Level Completion time assigned	Baseline
3.4.1	Import	Number of import examinations	25,000
3.4.3	Import	Percentage of import shipments processed through the Risk Assessment Methodology (RAM) pilot system that are cleared within one business day	99%
3.4.4	Import	Percentage of CPSC import entry hold requests acted on by CBP	86%
3.4.6	Import	Percentage of first-time violators who are engaged with an informed compliance inspection within 30 days of violation determination	Baseline
3.4.7	Import	Percentage of entries sampled as identified through the Risk Assessment Methodology (RAM) pilot system	Baseline
3.5.3	Hazard	Number of hazard characterization annual reports completed on consumer product-related fatalities, injuries, and/or losses for specific hazards	10
3.5.4	Compliance	Total number of products screened by CPSC field staff (excluding imports)	200,000

	ID	Office	Performance Measure Statement	FY 2015 Target
Strategic Goal 4: Decisive Response				
	4.1.1	Compliance	Number of establishment inspections conducted by CPSC field staff	3,000
	4.1.2	Compliance	Percentage of products screened by CPSC field staff resulting in violations	6%
	4.3.1	Compliance	Percentage of all cases for which the preliminary determination is made within 85 business days of the case opening	70%
	4.3.2	Compliance	Percentage of cases for which the corrective action is accepted within 60 business days of the preliminary determination	80%
	4.3.3	Compliance	Percentage of cases in which the firm is notified of a violation in a timely manner	90%
	4.3.4	Compliance	Percentage of Fast-Track cases with corrective actions initiated within 20 business days	90%
	4.4.2	Communications	Average number of business days between establishment of first draft and issuance of recall press release for the most timely 90% of all recall press releases	20
	4.5.2	Compliance	Percentage of compliance defect investigation cases referred within 20 business days to OGC for review of firms' timely reporting pursuant to §15(b)	75%
Strategic Goal 5: Raising Awareness				
	5.2.1	Communications	Number of public information campaigns conducted by CPSC on targeted consumer product safety hazards	24
	5.2.2	Communications	Number of impressions of CPSC safety messages received by consumers on targeted consumer product safety hazards (in millions)	4,765
	5.2.3	Communications	Number of media events involving collaborations with other federal, state or local governments; consumer advocacy organizations; medical or industry groups; or other stakeholders focus on a targeted hazard with high public concern	5
	5.3.1	Communications	Number of impressions of CPSC safety messages received by consumers on priority hazards in vulnerable communities (in millions)	1,685
	5.3.4	Communications	Number of media events involving collaborations with other federal, state or local governments; consumer advocacy organizations; medical or industry groups; or other stakeholders that focus on a priority hazard in vulnerable communities	15

Baseline: Indicates a performance measure newly established for which a target is not established. A target will be established in a future fiscal year based on analysis of the baseline data collected.

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Voluntary Standards Summary

A consumer product voluntary standard is a prescribed set of rules, conditions, or requirements concerning definitions of product-related terms; classification of components; specification of materials, performance, or operations; delineation of procedures; or measurement of quantity and quality in describing products, materials, systems, services, or practices relating to the safety of consumer products used in and around the home, outdoors, and in schools.

The CPSC's statutory authority requires the agency to rely on voluntary standards rather than promulgate mandatory standards if compliance with a voluntary standard would eliminate or adequately reduce the risk of injury identified and it is likely there will be substantial compliance with the voluntary standard. CPSC staff works with organizations that coordinate the development of voluntary standards.

Voluntary standards activity is an ongoing process that may involve multiple revisions to a standard within one year or over multiple years, and such activity may continue in subsequent years, depending on the activities of the voluntary standards committees and the priorities of the Commission. The table includes two columns: FY 2015 Request column and FY 2015 Op Plan column. The FY 2015 Request column reflects the voluntary standards work as approved by the Commission in the FY 2015 Budget Request from March 10, 2014. The FY 2015 Op Plan column reflects the updated voluntary standards work for Commission approval.

CPSC staff expects to participate actively in voluntary standards activities for the products listed in the table found on pages 7 - 8. Active participation extends beyond attendance at meetings and may include, among other things, providing injury data and hazard analyses, encouraging the development of a voluntary safety standard, identifying specific risks of injury, performing research, developing health science data, performing laboratory technical assistance, and/or taking other actions that the Commission, in a particular situation, determines may be appropriate. A list of these activities can be found at 16 C.F.R. §1031.7.

Key to Table	
•	Denotes active participation in related voluntary standards activities

Voluntary Standards Summary

#		FY 2015 Request	FY 2015 Op Plan
Voluntary Standards Activities Related to CPSIA, as amended by Pub. Law No. 112-28, and including the Danny Keysar Child Product Safety Notification Act (Section 104 of the CPSIA)			
1	ATVs	•	•
2	Bassinets/Cradles	•	•
3	Bath Seats, Infant	•	•
4	Bath Tubs, Infant	•	•
5	Bed Rails, Children's	•	•
6	Beds, Toddler	•	•
7	Bedside Sleepers	•	•
8	Booster Seats	•	•
9	Bouncers, Infant	•	•
10	Carriers, Child Frame	•	•
11	Carriers, Handheld	•	•
12	Carriers, Soft	•	•
13	Chairs, Children's Folding (Youth)	•	•
14	Chairs, High	•	•
15	Chairs, Hook-on		•
16	Changing Tables	•	•
17	Cribs, Commercial	•	•
18	Cribs, Full-Size	•	•
19	Cribs, Non-Full Size and Play Yards	•	•
20	Gates and Other Enclosures, Infant	•	•
21	Inclined Sleep Products, Infant	•	•
22	Phthalates	•	•
23	Slings, Infant	•	•
24	Stationary Activity Centers	•	•
25	Strollers	•	•
26	Swings, Infant	•	•
27	Toys	•	•
28	Walkers, Infant	•	•
Voluntary Standards Activities Related to Existing CPSC Statutes			
29	Architectural Glazing	•	•
30	Bicycles	•	
31	Child-Resistant Packaging	•	•
32	Fireworks	•	•
33	Garage Door Operators	•	•
34	Gasoline Containers (Child-Resistant Closures)		•
35	Lighters, Cigarettes	•	
36	Swimming Pools/Spas (Drain Covers; VGB)	•	•
Voluntary Standards Activities Related to Petitions			
37	Bed Rails, Adult	•	•
38	Beds, Bunk	•	•
39	Candles and Candle Accessories	•	•
40	Crib Bumpers		•
41	Torch Fuel Containers	•	•
42	Window Coverings	•	•
Voluntary Standards Activities Related to Rule Review Projects (as listed in the Mandatory Standards Summary Table)			
43	Mattresses		•

#		FY 2015 Request	FY 2015 Op Plan
Voluntary Standards Activities Related to Ongoing or Potential Rulemaking Activities (as listed in the Mandatory Standards Summary Table)			
44	Drywall	•	•
45	Firepots and Fuel Gels		•
46	Portable Generators	•	•
47	Power Cords	•	•
48	Power Equipment (Table Saws)	•	•
49	Recreational Off-Highway Vehicles (ROVs)	•	•
50	Seasonal Lights		•
51	Upholstered Furniture	•	•
Other Planned Voluntary Standards Activities			
52	Air Cleaners (Ozone-Generating)	•	
53	Amusement Rides, Portable	•	
54	Baby Monitors	•	•
55	Batteries, Button, Lithium, Cell	•	•
56	Bedding Accessories, Infant	•	
57	Building Materials and Furnishings (Emissions)	•	
58	Carbon Monoxide (CO) Alarms	•	•
59	Cellulosic Insulation	•	
60	Clothes Dryers	•	•
61	Clothing Textiles	•	
62	Cooktops	•	•
63	Firearm Security Containers	•	
64	Flammable Liquids (Material Handling)	•	•
65	Flammable Refrigerants	•	
66	Fuel Tanks, Leakage	•	•
67	Furnaces (CO Sensors)	•	•
68	Furniture Tip Overs		•
69	Gasoline Containers (Flame Arrestors)		•
70	Glass Front Gas Fireplaces		•
71	Grills, Gas		•
72	Headgear, Recreational	•	•
73	Heaters, Electrical	•	•
74	Inflatable Play Devices (Constant Air)	•	•
75	Ladders	•	
76	Laundry and Dishwasher Packets	•	•
77	Mattresses, Inflatable Air	•	
78	Microwave Ovens	•	
79	Mowers		•
80	Nanotechnology	•	•
81	National Electrical Code	•	•
82	Non-Integral Firearm Locking Devices	•	
83	Playground Equipment (Children under 2 years)	•	•
84	Playground Equipment (Home)	•	•
85	Playground equipment (Public)	•	•
86	Pools, Portable Unprotected (Child Drowning)		•
87	Ranges, Gas (Control Panels)		•
88	Ranges (Tip Over)	•	•
89	Slow Cookers	•	•
90	Smoke Alarms	•	•
91	Soccer Goals	•	
92	Spray Polyurethane Foam Insulation	•	•
93	Trampolines	•	•
94	Trampoline Courts	•	
95	Tree Stands (Hunting)	•	
96	Unvented Alcohol Appliances	•	•
97	Washing Machines, Front Loading		•
Total Number of Voluntary Standards:		83	80

Mandatory Standards Summary

Mandatory standards are federal rules set by statute or regulation that define requirements for consumer products. They typically take the form of performance requirements that consumer products must meet or warnings they must display in order to be imported, distributed, or sold in the United States.

The CPSC may set a mandatory standard when it determines that compliance with a voluntary standard would not eliminate or adequately reduce a risk of injury or finds that it is unlikely that there will be substantial compliance with a voluntary standard. The Commission may also promulgate a mandatory ban of a hazardous product when it determines that no feasible voluntary or mandatory standard would adequately protect the public from an unreasonable risk of injury.

CPSC staff plans to work on the projects listed in the table on the next page. This work will involve continuation of rulemaking activities related to the CPSIA, as well as other laws, and it will include data analysis and technical activities in support of ongoing or potential future rulemaking activities. The table includes two columns: FY 2015 Request column and FY 2015 Op Plan column. The FY 2015 Request column reflects the mandatory standards work as approved by the Commission in the FY 2015 Budget Request from March 10, 2014. The FY 2015 Op Plan column reflects the updated mandatory standards work for Commission approval.

Key to Table	
The terms ANPR, NPR and FR indicate that a briefing package with a draft ANPR, draft NPR, or draft FR was or will be submitted to the Commission. It does not indicate the final action of the Commission.	
ANPR	Advance notice of proposed rulemaking
NPR	Notice of proposed rulemaking
FR	Final rule
BP	Briefing package
DA/TR	Data analysis and/or technical review
*	Carryover from FY 2014
**	In the FY 2015 Performance Budget Request to Congress (Request), the 15(j) Rule is listed [for one product] as one line item. Starting in the FY 2015 Operating Plan, a separate line item will be listed for each product.

Mandatory Standards Summary

Items by Major Categories		FY 2015 Request	FY 2015 Op Plan
CPSIA, as amended by P.L. 112-28, and including Section 104, the Danny Keysar Child Product Safety Notification Act			
ATVs – Rulemaking		NPR	DA/TR
Booster Seats		DA/TR	
Chairs, Children’s Folding		NPR	NPR
Chairs, High		FR	NPR
Changing Tables			DA/TR
Gates and Other Enclosures		DA/TR	DA/TR
Hook-on Chairs		NPR	NPR
Infant Bath Tubs		NPR	NPR
Infant Bouncer Seats		FR	NPR*
Infant Carriers, Frame		FR	FR
Infant Inclined Sleep Products		NPR	DA/TR
Infant Slings			DA/TR
Phthalates or Phthalate Substitutes		FR	NPR*, FR
Stationary Activity Centers		DA/TR	DA/TR
Drywall Safety Act of 2009			
Adoption of Voluntary Standard as Mandatory Standard			BP
Rule Review			
Certificates of Compliance – 1110 Rule – E.O. 13659*			DA/TR
Mattresses			DA/TR
Lead			DA/TR
Other Ongoing or Potential Rulemaking-Related Activities			
Architectural Glazing		FR	NPR*
Bed Rails (Adult) Petition		DA/TR	BP
Crib Bumpers			BP*
Durable Nursery Products Exposure Survey			DA/TR
Firepots and Fuel Gels		FR	DA/TR
Fireworks		DA/TR	DA/TR
Garage Door Operators		NPR	NPR
Information Disclosure under §6b of the CPSA – 1101 Rule			FR*
Poison Prevention Packaging Act – Hydroxides		FR	
Portable Generators		NPR	NPR
Procedures for the Importation of Consumer Products and Substances – 1066 Rule		FR	
Recreational Off-Highway Vehicles (ROVs)		FR	FR
Substantial Product Hazard List – 15 (j) Rule: Seasonal Lights		FR**	NPR*, FR
Substantial Product Hazard List – 15 (j) Rule: Extension Cords			NPR, FR
Table Saws		NPR	DA/TR
Third Party Testing – Determinations		DA/TR, NPR	BP
Upholstered Furniture		DA/TR	DA/TR
Voluntary Recall Rule			FR*
Window Coverings			ANPR
Number of candidates for rulemaking (ANPR, NPR, and FR):		19	19

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Operating Plan Details for Mission Organizations

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1. Resource Summary

	FY 2015 Request	
	Budget (in thousands)	FTE
Office of Hazard Identification	\$ 2,226	167
Laboratory Sciences	\$ 1,293	
Nanotechnology	\$ 2,000	
NEISS	\$ 2,150	
Total	\$ 7,669	167

2. Overview and Key Priorities

The Office of Hazard Identification and Reduction (EXHR) is responsible for managing the CPSC's Hazard Identification and Analysis (HIA) Program and its Hazard Assessment and Reduction (HAR) Program. The Office develops and implements the agency's annual operating plan for those two hazard programs. The operating plan generally includes the following activities: (1) collection and analysis of data to identify hazards and hazard patterns; (2) coordination of and participation in the activities of voluntary standards organizations; (3) technical work and research related to the agency's rulemaking proceedings; (4) technical work and laboratory testing to support Hazard Reduction, Compliance, and Import Surveillance programs; and (5) evaluation of petitions submitted to the Commission. The Office has line authority over the Directorates for Epidemiology, Health Sciences, Economic Analysis, Engineering Sciences, and Laboratory Sciences.

In FY 2015, the key priorities will be: (1) maintaining data collection systems, including mortality and incident data collection and follow-up investigations; (2) meeting the mandatory requirements of the Consumer Product Safety Improvement Act of 2008 (CPSIA) and Public Law (P.L.) 112-28, including developing for Commission consideration an NPR and FR on specified phthalates; (3) developing for Commission consideration an FR on recreational off-highway vehicles (ROVs) and an ANPR on window coverings ; and (4) maintaining and safely operating the National Product Testing and Evaluation Center (NPTEC).

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
11179 - National Electronic Injury Surveillance System (NEISS)	3
11282 - Mortality/Incident Data	3
12165 - Investigations	3
13326 - Human Factors (HF) Standard Practice	4
13327 - Emerging Hazards	3
13329 - Integrated Teams	3
13330 - Data Intake and Clearinghouse	3
13331 - Petitions, OLA Support, and Other Unplanned Hazard Work	3
14125 - Economics Studies	3
21103 - Fireworks Regulatory Options	2
21498 - Upholstered Furniture Rulemaking	3
21636 - Firepots and Gel Fuel Rulemaking	3
21660 - Electrical Hazards / Voluntary Codes and Standards (Fire)	2

Project	Strategic Goal
21725 - Fire Hazards / Voluntary Codes and Standards	2
22411 - Architectural Glazing	2
22560 - Child Hazards / Voluntary Standards (Other)	2
22637 - All-Terrain Vehicles (ATVs)	2
22638 - Recreational Off-highway Vehicles (ROVs)	2
22639 - Garage Door Operators	2
22640 - Senior Safety Initiative	2
22641 - Adult Bed Rails Petition	2
22642 - Child Hazard Drowning	2
22643 - Crib Bumpers	2
22644 - Window Coverings	2
22666 - Mechanical / Recreational / Sports / Seniors Hazards Voluntary Codes and Standards	2
22727 - Durable Children's Products/Registration (CPSIA § 104)	2
22728 - Toy Safety (CPSIA § 106)	2
23259 - Chemical Hazards (Other)	2
23335 - Combustion (Carbon Monoxide) Hazards / Voluntary Standards Activities	2
23336 - Portable Generators	2
23447 - Poison Prevention Packaging Act (PPPA)	2
23704 - Nanotechnology	2
23705 - Lead (CPSIA § 101)	2
23706 - Phthalates (CPSIA § 108)	2
24013 - Laboratory Equipment and Operations Support	2
24505 - EXHR Project Support	2
25100 - EXHR Training	All
25375 - International Program Support	1
25376 - Hazard Assessment and Reduction (HAR) Management	2
25377 - EXHR Directorate Division Management	1
25547 - Rule Review	2
25723 - Lab Accreditation (CPSIA § 102)	2
25725 - Voluntary Standards Program Coordination	2
25726 - Paperwork Reduction Act (PRA) Support	2
25727 - Third Party Testing / Determinations / Burden Reduction	2
25728 - 1110 Rule	3
25777 - EXHR Administration	2

- National Electronic Injury Surveillance System (NEISS) (11179):** This ongoing project includes activities associated with the NEISS. These activities provide the initial hazard and injury reports that support virtually all Commission actions, and the NEISS estimates of the frequency and severity of injury represent uniquely valuable data to support Commission decision making. This project covers the annual reporting of approximately 400,000 injury reports from a statistical sample of about 96 hospital emergency departments. The NEISS is also the major source of investigations that identify and document the hazard patterns associated with products under CPSC jurisdiction. This project provides technical statistical support to: (1) collect hazard/injury

data; (2) make statistically valid estimates; and (3) release data in an accurate and verifiable manner. This project also includes coordination of the NEISS activities that are funded by other federal agencies.

- **Mortality/Incident Data (11282):** This ongoing project includes two major activities: gathering mortality data and gathering incident data. Data on deaths associated with specific products and hazards provide important information to support hazard projects and Office of Compliance and Field Operations (EXC) Section 15 action. This project covers resources for and receipt of: (1) death certificates from each of the 50 states and (2) reports from the national network of medical examiners/coroners. This project also provides support for collection of injury data from news clips; consumer complaints; federal, state, and local governments; fire departments; attorneys; burn centers; and other sources.
- **Investigations (12165):** Resources for this ongoing project provide for about 2,000 telephone investigations and for on-site investigations of product-related hazards identified by CPSC staff for in-depth study. Because initial reports from consumer complaints, news clips, the Medical Examiners and Coroners Project (MECAP), NEISS, and death certificates generally lack specific details about the incident and the product, follow-up investigations are needed to determine how injuries happen and to provide specific information about the products involved in incidents. This project covers the assignment, performance, review, and disposition of investigation reports. The resulting reports are available for use by analysts inside and outside of the CPSC.
- **Human Factors (HF) Standard Practice (13326):** This project includes activities related to the development of a human factors (HF) standard practice. Titled “Standard Practice for Human Factors Program Requirements for Consumer Products,” the standard practice will establish and define requirements for applying HF design principles, processes, methodologies, and testing to the design, development, and manufacture of consumer products. The document will be useful for manufacturers in their product design and development process and to CPSC staff in evaluating those processes.
- **Emerging Hazards (13327):** This project provides resources for staff to: (1) conduct systematic reviews of incoming reports of product-related injuries and fatalities and (2) generate descriptive statistics across reported incidents to characterize hazards for a given product or manufacturer. These activities provide staff with information that informs engineering assessments, standards development, and compliance activities related to emerging hazards. This project also provides resources for development of new methods for reviewing reports.
- **Integrated Teams (13329):** This project focuses on the tasking and coordinating of activities pertaining to incident reports that have been assigned to the Integrated Teams by an intake and triage process. Activities include: initial review of the assigned incident, referral as necessary to a subject matter expert (SME) for further evaluation, review by the SME and/or assigning the incident for an in-depth investigation (IDI), a product safety assessment, corrective action, standards development, and/or a public safety campaign.
- **Data Intake and Clearinghouse (13330):** The Data Intake and Injury Information branch of the CPSC Directorate for Epidemiology includes the National Injury Information Clearinghouse, which has responsibility for: (1) performing coding, data entry, and quality control of reports to be included in the CPSC’s public database (www.SaferProducts.gov) and/or its internal database; (2) requesting verification of reports and consent for publication from submitters; (3) determining eligibility of reports for posting on www.SaferProducts.gov; (4) providing notification to manufacturers under Sections 6(c) and 6A of the Consumer Product Safety Act (CPSA) of reports which describe a hazardous incident or safety concern associated with one of their products; (5) processing confidential information claims, claims of material inaccuracy, and general comments related to reports posted or to be posted on www.SaferProducts.gov; (6) providing customer support to users of the CPSC’s Business Portal; (7) responding to requests for injury data; and (8) developing computer programs used for reporting on database operations and status.

- **Petitions, OLA Support, and Other Unplanned Hazard Work (13331):** This project includes activities related to hazard-related project work pertaining to petitions that are submitted by consumers and other outside parties. Once the petitions are docketed, they are evaluated by CPSC staff, who then provides to the Commission a briefing package (BP) with an initial evaluation to grant, deny, or defer the petition. This project also includes responding to requests for information from the Office of Legislative Affairs (OLA), as well as any other unplanned hazard work.
- **Economics Studies (14125):** This is a multi-element project to provide specialized economic information and reports to hazard project teams, other project teams and offices, Commissioners, Congress, other agencies, and the public on an as-needed basis. Upon request, the project also provides data or support services to intra- and inter-agency task forces and fills other one-time requests. Project staff develops and maintains economic models to be able to provide: injury cost estimates, estimates of product life and numbers in use; general and small business impacts of CPSC actions (e.g., impacts on production costs, competition, etc.); environmental impact of CPSC actions; labeling and recall costs; and international trade statistics. The project also includes maintenance of economic models through periodic review to determine that methodological approaches are current and adequate for use by CPSC staff.
- **Fireworks Regulatory Options (21103):** This project currently consists of CPSC staff's DA/TR activities related to aerial firework break charges. In particular, staff is conducting activities related to development and implementation of an alternate test method to measure the energy release of such charges.
- **Firepots and Gel Fuel Rulemaking (21636):** This project addresses the serious fire and burn hazards to consumers presented by firepots and their intended fuel. The CPSC issued an ANPR in FY 2012, concluding that firepots and gel fuels present an unreasonable risk of injury to consumers.
- **Electrical Hazards / Voluntary Codes and Standards (Fire) (21660):** This project provides resources for staff's active participation in voluntary codes and standards activities related to fire hazards that are associated with electrical consumer products and household electrical installations. This project also includes: (1) continued work on an ongoing project to develop a rationale for, and submit, proposals to voluntary standards organizations to modify cooktop voluntary standards to include requirements to prevent the unattended food ignition on cooktops, and (2) a comprehensive review of incidents where electric cooktop control failures reportedly caused a heating element to turn on without being switched on, failed to turn off after being switched off; or heated to a higher temperature than its setting.
- **Fire Hazards / Voluntary Codes and Standards (21725):** This project covers staff's active participation in voluntary codes and standards activities related to fire hazards: (1) the annual update of fireworks-related death and injuries and (2) a report providing residential fire loss estimates associated with the use of consumer products.
- **Architectural Glazing (22411):** This project consists of work related to staff's evaluation of a petition from the Safety Glazing Certification Council (SGCC), requesting that the Commission initiate rulemaking to amend 16 C.F.R. part 1201, Safety Standard for Architectural Glazing Materials.
- **Child Hazards / Voluntary Standards (Other) (22560):** This project covers CPSC staff's active participation in voluntary standards activities related to hazards associated with the use of children's products. In addition, the project includes activities related to staff's preparation of an annual report that provides an identification and analysis of nursery product injuries and fatalities involving children younger than the age of 5 years, as well as continued activities related to an update to the *Age Determination Guidelines* manual that is used by CPSC staff, industry, and third party testing firms to conduct age determinations.

- **Upholstered Furniture (21498):** The goal of this project is to develop a rule to reduce the fire risk from ignitions of furniture. Staff continues to develop a flammability standard to address upholstered furniture fires, as well as evaluate fire barrier flammability and functional performance and test methods.
- **All-Terrain Vehicles (ATVs) (22637):** This project consists of staff activities to fulfill the Congressional direction of the CPSIA as amended by P.L. 112-28 to complete the ATV rulemaking proceeding that began with the issuance of an ANPR in 2006. This project also includes the preparation of the annual ATV death and injury data update report with data on ATV deaths by state, relative risk of death by year, and injuries distributed by year and age grouping.
- **Recreational Off-Highway Vehicles (ROVs) (22638):** This project covers continued staff activities related to a rulemaking proceeding that began with the issuance of an ANPR in 2009, and continued with issuance of an NPR in October 2014.
- **Garage Door Operators (22639):** This project includes activities to incorporate into the federal standard for residential garage door operators (16 C.F.R., part 1211) the recent revisions in the entrapment protection provisions of UL 325 “Standard for Safety for Door, Drapery, Gate, Louver, and Window Operators and Systems.”
- **Senior Safety Initiative (22640):** This project includes activities that follow from an FY 2014 staff hazard screening report that focused on senior safety, including activities to solicit information on ways in which the CPSC can better address the risk to the senior population associated with the use of consumer products.
- **Adult Bed Rails Petition (22641):** This project includes ongoing DA/TR activities resulting from the Commission's decision to grant a petition to address the hazard of injury and death associated with the use of adult bed rails. Activities will include continued involvement by staff in the development of a voluntary standard; participating on a working group consisting of the Food and Drug Administration (FDA), the Federal Trade Commission (FTC), and the CPSC; and providing the Commission with an update of these activities.
- **Child Hazards (Drowning) (22642):** This project consists of preparation of an annual update on child submersion injuries. This includes identifying and assigning investigations of fatal submersion incidents involving children younger than age 5, as well as analyzing fatalities and submersion injuries seen in emergency departments involving children younger than age 15. The analysis is summarized in an annual report and is released as part of the CPSC's annual *Pool Safely* campaign.
- **Crib Bumpers (22643):** This project consists of activities related to crib bumpers as directed by the Commission when it granted the petition from the Juvenile Products Manufacturers Association (JPMA).
- **Window Coverings (22644):** This project consists of activities related to initiating rulemaking as directed by the Commission when it granted the petition from Parents for Window Blind Safety and eight other organizations requesting that the CPSC initiate rulemaking to mandate a standard that prohibits any window covering cords where a feasible cordless alternative exists, and for those instances where a feasible cordless alternative does not exist, requires that all cords be made inaccessible through the use of passive guarding devices.
- **Mechanical / Recreational / Sports / Seniors Hazards Voluntary Codes and Standards (22666):** This project provides resources for staff's participation in voluntary standards activities related to mechanical, recreational, sports, and senior hazards.
- **Durable Children's Products/Registration (CPSIA § 104) (22727):** Section 104 of the CPSIA, the Danny Keysar Child Product Safety Notification Act, requires the CPSC to study and develop safety standards for infant and toddler products. The Commission can prioritize its work on these products, but must promulgate two rules every six months until all of the subject products have a mandatory safety standard. This project covers DA/TR and rulemaking activities related to these

products: children's folding chairs, high chairs, changing tables, gates and other enclosures, hook-on chairs, infant bath tubs, infant bouncer seats, infant frame carriers, infant inclined sleep products, infant slings, and stationary activity centers.

In addition, this project covers activities to complete analysis of the data gathered from the durable nursery products exposure survey.

- **Toy Safety (CPSIA § 106) (22728):** This project includes staff preparation of its annual update of deaths and injuries associated with toys involving children younger than the age of 15. Staff will identify and assign investigations of fatal incidents associated with toys involving children younger than age 15 and analyze fatalities and injuries seen in emergency departments involving children younger than age 15. The results of the analysis conducted as part of this project will be summarized in a report that will be released prior to the holiday shopping season.
- **Chemical Hazards (Other) (23259):** This project covers: (1) staff activities related to conducting toxicity assessment; (2) continued CPSC staff participation in several interagency groups that focus on chemical hazards; and (3) participation on an as-needed basis in meetings with federal partners about issues related to the United Nations' Globally Harmonized System of Classification and Labelling of Chemicals (GHS). This project also includes research activities related to potential exposure to chemicals released during and after the application of spray foam insulation and potential chemical hazards related to wearable technology. This project also covers staff's active participation in voluntary standards activities related to chemical products.
- **Carbon Monoxide (CO) Hazards / Voluntary Standards Activities (23335):** This project covers staff's active participation in voluntary standards and model building codes activities to reduce deaths and injuries associated with carbon monoxide (CO) poisonings. In addition, it covers the analysis and preparation of two data reports. One is an annual update of the national estimates of deaths associated with non-fire CO poisoning related to consumer products, and the second is a report that analyzes the available IDI data related to CO deaths associated with engine driven tools and portable generators. In addition, this project includes: (1) research into the causes of unattended gas ranges that spontaneously turn on without consumer action and thermostatic controls that ramp up to extreme temperatures on their own, with no user input; (2) testing related to the hazard of gasoline fuel leakage from gasoline powered handheld equipment; and (3) continued work on the availability and use of CO / combustion sensing technology with vented gas heating appliances as a means to reduce deaths and injuries associated with CO exposure from these products.
- **Portable Generators (23336):** This project addresses the hazards of CO poisoning associated with portable generators and covers research and technical activities related to a rulemaking proceeding that began with the issuance of an ANPR in 2006.
- **Poison Prevention Packaging Act (PPPA) (23447):** The purpose of this project is to reduce injuries and deaths of children associated with exposure to drugs and other household chemicals. It includes staff's interagency work in the area of poison prevention, the preparation of an annual update on unintentional pediatric poisonings, and ongoing monitoring of pediatric poisoning data to determine whether any need for child-resistant packaging exists.
- **Nanotechnology (23704):** This project covers continuing research, through collaboration with other federal agencies and academic institutions, into the use of and exposure to nanomaterials in consumer products. Research currently focuses on: (1) examination of children's products containing nanomaterials; (2) developing models to estimate the release and exposure of nanomaterials from consumer products; and (3) developing robust methods to test nanomaterial release from carbon nanotubes that are used in the manufacture of consumer products.
- **Lead (CPSIA § 101) (23705):** This project includes staff activities to review the lead limit rule as prescribed in Section 101 of the CPSIA.

- **Phthalates (CPSIA § 108) (23706):** Congress directed CPSC in Section 108 of the CPSIA to convene a Chronic Hazard Advisory Panel (CHAP) that would study the effects on children's health of all phthalates and phthalate alternatives in children's toys and in child care articles and make recommendations to the Commission whether to continue the existing interim ban on certain phthalates and whether additional bans on phthalates (or combinations of phthalates) or phthalate alternatives are needed. This project covers staff activities related to rulemaking activities resulting from the recommendations of the CHAP.
- **Laboratory Equipment and Operations Support (24013):** This project includes resources required for safe and efficient operation of CPSC laboratories within the NPTEC, including upgrade and purchase of new equipment, replacement of testing and lab support equipment, calibration and maintenance of equipment/test instruments, services and equipment for hazardous waste management, operational safety and compliance with applicable environmental and occupational safety and health requirements, support for facility modifications to address needed new equipment and/or testing capabilities, materials associated with the construction of test fixtures, and consumables and supplies to support sample and product testing for ongoing Hazard Reduction, Compliance, International Programs, and Communications programs and projects.
- **EXHR Project Support (24505):** This project provides resources to support unforeseen EXHR activities or needs, which may include providing funds for outside expertise, peer review of technical reports, specialized testing, various test equipment, supplies, samples, etc.
- **EXHR Training (25100):** This project provides resources for attendance by EXHR staff at long-distance and local conferences, meetings, and educational courses that support the mission of the agency and the professional growth and development of staff in implementing their duties.
- **International Program Resources (25375):** This project provides resources for EXHR cooperation on activities programmed by the Office of International Programs (EXIP).
- **Hazard Assessment and Reduction (HAR) Management (25376):** This project provides resources for the overall management of the implementation and support of EXHR's projects listed in the CPSC's FY 2015 Operating Plan. This includes providing management, technical, and administrative support for HIR and HIA activities carried out by various divisions within EXHR. This project also includes management, technical, and administrative support for policy and long range planning issues, processing and review of technical documents, participation in Commission briefings, epidemiological special studies, special economic studies and assessments, and maintenance of the CPSC's Product Population and Injury Cost models.
- **EXHR Directorate Division Management (25377):** This project provides resources for the management by EXHR division directors and senior staff coordinators of the implementation and support of EXHR projects listed in the CPSC's FY 2015 Operating Plan. This includes time spent on management and technical and administrative support (recruiting and hiring, timekeeping, and employee performance appraisals).
- **Rule Review (25547):** This is an ongoing project to systematically review CPSC regulations to ensure consistency among all regulations in accomplishing program goals. This project involves a retrospective review of existing rules in accordance with Executive Orders (E.O.s) 13579 and 13563, to the extent applicable to independent agencies. In addition, the CPSC must conduct review of rules in accordance with the Regulatory Flexibility Act (RFA), which requires agencies to review rules within 10 years of publication of the rule that have or will have a significant economic impact on a substantial number of small entities (5 U.S.C. § 610(c)).
- **Lab Accreditation (CPSIA § 102) (25723):** This project provides resources for staff to: (1) administer the requirements for accreditation of third party conformity assessment bodies to assess conformity with a children's product safety rule and (2) manage the application review process and the periodic audit of third party conformity assessment bodies as a condition for

continuing accreditation by the CPSC. This project also includes developing certification requirements for certain durable infant and toddler products and/or other children’s products, as directed by the Commission.

- **Voluntary Standards Program Coordination (25725):** This project provides resources for the coordination of CPSC staff’s voluntary standards activities by the agency’s Voluntary Standards Coordinator and the Deputy Voluntary Standards Coordinator. It includes overseeing staff’s technical support to the voluntary standards organizations that maintain and develop voluntary safety standards for consumer products under CPSC jurisdiction.
- **Paperwork Reduction Act (PRA) Support (25726):** This project includes activities to carry out the agency’s collection information requests, using the procedures directed by the April 7, 2010 Executive Office of the President (EOP) memorandum. Activities include preparing 60 day- and 30 day- notices for the *Federal Register* to announce the agency’s proposal to collect information and asking for comments from the public; estimating the burden that the proposed collection would impose on respondents; and reviewing and responding to comments from the public on the proposed collection requests.
- **Third Party Testing - Third Party Testing / Determinations / Burden Reduction (25727):** This project provides resources to investigate candidates for staff recommendations to the Commission that certain materials can be determined to be compliant with the content requirements of lead, the eight elements listed in ASTM F963, the prohibited phthalates listed in Section 108 of the CPSIA, and thus not require third party testing.
- **1110 Rule (25728):** This project provides resources for activities related to the rulemaking proceeding to update 16 C.F.R. part 1110, Certificates of Compliance.
- **EXHR Administration (25777):** This activity provides resources for EXHR travel, supplies, and other organizational support.

4. Unfunded Work at FY 2015 Request Level

Unfunded Work includes projects, products, or services that were not identified during the original FY 2015 budget formulation phase. These needs have emerged in the intervening time. Should additional resources become available during FY 2015, the listed projects may receive priority consideration for potential staff time and project funding.

Project	Strategic Goal
21498 - Upholstered Furniture	2
22637 - All-Terrain Vehicles (ATVs)	2
22644 - Window Coverings	2
23259 - Chemical Hazards (Other)	2
23335 - Carbon Monoxide (CO) Activities	2

- **Upholstered Furniture (21498):** The purpose of this addition to the project is to provide additional funding for the CPSC’s current interagency agreement (IAA) with the National Institute of Standards and Technology (NIST), under which NIST would perform additional fire modeling for furniture fire development in various configurations. Data from the laboratory testing would serve as input data for fire models that would enable staff to develop estimates of the effectiveness of a furniture flammability standard.
- **All-Terrain Vehicles (ATVs) (22637):** Additional contracted testing would be conducted. The testing would consist of: (1) a study of overturning (both rollover and pitchover), which is a major hazard pattern associated with ATVs, and (2) a study of occupant protection.

- **Window Coverings (22644):** The purpose of this addition to the project is to provide resources for technical proof of concept work for developing effective means of limiting accessibility to a hazardous cord loop.
- **Chemical Hazards (Other) (23259):** This addition to the project includes additional toxicity reviews.
- **Carbon Monoxide (CO) Activities (23335):** The purpose of this addition to the project is to pursue additional work through an IAA with NIST for accelerated aging tests of CO/combustion sensors within a chamber and/or *in-situ* within the heat exchanger or flue passageways of a gas furnace. In addition, market information on European and/or Asian experience on the use of CO/combustion sensors used *in-situ* within the heat exchanger or flue passageways of gas appliances would be purchased.

5. Summary of Budget Key Performance Measures

Control ID	Performance Measure Statement	FY 2015 Target
2015BK2.1.2	Number of collaborations established or maintained with other organizations to work on nanotechnology research or issues affecting consumer products	5
2015BK2.1.3	Number of reports produced on the results of collaboration on nanotechnology issues affecting consumer products	5
2015BK2.1.4	Number of voluntary standards activities that are actively participated in by CPSC staff	80
2015BK2.2.1	Number of candidates for rulemaking prepared for Commission consideration	19
2015BK3.1.1	Percentage of National Electronic Injury Surveillance System (NEISS) member hospitals evaluated at least once a year	98%
2015BK3.1.2	Percentage of consumer product-related injury cases correctly captured at NEISS hospitals	90%
2015BK3.2.1	Time from incident received to integrated team adjudication of incident report (business days)	10
2015BK3.2.2	Percentage of priority import regulated samples (excluding fireworks) tested within 30 days of collection	85%
2015BK3.2.3	Percentage of priority import fireworks samples tested within 60 days of collection	90%
2015BK3.2.4	Percent of all regulated non-import product samples that are tested within 90 days of receipt at NPTEC	Baseline
2015BK3.2.5	Percent of Section 15 Product Safety Assessment requests that are completed within the Hazard Level Completion time assigned	Baseline
2015BK3.5.3	Number of hazard characterization annual reports completed on consumer product-related fatalities, injuries, and/or losses for specific hazards	10

6. Summary of Operating Plan Performance Measures

Control ID	Performance Measure Statement	FY 2015 Target
2015OP01	Number of work-related injuries and illnesses per 100 National Product Testing and Evaluation Center employees in a year (incident rate)	5

Control ID	Performance Measure Statement	FY 2015 Target
2015OP02	Number of incident reports collected from medical examiners and coroners	4,500
2015OP03	Number of incident reports obtained from news clips	6,000
2015OP04	Percentage of incident report verification requests mailed - either email or postal mail - within two business days	95%
2015OP06	Percentage of reports from eligible sources for which clerical coding is completed within one business day following receipt	95%
2015OP07	Percentage of notifications sent to manufacturers named in all reports eligible for the public database within five business days of eligibility determination	95%
2015OP08	Percentage of cases in which staff reviews or refers comments and claims from manufacturers, importers, and private labelers within one business day	95%
2015OP09	Percentage of business registration requests for www.SaferProducts.gov processed within two business days	80%
2015OP10	Percentage of headquarters telephone investigations of NEISS cases completed in fewer than 45 business days	98%
2015OP53	Percentage of completed product investigation reports provided to manufacturers within 50 business days of receiving the report	75%
2015OP59	Percentage of supporting statements for Paperwork Reduction Act renewals submitted to the Office of General Counsel no less than four months prior to OMB control number expiration date	Baseline

7. Annual Milestones

Control ID	Milestone	FY 2015 Target
2015M01	Three new hospitals recruited to participate in NEISS	To Meet
2015M02	A senior safety summit with a focus on risks to the senior population associated with the use of consumer products held	To Meet
2015M03	A report on the senior safety summit published on the CPSC Web site	To Meet
2015M04	A report on findings from the FY 2014 Carbon Monoxide / Combustion Sensor Forum and Request for Information published on the CPSC Web site	To Meet
2015M05	Briefing package prepared—Adoption of Voluntary Standard as Mandatory Standard (Drywall Safety Act of 2009)	To Meet
2015M06	Briefing package prepared—Bed Rails (Adult) Petition	To Meet
2015M07	Briefing package prepared—Crib Bumpers	To Meet
2015M08	Briefing package prepared—Third Party Testing – Determinations	To Meet

1. Resource Summary

	FY 2015 Request	
	Budget (in thousands)	FTE
Compliance HQ	\$ 363	56
Compliance Field	\$ 1,646	103
Total	\$ 2,009	159

2. Overview and Key Priorities

The Office of Compliance and Field Operations (EXC) is responsible for enforcing rules, as well as conducting surveillance, to ensure that hazardous products do not enter or remain in the distribution chain. Enforcement of existing rules and targeted surveillance activities allow for a multidisciplinary approach to enforcement. In an effort to be more proactive than reactive, identification of products that present a risk requires close and frequent interaction with technical and epidemiological staff. Once hazardous products have been identified, the CPSC will take action to protect consumers, remove the product from the marketplace, and hold violators accountable. Continued rulemaking under the CPSIA also requires enforcement activities to help ensure stakeholders are well informed of the requirements, provide for a level playing field, and confirm that stakeholders meet the mandated requirements.

Compliance efforts also support ongoing regulatory compliance activities, including data analysis, investigations, and assessments of the level of compliance with new regulations. Regulatory areas include: public pool and spa requirements (there are more than 300,000 public pools and spas across the nation under CPSC jurisdiction); CPSIA-mandated requirements for cribs, toddler beds, play yards, bath seats, bed rails, strollers, and swings; Federal Hazardous Substances Act (FHSA) regulations for toys, rattles, pacifiers, and infant pillows; refuse bins; and refrigerators. The plan also supports analysis of epidemiology data to determine if there are patterns of defects that might warrant conducting investigations of hazards, including evaluation of technical reports and in-depth field investigations.

In FY 2015, the key priorities will be to: (1) improve Internet surveillance capabilities; (2) educate industry stakeholders impacted by newly effective packaging rules and sleepwear bulletins; and (3) enhance recall effectiveness.

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
31100 - Fire Hazards	4
31102 - Fire Hazards Section 15	4
31103 - Fire Hazards Regulated	4
31163 - Fireworks	4
31183 - Lighters	4
31193 - Children's Gasoline Burn Prevention Act. (P.L. 110-278)	4
31600 - Electrocution Hazards	4
31602 - Electrocution Hazards Section 15	4
31603 - Electrocution Hazards Regulated	4

Project	Strategic Goal
32200 - Mechanical Hazards to Children	4
32202 - Mechanical Hazards to Children Section 15	4
32203 - Mechanical Hazards to Children Regulated	4
32213 - Tracking Labels (CPSIA § 103)	4
32223 - Virginia Graeme Baker Pool and Spa Safety Act (VGB Act) (P.L. 110-140)	4
32233 - Durable Children's Products/Registration - Compliance (CPSIA § 104)	4
32243 - Certification Enforcement (CPSIA § 102)	4
32252 - Mechanical Hazards to Children Drowning	4
32253 - All-Terrain Vehicles (ATVs) (CPSIA § 232) - Compliance Enforcement	4
32272 - Substantial Product Hazard List and Destruction of Noncompliant Imported Products (CPSIA § 223) Section 15(j) Generic Defect Rules	4
32277 - EXC Administration	4
32400 - Mechanical Hazards	4
32402 - Mechanical Hazards Section15	4
32403 - Mechanical Hazards Regulated	4
33700 - Chemical Hazards	4
33702 - Chemical Hazards Section15	4
33703 - Chemical Hazards Regulated	4
33713 - Phthalates Compliance (CPSIA § 108)	4
33762 - Carbon Monoxide (CO) Hazards Section 15	4
33763 - Poison Prevention Packaging Act (PPPA) Hazards	4
33777 - Division of Field Investigations (CFI) Administration	4
33803 - Lead Compliance (CPSIA § 101)	4
34301 - State/Local Programs	4
34380 - Compliance Management	1
34381 - Internet Surveillance Program Support	4
34789 - Recall Effectiveness	4

- Fire Hazards (31100):** This project provides resources for compliance enforcement and remediation activities to address risks to consumers from fire hazards. Included in this project are Section 15 resources, resources to address flammable fabrics, and litigation and investigation support funds.
- Fire Hazards Section 15 (31102):** Evaluate and analyze incoming epidemiology data to determine if there is a pattern of defect that might warrant opening a case. Conduct investigations of hazards, including evaluation of technical reports and in-depth field investigations. Utilize all necessary resources to investigate the case and proceed with the recall. Engage management and the Office of the General Counsel (OGC) to assist in final negotiations.
- Fire Hazards Regulated (31103):** This project provides resources for compliance activities to address fire hazards associated with consumer products covered by mandatory regulations or standards.
- Fireworks (31163):** This project provides resources for compliance enforcement and remediation activities to stop the sale of fireworks that fail to comply with applicable FHSA requirements.

- **Lighters (31183):** This project provides resources for compliance enforcement and remediation activities to stop the sale of cigarette lighters and multi-purpose lighters that fail to comply with applicable CPSA and FHSA requirements.
- **Children’s Gasoline Burn Prevention Act (P.L. 110-278) (31193):** This project provides resources for compliance and remediation activities to reduce risk of injury and death to children from fire hazards. Each portable gasoline container manufactured on or after Jan. 17, 2009, in the United States, shall conform to the child resistance requirements for closure on portable gasoline containers specified in the standard ASTM F2517-05.
- **Electrocution Hazards (31600):** This project provides resources for compliance enforcement and remediation activities that address defective products that present risks of electrocution. These resources are used primarily to support Section 15 activities.
- **Electrocution Hazards Section 15 (31602):** This project provides resources for electrocution hazards associated with products not covered by mandatory regulations or standards which may involve a substantial product hazard.
- **Electrocution Hazard Regulated (31603):** This project provides resources for electrocution hazards associated with products covered by mandatory regulations or standards.
- **Mechanical Hazards to Children (32200):** This project provides resources for compliance enforcement and remediation activities to address risks to children from products that present mechanical hazards. Included in this project are children’s articles regulated under the FHSA and products that may present substantial product hazards (excluding drowning).
- **Mechanical Hazards to Children Section 15 (32202):** This project provides resources for compliance activities to address mechanical hazards to children not covered by mandatory regulations or standards which may involve a substantial product hazard (excluding head injuries).
- **Mechanical Hazards to Children Regulated (32203):** The project provides resources for compliance activities to address mechanical hazards to children associated with household products covered by mandatory regulations or standards (excluding drowning).
- **Tracking Labels (CPSIA § 103) (32213):** This project provides resources for compliance activities to monitor and assess compliance with the rule prohibiting sale of children’s products that fail to bear the required manufacturer tracking labels on products.
- **Virginia Graeme Baker Pool and Spa Safety Act (VGB Act) (P.L. 110-140) (32223):** The project provides resources for compliance and remediation activities to address risks of drowning and entrapment hazards in pools and spas.
- **Durable Children’s Products/Registration (CPSIA § 104) - Compliance (32233):** Conduct enforcement activities to monitor and assess compliance with CPSC rule or standard pertaining to infant and toddler products, including full-size cribs, nonfull-size cribs, toddler beds, high chairs, booster chairs, hook-on chairs, bath seats, gates, other enclosures for confining a child, play yards, stationary activity centers, infant carriers, strollers, walkers, swings, and bassinets and cradles.
- **Certification Enforcement (CPSIA § 102) (32243):** This project provides resources to conduct compliance enforcement activities to monitor and assess compliance with the General Conformity Certification and third party testing requirements of CPSA Section 14 (as amended by CPSIA Section 102).
- **Mechanical Hazards to Children Drowning (32252):** This project provides resources for compliance enforcement and remediation activities to address risks to children from products that present drowning hazards.

- **All-Terrain Vehicles (ATVs) (CPSIA § 232) Compliance Enforcement (32253):** This project provides resources to conduct CPSC enforcement activities to monitor and assess compliance with the rule associated with ATV-related activities of the CPSIA, including enforcement of the ANSI/SVIA 1-2007 voluntary standard as a mandatory consumer product safety standard and reviewing ATV action plans which manufacturers and distributors must file with the CPSC.
- **Substantial Product Hazard List and Destruction of Noncompliant Imported Products (CPSIA § 223) Section 15(j) Generic Defect Rules (32272):** This project supports compliance enforcement and remediation activities to monitor and assess products subject to a 15(j) determination under the CPSA (15 U.S.C. § 2064). For example, enforcement activities may be directed toward products with drawstrings to determine whether their characteristics present a substantial product hazard, as discussed in Section 15(a) (2) (15 U.S.C. § 2064).
- **EXC Administration (32277):** This project provides for travel, transportation, printing, and purchases of supplies, samples, and equipment to support EXC operations.
- **Mechanical Hazards (32400):** This project provides resources for compliance enforcement and remediation activities to address risks to consumers from mechanical hazards. The project encompasses sports and recreational equipment, household goods, and power equipment.
- **Mechanical Hazards Section 15 (32402):** This project provides resources for compliance activities to address mechanical hazards not covered by mandatory regulations or standards which may involve a substantial product hazard.
- **Mechanical Hazards Regulated (32403):** This project provides resources for compliance enforcement and remediation activities to address risks to consumers from mechanical hazards. The project does not include resources to address risks primary to children from products that present mechanical hazards. The project encompasses sports and recreational equipment, household goods, and power equipment that are subject to mandatory regulations or standards, such as architectural glazing products, walk-behind lawn mowers, garage door operators, and pool slides.
- **Chemical Hazards (33700):** This project provides resources for compliance enforcement and remediation activities to address risks to consumers from chemical hazards. Areas covered include FHSA labeling enforcement, lead hazards not addressed under the CPSIA, art materials, and emerging chemical hazard investigation.
- **Chemical Hazards Section 15 (33702):** This project provides resources for compliance activities to address chemical hazards not covered by mandatory regulations or standards which may involve a substantial product hazards.
- **Chemical Hazards Regulated (33703):** This project provides resources for conducting inspections, reviewing inspection reports, following-up on trade complaints, and providing advice and guidance to the industry on complying with the precautionary labeling requirements under the FHSA.
- **Phthalates Compliance (CPSIA § 108) (33713):** This project provides resources for compliance enforcement and remediation activities to monitor and assess compliance of certain products containing phthalates in accordance with the requirements of Section 108 of the CPSIA.
- **Carbon Monoxide (CO) Hazards Section 15 (33762):** This project provides resources for compliance enforcement and remediation activities to address risks from CO hazards. This project's resources provide for investigation of defective appliances that can cause CO emissions, defective CO detectors, or any other product that poses a substantial product hazard.

- **Poison Prevention Packaging Act (PPPA) Hazards (33763):** This project provides resources for compliance enforcement and remediation activities to stop the sale of drugs and household chemicals that fail to comply with applicable PPPA requirements.
- **Division of Field Investigations (CFI) Administration (33777):** This project provides for travel, transportation, printing, and purchases of supplies, samples, and equipment to support CFI operations.
- **Lead Compliance (CPSIA § 101) (33803):** Conduct enforcement activities to monitor and assess compliance with the rule prohibiting the sale of children’s products (age 12 or younger) containing more than specified amounts of lead (100 parts per million total), a banned hazardous substance under the FHSA.
- **State/Local Programs (34301):** This project provides resources to support activities to promote federal-state cooperation for purposes of carrying out Section 29(a) of the CPSA. In an effort to expand the CPSC’s effectiveness and reach, state designees work cooperatively with the CPSC to conduct a full range of inspections to monitor for noncompliant and defective products. The project includes time to conduct training of state and local officials and conduct outreach activities through workshops, on-site visits, and national meetings. State designees work cooperatively with the CPSC to deliver services to consumers at little cost to the federal government.
- **Compliance Management (34380):** This project provides for the overall direction and proper implementation of the compliance program, as well as the administrative management of EXC. A central mission of this project is to assess how well Commission programmatic decisions are implemented.
- **Internet Surveillance Program Support (34381):** This project supports activities to conduct undercover Internet surveillance activities, as well as monitoring of products sold to consumers via the Internet that have been recalled or may otherwise violate a CPSC rule or standard. The project includes time to conduct Internet surveillance to review products sold by various retailers, manufacturers, and importers online and to conduct follow-up on consumer/trade complaints.
- **Recall Effectiveness (34789):** Evaluate and analyze incoming epidemiology data to determine if there is a pattern of defect that might warrant opening a case. The purpose of this project is to evaluate means for increasing effectiveness of product safety recalls at the consumer level.

4. Unfunded Work at FY 2015 Request Level

Unfunded Work includes projects, products, or services that were not identified during the original FY 2015 budget formulation phase. These needs have emerged in the intervening time. Should additional resources become available during FY 2015, the listed project may receive priority consideration for potential staff time and project funding.

Project	Strategic Goal
34381 - Internet Surveillance Program Support	4

- **Internet Surveillance Program Support (34381):** This *Unfunded* request is to develop an automated notification and case management system for Internet surveillance.

5. Summary of Budget Key Performance Measures

Control ID	Performance Measure Statement	FY 2015 Target
2015BK3.5.4	Total number of products screened by CPSC field staff (excluding imports)	200,000
2015BK4.1.1	Number of establishment inspections conducted by CPSC field staff	3,000
2015BK4.1.2	Percentage of products screened by CPSC field staff resulting in violations	6%
2015BK4.3.1	Percentage of all cases for which the preliminary determination is made within 85 business days of the case opening	70%
2015BK4.3.2	Percentage of cases for which the corrective action is accepted within 60 business days of the preliminary determination	80%
2015BK4.3.3	Percentage of cases in which the firm is notified of a violation in a timely manner	90%
2015BK4.3.4	Percentage of Fast-Track cases with corrective actions initiated within 20 business days	90%
2015BK4.5.2	Percentage of compliance defect investigation cases referred within 20 business days to OGC for review of firms' timely reporting pursuant to §15(b)	75%

6. Summary of Operating Plan Performance Measures

Control ID	Performance Measure Statement	FY 2015 Target
2015OP17	Percentage of corrective actions obtained within 35 business days after an LOA is issued (Regulated Products)	85%
2015OP21	Percentage of recall checks conducted in cooperation with state or local partners within 90 calendar days of assignment	90%
2015OP60	Percentage of investigations (not including ATV and pool data collection investigations) completed in fewer than 45 business days	95%
2015OP61	Total number of products screened by CPSC field staff at import	3,500

7. Annual Milestones

Control ID	Milestone	FY 2015 Target
2015M09	Met with industry/stakeholders to help develop new notification measures to improve recall effectiveness	To Meet
2015M10	Conducted State Workshop by second half of FY 2015 to train state officials on new CPSC rules and priorities in an effort to improve recall effectiveness	To Meet
2015M11	Began development of an automated notification and case management system for internet surveillance	To Meet
2015M12	Conducted workshops for PPPA and Sleepwear in the second half of FY 2015	To Meet

1. Resource Summary

	FY 2015 Request	
	Budget (in thousands)	FTE
Import Surveillance*	\$ 1,115	44

* Funding for the information technology (IT) elements of the Import Surveillance Risk Assessment Methodology (RAM) targeting system is included in the Office of Information and Technology Services' (EXIT's) budget.

2. Overview and Key Priorities

The CPSC's Office of Import Surveillance (EXIS) coordinates with the U.S. Department of Homeland Security's Customs and Border Protection (CBP) to prevent violative or hazardous products from entering the United States. CPSC investigators are colocated at select ports of entry to target and screen incoming shipments of consumer products. The CPSC also collaborates with CBP at the Commercial Targeting and Analysis Center (CTAC) to implement national operations designed to optimize the federal government's response to product risk at importation.

Over the course of calendar year 2013, approximately 235,000 importers brought in \$722.9 billion in imports of consumer products under CPSC jurisdiction. That averages to nearly \$2 billion per day. Since 2008, four out of five product recalls in the United States involve an imported product. Increasingly, American consumers run a higher risk of injury and death, and domestic manufacturers are at a competitive disadvantage due, in part, to product imports entering the United States that do not comply with federal safety standards or that violate intellectual property laws while also posing a health and safety risk.

To face this immense and growing challenge, the CPSC initiated a highly innovative International Trade Data System/Risk Assessment Methodology (ITDS/RAM) pilot system, which uses the CPSC's unique data along with data from CBP to quickly target high-risk consumer products arriving at U.S. ports of entry.

Since implementation in 2011, the results of the pilot program have been positive. In 2012, more than 3.6 million violative or potentially hazardous non-fireworks consumer product units were stopped from entering commerce, more than 20 times the number of units identified by the CPSC in 2007. The pilot has also benefited compliant trade. From the introduction of the RAM pilot through 2012, the CPSC has seen a 17 percent reduction in the number of shipments held. However, the existing pilot-scale program analyzes only a fraction of entry lines under CPSC jurisdiction.

Based on the success of the pilot program, the CPSC proposed a full-scale national program, intended to help address the risks posed by noncompliant imports. The proposal was included in the FY 2015 Performance Budget Request. When fully implemented, the RAM surveillance system will analyze 100 percent of incoming import product lines under CPSC jurisdiction and designate high-risk entries for further examination before those imports reach U.S. ports. The expansion of the Import Surveillance program and user fee authorization proposal are still under active consideration as part of the ongoing FY 2015 Congressional appropriations process.

Key priorities in FY 2015 will include:

- 1) *Continued monitoring of the improvement in targeting effectiveness associated with the ITDS/RAM pilot system while increasing efforts to increase staff at new and existing ports:* The CPSC envisions a program with increased agency presence at U.S. ports of entry and a program that also develops and deploys the RAM surveillance system nationwide.

- 2) *Coordinating draft rulemaking and amendment of appropriations language pursuant to Congressional approval of the CPSC’s proposed full-scale national program:* The CPSC has consulted with CBP who would have the authority and administrative capability to collect the user fee on the CPSC’s behalf. The CPSC and CBP have already established a successful collaborative partnership in implementing the pilot import surveillance program at 16 ports.
- 3) *Supporting the “single window” platform and coordinating implementation of a pilot program to collect electronic certificates with volunteers from the trade:* A Partner Government Agency (PGA) Message Set pilot will be initiated to evaluate and assess a test information exchange platform for the electronic filing of certificate data. The CPSC will continue to provide support to CBP’s Automated Commercial Environment (ACE), which is an interface connecting CBP, the trade community, and other federal government agencies to manage the admissibility of goods entering the country.
- 4) *Establishing baseline values for performance measures to improve the CPSC’s effectiveness:* Focus on first-time importer violators and product hazard identification in order to facilitate legitimate trade through targeting for noncompliant, hazardous consumer products entering the United States.

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
31110 - Import Activities Fire Hazards	3
31610 - Import Activities Electrocutation Hazards	3
32210 - Import Activities Mechanical Hazards (Child)	3
33710 - Import Activities Chemical Hazards	3
34330 - Import Compliance Casework	3
34333 - Import Case Referral	3
34340 - Partner Government Agency (PGA) Message Set	3
34350 - Import Surveillance	3
34360 - Commercial Targeting and Analysis Center (CTAC) Support	3
34370 - Partnership/Trusted Trader Program	3
34377 - EXIS Administration	3
34390 - Import Surveillance Management	3

- **Import Activities Fire Hazards (31110):** This project provides resources to conduct import activities involving fire hazards. These activities include surveillance, screening, sampling, reviewing documents, and any other activity (excluding Import Compliance Casework or Import Case Referral work) associated with products in import status.
- **Import Activities Electrocutation Hazards (31610):** This project provides resources to conduct import activities involving electrocutation hazards. These activities include surveillance, screening, sampling, reviewing documents, and any other activity (excluding Import Compliance Casework or Import Case Referral work) associated with products in import status.
- **Import Activities Mechanical Hazards (Child) (32210):** This project provides resources for compliance enforcement and remediation to conduct import activities involving mechanical hazards. These activities include surveillance, screening, sampling, reviewing documents, and any other activity (excluding Import Compliance Casework or Import Case Referral work) associated with products in import status.

- **Import Activities Chemical Hazards (33710):** This project provides resources for compliance to conduct import activities involving chemical hazards. These activities include surveillance, screening, sampling, reviewing documents, and any other activity (excluding Import Compliance Casework or Import Case Referral work) associated with products in import status.
- **Import Compliance Casework (34330):** Resources used to process or support regulated or Section 15(j) compliance casework for products collected at import.
- **Import Case Referral (34333):** Resources used to investigate and present matters involving regulatory violations and certain other matters referred by EXIS to the Office of the General Counsel (OGC).
- **Partner Government Agency (PGA) Message Set (34340):** This project includes activities to evaluate and assess a test platform to manage an exchange of electronic data with CBP to include “PGA Message Set” data from required certificates. The data in "PGA Message Set" will be provided from industry. The CPSC’s proposed rule for electronic certificates would require the trade to file certificate of compliance data electronically with CBP’s ITDS/ACE Modernization “single window” platform for collecting such information, a requirement of E.O. 13659, “Streamlining the Export/Import Process for American Businesses.”
- **Import Surveillance (34350):** Resources used to meet with CBP officials on the integration of Automated Commercial Environment (ACE). This includes all meeting time with outside contractors, other federal agencies, and conferences to educate the trade on policy changes.
- **Commercial Targeting and Analysis Center (CTAC) Support (34360):** Resources used to staff and support CTAC, which is the agency’s mechanism for conducting joint import enforcement programs with CBP. CTAC serves as the central location for the agency targeting effort in support of agency enforcement plans and RAM as required under Section 222 of the CPSIA.
- **Partnership/Trusted Trader Program (34370):** Resources used to evaluate applicants for a partnership/Trusted Trader Program. This includes all time spent on meeting with CBP and companies who apply; conducting both domestic and foreign visits of firms, manufacturers, and suppliers; and attending conferences to educate the trade on a program and evaluate the program(s).
- **EXIS Administration (34377):** Travel, transportation, printing, and purchases of supplies, samples, and equipment to support EXIS operations. This project is for non-salary resources only.
- **Import Surveillance Management (34390):** Overall direction, management, and proper implementation of the Import Surveillance program. Expansion of import surveillance efforts to reduce entry of unsafe products at U.S. ports. Use a risk-based methodology to prioritize the CPSC’s targeted response to addressable product hazards. This project includes the office’s management and coordination of all EXIS activities, as well as interagency work and liaison activities not related to ACE/ITDS or RAM.

4. Unfunded Work at FY 2015 Request Level

Unfunded Work includes projects, products, or services that were not identified during the original FY 2015 budget formulation phase. These needs have emerged in the intervening time. Should additional resources become available during FY 2015, the listed project may receive priority consideration for potential staff time and project funding.

Project	Strategic Goal
34370 - Partnership/Trusted Trader Program	3

- Partnership/Trusted Trader Program (34370):** The current Importer Self-Assessment-Product Safety (ISA-PS) pilot program identifies companies that are low risk importers and was piloted in 2008. The resources requested work toward transitioning the pilot program to a permanent, independent, risk-based Trusted Trader program. Activities include: contractor support to develop program requirements; meetings with CBP and applicant companies; domestic and foreign visits to firms, manufacturers, and suppliers; attendance at conferences to educate the trade; and program management and evaluation. Membership in the Trusted Trader Program would reduce the score in the CPSC risk model and support E.O. 13659, “Streamlining the Export/Import Process for America’s Business.”

5. Summary of Budget Key Performance Measures

Control ID	Performance Measure Statement	FY 2015 Target
2015BK3.4.1	Number of import examinations	25,000
2015BK3.4.3	Percentage of import shipments processed through the Risk Assessment Methodology (RAM) pilot system that are cleared within one business day	99%
2015BK3.4.4	Percentage of CPSC import entry hold requests acted on by CBP	86%
2015BK3.4.6	Percentage of first-time violators who are engaged with an informed compliance inspection within 30 days of violation determination	Baseline
2015BK3.4.7	Percentage of entries sampled as identified through the Risk Assessment Methodology (RAM) pilot system	Baseline

6. Summary of Operating Performance Measures

Control ID	Performance Measure Statement	FY 2015 Target
2015OP22	Number of ports at which CPSC will cross-train other federal agencies' staff to identify hazardous imported products	11
2015OP23	Number of informed compliance inspections performed by CPSC staff of children's product importers with a history of noncompliance	25

7. Annual Milestones

Control ID	Milestone	FY 2015 Target
2015M13	Targeting effectiveness evaluation conducted of pilot RAM system	To Meet
2015M14	Training conducted for Import Surveillance operations at a port location(s)	To Meet
2015M15	Completed five case referral memorandums to CPSC’s Office of General Counsel to support processing of legal casework against violating entities	To Meet
2015M16	Hired 10 staff to support the workload of an ITDS-RAM full production system.	To Meet
2015M17	Implemented a pilot project to evaluate and assess a test platform for the electronic filing of certificates of conformity.	To Meet
2015M18	Drafted a rule to implement the user fee provision upon approval of the proposed amendment to Section 17(h) to the CPSA	To Meet

1. Resource Summary

	FY 2015 Request	
	Budget (in thousands)	FTE
Office of International Programs	\$ 721	7

2. Overview and Key Priorities

The CPSC’s Office of International Programs (EXIP) was established to carry out educational and outreach activities to international stakeholders. EXIP activities are focused on foreign governments and foreign manufacturers, as well as on conducting cooperative programs, training, and informational activities in foreign countries of interest to the CPSC. EXIP will continue to place emphasis on cooperation with multilateral organizations, China, and geographical regions and countries of special interest to the agency. In maintaining ties and working with its overseas partners, EXIP will make efficient use of its resources by communicating with its partners via digital video conferencing (DVC) and webinars, as appropriate, as well as collaborating with other U.S. government agencies that operate overseas (e.g., the U.S. Agency for International Development (USAID)).

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
53148 - Overseas Office	1
53149 - International Program	1
53152 - China Program	1
53153 - European / International Organizations Program	1
53154 - Asia Pacific Program – Selected Jurisdictions	1
53155 - Southeast (SE) Asia Program	1
53156 - Western Hemisphere Program	1

- **Overseas Office (53148):** Provides resources for the operation of the CPSC’s overseas office, located in Beijing, China, to promote compliance with U.S. product safety requirements among exporters in Asia, especially China, and to coordinate with product safety regulators in the region.
- **International Program (53149):** Resources for supervising the performance of the various initiatives of the CPSC’s international programs. Supports a staff of seven.
- **China Program (53152):** The largest of EXIP’s programs, the China Program is focused on engagement with the CPSC’s counterpart agency in China - the General Administration of Quality Supervision, Inspection and Quarantine (AQSIQ). The program provides training and guidance for consumer product manufacturers in China, as well as resources for seeking remedial action from factories in China in cases of recalls or when products have been stopped at ports of entry. This project includes resources for intergovernmental meetings and industry training events.
- **European / International Organizations Program (53153):** The CPSC works with counterpart agencies of the European Union (EU) at the executive body-level with the European Commission (EC), headquartered in Brussels, Belgium, as well as at the individual EU Member State-level with counterpart regulatory agencies. The CPSC also participates in product safety groups within international organizations, such as the Organisation for Economic Co-operation and Development (OECD). The program’s focus is to partner with European colleagues in areas of product safety policy and enforcement where cooperation will better protect U.S. consumers. Similarly, the CPSC’s participation in multilateral organizations is aimed at building a robust,

global product safety culture. To the extent that unsafe products are not manufactured anywhere in the world, they are less likely to find their way into the hands of U.S. consumers. The OECD Global Recalls Portal is an example of collaboration aimed at improving global understanding of product safety issues. This project includes resources for intergovernmental meetings and a cooperative agreement.

- **Asia Pacific Program – Selected Jurisdictions (53154):** This program covers the CPSC’s work with Australia, New Zealand, Japan, South Korea, and Taiwan. Resources are focused on developing closer relations with these jurisdictions, The program intends to align standards and other regulations in furtherance of consumer product safety. Through the CPSC’s Extended Training Exchange Program, the agency was fortunate to host the program’s first foreign official from the Australian Competition and Consumer Commission (ACCC) during FY 2012. This project includes resources for intergovernmental meetings and industry training events.
- **Southeast (SE) Asia Program (53155):** The Southeast (SE) Asia Program covers the agency’s work to promote compliance with U.S. product safety requirements among exporters in the region and to coordinate with product safety regulators in the region. The agency has focused on specific training programs targeted to consumer product export industries that are prevalent in Thailand, Vietnam, Singapore, Malaysia, and Indonesia; industries of particular emphasis are furniture construction, textiles, and shoe manufacturing. This project includes resources for intergovernmental meetings and industry training events.
- **Western Hemisphere Program (53156):** This program is targeted toward CPSC international activities in North, Central, and South America. Primary focus is directed toward Canada and Mexico, as well as the Consumer Safety and Health Network (CSHN) of the Organization of American States (OAS). This project includes resources for intergovernmental meetings and industry training.

4. **Unfunded Work at FY 2015 Request Level** – None identified

5. **Summary of Budget Key Performance Measures**

Control ID	Performance Measure Statement	FY 2015 Target
2015BK1.2.1	Number of training or outreach seminars for foreign manufacturers conducted by CPSC staff	6
2015BK1.2.2	Number of staff exchanges with foreign counterparts undertaken as part of the Extended Training Exchange Program	3

6. **Summary of Operating Plan Performance Measures** – None

7. **Annual Milestones**

Control ID	Milestone	FY 2015 Target
2015M19	Six international cooperation business plans reviewed and updated. The program plans are for (1) the Western Hemisphere, (2) Beijing Regional Office, (3) China, (4) East Asia-Pacific, (5) Europe and International Organizations, and (6) Internet Foreign Language	To Meet
2015M20	Leadership positions in international organizations held in the Organization for Economic Cooperation and Development Working Party on Consumer Product Safety, and in the Consumer Safety and Health Network (CSHN) of the Organization of American States	To Meet
2015M21	Written progress report on CPSC initiatives in working toward aligning global product safety requirements	To Meet
2015M22	Six training for foreign consumer product safety regulators conducted or facilitated	To Meet

1. Resource Summary

	FY 2015 Request	
	Budget (in thousands)	FTE
Office of Communications	\$ 1,650	10
VGB PSSA Information & Education	\$ 1,030	
Total	\$ 2,680	10

2. Overview and Key Priorities

The Office of Communications' (OCM's) work is linked most directly to Strategic Goal 5—Raising Awareness. OCM contributes to the CPSC's mission through two key efforts: implementing safety education and prevention programs and announcing product recalls. Priority programs of OCM's effort in implementing safety education and prevention programs include announcing the awards for the State Swimming Pool Safety Grant Program in Section 1405 of the Virginia Graeme Baker Pool and Spa Safety Act (VGB Act); implementing the Information and Education elements in Section 1407 of the VGB Act; coordinating a national Safe to Sleep® campaign; and conducting a robust Minority Outreach campaign, which includes the Neighborhood Safety Network (NSN) program reaching more than 9,000 members. Additionally, OCM staff conducts 24 targeted public information efforts each year, covering a variety of product hazards, ranging from hidden home dangers to holiday safety, and from import surveillance to back-to-school safety. To support these programs and other CPSC offices, OCM creates, manages, and distributes materials from a library of more than 200 safety publications; produces, records, and webcasts about 100 video presentations, media events, and meetings each year; and manages CPSC Hearing Room events. In addition, the office is responsible for content development and management of numerous CPSC websites; disseminates information via several social media platforms and listservs; translates materials into Spanish; and captions videos.

With respect to OCM's other key effort of announcing product recalls, OCM issues more than 400 recall announcements each year, many of which are amplified by media interviews, news events, recall round-up videos, and social media. The office provides graphics support for agency programs and events, such as NSN posters and Safety Summit announcement materials; monitors media and social media coverage of agency-related announcements; and manages the contract for the agency's customer service Hotline.

In FY 2015, OCM will pursue new opportunities to raise awareness for the three priority programs – Pool Safely, Safe to Sleep®, and Minority/Community Outreach. Also, OCM will develop new messages and events surrounding the 24 targeted programs. New measures are planned for reducing the time between recall news release first drafts and the issuance date; and on new processes to streamline operations of agency websites and expand content visibility.

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
22662 - Virginia Graeme Baker Pool & Spa Safety Act (VGB Act) (P.L. 110-140) Grants Administration	4
42286 - Distribution Services	5
42549 - Child Safety	5
42616 - Ongoing/Seasonal Programs	5
44201 - Hotline	3
44522 - Special Projects	5

Project	Strategic Goal
44563 - Recalls/Alerts	5
44565 - Media Relations	5
44577 - Communications Operations	5
44699 - Video Communication	5
44790 - Virginia Graeme Baker Pool and Spa Safety Act (VGB Act) (P.L. 110-140)	5
44792 - Hearing Room Operations and Maintenance	5
44793 - Digital Communications	5
44794 - Minority Outreach	5
44795 - Media Monitoring	5

- **Virginia Graeme Baker Pool and Spa Safety Act (VGB Act) (P.L. 110-140) – Grants Administration (22662):** Activities related to implementation of Section 1405 (State Swimming Pool Safety Grant Program) of P.L. 110-140. Determine eligibility criteria for grant applicants (based on Section 1406 of P.L. 110-140). Establish application submission and evaluation criteria. Determine appropriate grantee activities, timelines, and evaluations of results.
- **Distribution Services (42286):** This project includes resources for contracting storage and distribution services of all of CPSC print publications.
- **Child Safety (42549):** This project includes resources for information and education campaigns such as Safe to Sleep®, toy safety, and tip-over protection; and raising awareness about a variety of issues affecting the vulnerable population.
- **Ongoing/Seasonal Programs (42616):** This project includes resources for both state and local outreach programs conducted in cooperation with OCM, as well as applicable OCM partnership programs.
- **Hotline (44201):** This project includes resources for contract services to manage and operate the CPSC Hotline, including the intake and reporting of calls, e-mails, consumer incident reports, and publication orders.
- **Special Projects (44522):** This project provides resources for the 24 targeted partnership programs that are not funded by other OCM projects.
- **Recalls/Alerts (44563):** This project includes any effort by OCM to take advantage of opportunities to raise awareness about product recalls.
- **Media Relations (44565):** This project includes resources for newswire and online distribution of CPSC news releases and announcements, including Spanish translation and distribution services.
- **Communications Operations (44577):** This project includes resources for management of OCM projects, budget, and contracts.
- **Video Communication (44699):** This project includes resources for contracting video production services and in-house production. Contractor will record, edit, and distribute CPSC news contents, several times a year, to television (TV) stations and other video outlets nationwide.
- **Virginia Graeme Baker Pool and Spa Safety Act (VGB Act) (P.L. 110-140) (44790):** This project includes resources for information and education campaign to raise awareness about the VGB Act, in an effort to improve safety and prevent drownings in pools and spas.
- **Hearing Room Operations and Maintenance (44792):** This project includes resources for maintenance of hearing room equipment and materials. Also includes service contracts for captioning and transcription of conferences, meetings, and other activities held in the Commission Hearing Room.
- **Digital Communications (44793):** This project includes resources for development, production, and distribution of CPSC product safety messages via various online platforms.
- **Minority Outreach (44794):** This project includes resources to support the CPSC's work to inform and educate minority and underserved consumers about priority hazards and hazards that disproportionately affect these populations, such as tip-over and poison prevention. In FY 2015,

staff proposes to implement a pilot project in the state of Ohio aimed at establishing a model for reaching low-income and underserved families with safety information that results in a reduction in deaths and injuries. The model developed based upon the pilot program would be shared with other states and potential awardees of grants in FY 2016.

- **Media Monitoring (44795):** This project includes resources for news monitoring services: reports of news coverage involving CPSC actions, including news from TV, radio, print, and online sources.

4. Unfunded Work at FY 2015 Request Level

Unfunded Work includes projects, products, or services that were not identified during the original FY 2015 budget formulation phase. These needs have emerged in the intervening time. Should additional funds become available during FY 2015, the listed projects may receive priority consideration for potential funding.

Project	Strategic Goal
42549 - Child Safety	5
44522 - Special Projects	5

- **Child Safety (42549):** This project includes resources to support a major campaign development and execution effort for a Safe to Sleep® Media and Education Program that would raise awareness of hazards in the sleeping environments of babies. While current efforts are focused on messaging about new safety standards and past recalls and promoting educational videos and partnerships, this *Unfunded* project would bring in public relations services to analyze and clarify messages, carry out comprehensive material development and media outreach, and develop new partnership opportunities to extend CPSC messages. From branded information tool kits, public service announcements, and social media, to celebrity and medical group participation, as well as consistent conference visibility and strong manufacturing and retailer support for safety messaging, the opportunities for raising awareness would be made available and significant with more robust funding.
- **Special Projects (44522):** This project includes resources to support a major campaign development and execution effort for an ATV Safety Media and Education Program. The program would raise awareness of deadly hazards associated with ATV operation, including the five common causes of ATV accidents and deaths. Originally conceived to support EXHR's initiative on off-road vehicles, this program would engage professional resources to help the agency identify key demographics and characteristics of high-risk riders and riding communities; to develop branded messaging that would resonate with these audiences; and to develop unique message delivery opportunities through celebrity participation, audience-appropriate materials, and manufacturer and retailer support. Current low-impact efforts include messaging in conjunction with deaths and serious injuries, recalls, and www.ATVSafety.gov. With dedicated funds, OCM's outreach efforts would be elevated to a level of audience engagement and activities currently seen only in the CPSC's *Pool Safely* program.

5. Summary of Budget Key Performance Measures

Control ID	Performance Measure Statement	FY 2015 Target
2015BK4.4.2	Average number of business days between establishment of first draft and issuance of recall press release for the most timely 90% of all recall press releases	20
2015BK5.2.1	Number of public information campaigns conducted by CPSC on targeted consumer product safety hazards	24
2015BK5.2.2	Number of impressions of CPSC safety messages received by consumers on targeted consumer product safety hazards (in millions)	4,765
2015BK5.2.3	Number of media or social media events involving collaborations with other federal, state or local governments; consumer advocacy organizations; medical or industry groups; or other stakeholders that focus on a targeted hazard with high public concern	5

Control ID	Performance Measure Statement	FY 2015 Target
2015BK5.3.1	Number of impressions of CPSC safety messages received by consumers on priority hazards in vulnerable communities (in millions)	1,685
2015BK5.3.4	Number of media or social media events involving collaborations with other federal, state or local governments; consumer advocacy organizations; medical or industry groups; or other stakeholders that focus on a priority hazard in vulnerable communities	15

6. Summary of Operating Plan Performance Measures

Control ID	Performance Measure Statement	FY 2015 Target
2015OP27	Percentage of product incident reports processed by Hotline staff within 8 working hours	99%
2015OP28	Percentage of voicemail messages responded to by Hotline staff the next business day	98%
2015OP30	Number of new social media platforms for which CPSC established a presence to promote CPSC programs, recalls, and alerts	2
2015OP31	Number of followers on Twitter signed up to receive CPSC safety messages in English and Spanish	35,000
2015OP32	Number of impressions of messages related to import safety campaigns and recalls received by consumers (millions)	1,900
2015OP33	Number of impressions of fire safety messages received by consumers (millions)	650
2015OP34	Number of impressions of carbon monoxide safety messages received by consumers (millions)	115
2015OP35	Number of impressions of safety messages related to children's products and other hazards received by consumers (millions)	2,100
2015OP38	Number of impressions of safety messages focused on effects of safety hazards on minority audiences in print, broadcast and social media (millions)	35
2015OP39	Number of impressions of CPSC safety messages related to drowning and drain entrapment prevention in pools and spas received by consumers (millions)	1,100
2015OP41	Number of impressions of CPSC safety messages related to Safe to Sleep® received by consumers through information and education activities (millions).	550
2015OP42	Number of submissions for contest in which students submit posters recognizing the dangers of carbon monoxide	300
2015OP43	Number of visits to CPSC's websites (millions)	40
2015OP54	Number of messages sent to Neighborhood Safety Network (NSN)	15
2015OP57	Number of pool and spa safety information and education activities conducted	6
2015OP62	Number of minority outreach activities involving CPSC staff collaborating with organizations in the state of Ohio to educate underserved communities about drowning prevention, poison prevention, television/furniture tip-overs, and safe sleep for babies	7
2015OP63	Number of activities which involve CPSC promoting Safe to Sleep® messages for child safety, in collaboration with the National Institute of Child Health and Human Development (NICHD), Health Resources and Services Administration (HRSA), and other organizations	3
2015OP64	Number of Virginia Graeme Baker (VGB) grants issued	7

7. Annual Milestones

Control ID	Milestone	FY 2015 Target
2015M23	Biannual field survey of consumer awareness of the CPSC conducted	To Meet
2015M24	Automated recall release workflow from assignment to publication to extent possible with current technology.	To Meet
2015M25	Automated recall distribution to selected listservs	To Meet
2015M26	Identified and tested new performance measures for Hotline service	To Meet

1. Resource Summary

	FY 2015 Request	
	Budget (in thousands)	FTE
Office of Information Technology	\$ 80	42
IT Infrastructure	\$ 6,255	
Commission’s Information Systems	\$ 4,509	
Risk Assessment Methodology - ITDS	\$ 3,600	
Total	\$ 14,444	42

2. Overview and Key Priorities

The Office of Information and Technology Services (EXIT) is responsible for general policy and planning issues related to the dissemination of information by the CPSC, including, but not limited to Office of Management and Budget (OMB) Circular A-130, the Federal Information Security Management Act (FISMA), the Government Paperwork Elimination Act (GPEA), Section 508 of the Americans with Disabilities Act (ADA), and the E-Government Act; the design, implementation, and support of the agency's information technology system needs; maintaining or providing access to administrative applications for the agency’s business processes, such as payroll, procurement, personnel, budget, information management, and work tracking; and administration of the network, telephone systems, and Help Desk. EXIT’s key priorities are to provide secure access to CPSC data, provide tools to analyze the data, and to protect CPSC data.

In FY 2015, EXIT will ensure access to CPSC data by continuing to virtualize information technology (IT) systems such as desktop environment with Virtual Desktop Infrastructure (VDI) and will increase the Wide Area Network (WAN) link speed between CPSC headquarters and the National Product Testing and Evaluation Center (NPTEC) through which CPSC data is replicated. EXIT will protect CPSC data by continuing to implement mandated IT security requirements, such as continuous monitoring, improving the agency’s security incident response capabilities, and leveraging the latest technologies, such as rogue device detection.

3. Strategic Plan Alignment and Project Summary

Project	Strategic Goal
13328 - Consumer Product Safety Risk Management System (CPSRMS)	3
34310 - Risk Assessment Methodology (RAM) (CPSIA § 222)	3
54174 - EXIT Administration	All
54575 - IT Security	All
54576 - IT Infrastructure	All
54577 - Commission Information Systems	All
99933 - Voice Telecommunications	All
99945 - Capital Replacement	All
99947 - Programming Support	All
99949 - Telecommunications Data	All
99951 - User Support	All
99952 - Network Management	All
99953 - Website Development and Content Management	All
99954 - IT Business Applications	All

- **Consumer Product Safety Risk Management System (CPSRMS) (13328):** The Consumer Product Safety Risk Management System (CPSRMS) will provide a single, integrated web based environment to accommodate a searchable database for consumers; enhance the efficiency and effectiveness of CPSC staff's consumer product safety work by providing a system to identify hazard trends quickly (Early Warning System); and enable more rapid and increased dissemination of information. This enhances the CPSC's ability to increase consumer product safety, reduce injuries, save lives, and inform the public of both consumer product hazards and ways to provide product incident information to CPSC staff.
- **Risk Assessment Methodology (RAM) (CPSIA § 222) (34310):** Activities to maintain the pilot proof-of-concept and begin the development of the production environment of a risk assessment methodology (RAM) for the identification of shipments of consumer products that are: (1) intended for import into the United States; and (2) likely to include consumer products in violation of Section 17(a) of the CPSA (15 U.S.C. § 2066(a)) or other import provisions enforced by the CPSC. This project includes activities to evaluate, assess, and share information with CBP about shipments of consumer products intended for import into the customs territory of the United States. This is an early phase in the delivery of a secure and stable production system and will serve as the requisite for the full-scale RAM.
- **EXIT Administration (54174):** Provides for the operation of EXIT. Includes training and travel. For use only by EXIT staff.
- **IT Security (54575):** For implementation and management of the CPSC's IT Security program, which involves maintaining a secure information environment throughout the CPSC and ensuring information system confidentiality, integrity, and availability. For use only by EXIT staff.
- **IT Infrastructure (54576):** The CPSC's IT infrastructure environments, including telecommunications, hardware/software licensing, Help Desk, security, and other general operations and maintenance activities. For use only by EXIT staff.
- **Commission Information Systems (54577):** For planning, implementing, operating, and maintaining the CPSC's database applications. For use only by EXIT staff.
- **Voice Telecommunications (99933):** This project provides essential telephonic voice communication, including agency-wide long distance service, local Washington, D.C. area service for CPSC headquarters, NPTEC, and the CPSC warehouse in Gaithersburg, MD, as well as Blackberries. Also included are installation, repair, and maintenance services. This project is for non-salary resources only.
- **Capital Replacement (99945):** For desktop computer replacement or software upgrades, server and telephone system upgrades, wireless equipment replacement, video conferencing equipment replacement, and related equipment. This project is for non-salary resources only.
- **Programming Support (99947):** Contract programmers and database administrator services to support information system applications for various organizations within the CPSC.
- **Telecommunications Data (99949):** Wide Area Network (WAN) data lines, webstreaming, Internet, and website domain charges. This project is for non-salary resources only.
- **User Support (99951):** Provides support for end users of the agency's equipment, software, systems, and services (e.g., Help Desk support, software licensing, and printer maintenance). This project is for non-salary resources only.
- **Network Management (99952):** Supports the management of the agency's IT infrastructure, which includes the operation and maintenance of networks, servers, and other IT equipment and systems. This project is for non-salary resources only.
- **Website Management and Content Management (99953):** For operating and maintaining the CPSC's websites to meet the needs of the agency, consumers, businesses, and other

stakeholders who seek relevant information about CPSC activities. This project is for non-salary resources only.

- **IT Business Applications (99954):** Provides resources for agency business applications and systems, including those from Shared-Service providers that support the CPSC’s Office of Human Resources Management (EXRM) and Office of Financial Management, Planning, and Evaluation (EXFM) business areas. This project is for non-salary resources only.

4. Unfunded Work at FY 2015 Request Level

Unfunded Work includes projects, products, or services that were not identified during the original FY 2015 budget formulation phase. These needs have emerged in the intervening time. Should additional funds become available during FY 2015, the listed projects may receive priority consideration for potential funding.

Project	Strategic Goal
13328 - Consumer Product Safety Risk Management System (CPSRMS)	3
34310 - Risk Assessment Methodology (RAM) (CPSIA § 222)	3
54575 - IT Security	All
99952 - Network Management	All

- **Consumer Product Safety Risk Management System (CPSRMS) (13328):**
 - *CPSRMS FTEs:* EXIT is requesting two FTEs above the FY 2015 Request level (20 staff months at the annual salary rate of \$145K) to work full-time on the CPSRMS.
Note: The CPSC’s original business case contains the agency’s plan to reduce CPSRMS costs by replacing contract staff, utilized for design and development of the CPSRMS, with federal staff to operate and maintain the system. The number of FTEs fully devoted to the CPSRMS has gradually increased each year to meet the required number of 17 FTEs.
- **Risk Assessment Methodology (RAM) (CPSIA § 222) (34310):**
 - *Production Environment System:* The ITDS/RAM pilot has provided considerable value to the agency by helping CPSC staff identify and stop violative imported products at ports of entry. The current RAM was developed as a proof-of-concept, using the vendor’s proprietary software. This project will complete the transition from the pilot to a production environment. This project is limited to transitioning current features of the RAM into enterprise production environment. This project will help deliver a secure and stable production system that will serve as a requisite for the full-scale RAM.
- **IT Security (54575):**
 - *FISMA Metrics:* The FY 2013 CPSC Inspector General (IG) FISMA audit identified cyber security and compliance deficiencies with respect to configuration management, identity and access management, remote access, risk management, and contingency planning. Funding is requested to address the IG’s reported findings. Also included in the CPSC’s FY 2016 Preliminary Performance Budget Request to OMB.
 - *Continuous Monitoring:* Information Security Continuous Monitoring (ISCM) funding will enable the agency to purchase software tools and services that provide ongoing assessment, analysis, and diagnosis of the agency’s security posture. This will allow the agency to comply with OMB Memorandum M-14-03, “Enhancing the Security of Federal Information and Information Systems.” Also included in the CPSC’s FY 2016 Preliminary Performance Budget Request to OMB.

- **Network Management (99952):**

- *Cloud Migration Planning:* OMB’s “Federal Cloud Computing Strategy” has directed federal agencies to move current IT systems and services to the cloud where possible. Funding is requested to partner with a contractor to determine the scope of the work required to implement a cloud solution at the CPSC. The contractor will investigate how other agencies are implementing this initiative. The agency will also gain an understanding of how cloud services impact IT operations, with regard to disaster recovery and continuity of operations planning (COOP), and supporting mobile IT operations.

5. Summary of Budget Key Performance Measures – None

6. Summary of Operating Plan Performance Measures – None

7. Annual Milestones

Control ID	Milestone	FY 2015 Target
2015M27	Completed major development of CPSRMS by reengineering core functionalities of the Integrated Field System (IFS) and retiring those functionalities in the legacy application	To Meet
2015M28	Deployed the Mobile Device Management (MDM) solution to provide secure access to CPSC data, such as e-mails and documents, using CPSC- and employee-owned mobile devices	To Meet
2015M29	Transitioned 50% of CPSC’s employees to Virtual Desktop Infrastructure (VDI) environment	To Meet
2015M30	Provided improved data access speeds between CPSC’s National Product Testing and Evaluation Center and Headquarters by leasing a dedicated “dark fiber” line to provide up to 40GB connection speeds	To Meet
2015M31	Developed and implemented Information Security Continuous Monitoring strategies for information systems which address security controls including the frequency and degree of rigor associated with the monitoring process	To Meet
2015M32	Transitioned current fragmented Federal Information Security Management Act (FISMA) management processes into a centralized, structured, consolidated governance application	To Meet

Other Offices

(milestones and measures only)

The Office of Human Resources Management (EXRM), the Office of the Executive Director (OEX), the Office of EEO and Minority Enterprise (OEE0), the Office of the General Counsel (OGC), and the Small Business Ombudsman (SBO) also have some budget key and operating performance measures and annual milestones, which are listed below:

1. Summary of Budget Key Performance Measures

Office	Control ID	Performance Measure Statement	FY 2015 Target
EXRM	2015BK1.6.1	Employee retention rate	85%
EXRM	2015BK1.6.2	Average hiring time (recruitment time using OPM's End-to-End hiring process) (days)	80
EXRM	2015BK1.6.3	Training participation rate	88%
OEX	2015BK1.2.4	Number of collaborations undertaken with domestic nongovernment organizations such as trade associations, universities, or federations	2
OEX	2015BK2.3.1	Number of domestic training activities made available to industry stakeholders	11

2. Summary of Operating Plan Performance Measures

Office	Control ID	Performance Measure Statement	FY 2015 Target
OEE0	2015OP48	Percentage of Equal Employment Opportunity (EEO) informal complaints resolved during the fiscal year	60%
OEE0	2015OP49	Percentage of recruitment efforts targeted to organizations serving underrepresented populations	25%
OEE0	2015OP50	Percentage of supervisors/employees who received voluntary Equal Employment Opportunity and diversity-related training	30%
OEE0	2015OP51	Number of initiatives offered to managers, supervisors, and employees to promote representation of underrepresented groups	6
OGC	2015OP52	Percentage of cases referred to Division of Compliance for a civil penalty or other appropriate legal action that are evaluated within one year of referral	90%

3. Annual Milestones

Office	Control ID	Performance Measure Statement	FY 2015 Target
SBO	2015M33	Focused outreach on safety regulations conducted (including attending and presenting at trade shows and producing written materials) to target domestic small businesses in three industries	To Meet

Appendix

Changes to Budget Key Performance Measures from the 2015 Performance Budget Request (issued March 2014)

The following table represents Budget Key performance measures from the 2015 Performance Budget Request that were newly added, deleted in FY 2015 and are therefore absent from this Operating Plan, or replaced by another Budget Key performance measure.

	Office	Performance Measure Statement	Explanation
1	OEX	BK1.2.3: Number of new collaborations undertaken with domestic nongovernment organizations such as trade associations, universities, or federations	Replaced with BK1.2.4: Number of collaborations undertaken with domestic nongovernment organizations such as trade associations, universities, or federations
2	EXIP	BK1.4.1: Number of products on which CPSC had consultations with foreign counterparts	Deleted in FY 2015
3	EXHR	BK2.1.1: Number of voluntary standards activities supported or monitored by CPSC staff	Replaced with BK2.1.4: Number of voluntary standards activities that are actively participated in by CPSC staff
4	EXHR	BK3.2.5: Percentage of section 15 Product Safety Assessment requests that are completed within the Hazard Level Completion time assigned	New
5	EXIS	BK3.4.2: Sample yield per 100 import entries examined as identified through the Risk Assessment Methodology (RAM) pilot system	Replaced with BK3.4.7: Percentage of entries sampled as identified through the Risk Assessment Methodology (RAM) pilot system
6	EXIS	BK3.4.5: Establish an ITDS/RAM rule set to target intellectual property violations where a health and safety hazard is suspected in consumer product imports	Completed in FY 2014
7	EXIS	BK3.4.6: Percentage of first-time violators who are engaged with an informed compliance inspection within 30 days of violation determination	New
8	EXC	BK3.5.1: Total number of products screened by CPSC field staff	Replaced with BK3.5.4: Total number of products screened by CPSC Field staff (excluding imports)
9	EXC	BK3.5.2: Number of consumer products screened by CPSC Field staff through Internet surveillance activities	Deleted in FY 2015
10	EXC	BK3.6.1: Number of used/resale consumer products screened by CPSC Field staff.	Deleted in FY 2015
11	EXHR	BK4.1.3: Total number of items/component parts from samples tested at NPTEC for specific standards and regulations	Replaced with BK3.2.4: Percentage of all regulated non-import product samples that are tested within 90 days of receipt at NPTEC
12	OCM	BK4.4.1: Average number of days from an established first draft of recall press release to the date the press release is issued (in business days)	Replaced with BK4.4.2: Average number of business days between establishment of first draft and issuance of recall press release for the most timely 90% of all recall press releases
13	EXC	BK4.5.1: Percentage of compliance defect investigation cases referred within 10 business days to OGC for review of firms' timely reporting pursuant to Section 15(b)	Replaced with BK4.5.2: Percentage of compliance defect investigation cases referred within 20 business days to OGC for review of firms' timely reporting pursuant to §15(b)
14	OCM	BK5.1.1: Percentage of the U.S. population that reports awareness of the CPSC	Deleted in FY 2015
15	OCM	BK5.1.2: Percentage of U.S. consumers who report acting on a CPSC safety message	Deleted in FY 2015
16	OCM	BK5.2.3: Number of media or social media events involving collaborations with other federal, state or local governments; consumer advocacy organizations; medical or industry groups; or other stakeholders that focus on a targeted hazard with high public concern	New
17	OCM	BK5.3.4: Number of media or social media events involving collaborations with other federal, state or local governments; consumer advocacy organizations; medical or industry groups; or other stakeholders that focus on a priority hazard in vulnerable communities	New

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